Veblen Functions for Computability Theorists.

Antonio Montalbán. University of Chicago

> Oberwolfach, October 2011

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The Veblen functions go : Ordinals \rightarrow Ordinals.

They are well-known and useful in *Proof theory* to calculate the proof theoretic ordinals of predicative theories.

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They are well-known and useful in *Proof theory* to calculate the proof theoretic ordinals of predicative theories.

We'll use *Computability Theory* to exhibit the properties that make these functions so interesting to Proof Theorists.

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ϵ_0

Def: Let $\epsilon_0 = \sup(\omega, \omega^{\omega}, \omega^{\omega^{\omega}}, ...)$, the 1st fixed point of the func. $\gamma \mapsto \omega^{\gamma}$.

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Thm [Gentzen 36]: Finitiary methods + Transfinite-Ind. up to $\epsilon_0 \vdash$ PA is consistent.

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Obs: ϵ_0 can be represented by a prim. rec. relation \leq_{ϵ_0} on ω .

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Proof-theoretic ordinals of various theories have been calculated.

Example: ACA₀⁺ \equiv ACA₀ + $\forall X$ ($X^{(\omega)}$ exists).

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The proof-theoretic ordinal of ACA⁺₀ is $\epsilon_{\epsilon_{\epsilon_{0}}} = \sup\{\epsilon_{0}, \epsilon_{\epsilon_{0}}, \epsilon_{\epsilon_{\epsilon_{0}}}, ...\},\$

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The proof-theoretic ordinal of ACA⁺₀ is $\epsilon_{\epsilon_{\ldots}} = \sup\{\epsilon_0, \epsilon_{\epsilon_0}, \epsilon_{\epsilon_{\epsilon_0}}, \ldots\}$,

That means that,

- Finitiary methods + Trans-Ind. up to $\epsilon_{\epsilon_{\epsilon...}} \vdash \text{Cons}(\text{ACA}_0^+)$
- For $\alpha < \epsilon_{\epsilon_{\epsilon...}}$, $ACA_0^+ \vdash \alpha$ is an ordinal.

For each α , we define a function φ_{α} : *Ord* \rightarrow *Ord*.

•
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Def: $\Gamma_0 = \sup\{\varphi_0(0), \varphi_{\varphi_0(0)}(0), \varphi_{\varphi_{\varphi_0(0)}(0)}(0), ...\}$ is the first ordinal s.t. $\forall \alpha, \beta < \Gamma_0 \quad \varphi_\alpha(\beta) < \Gamma_0$.

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 Γ_0 is the proof theoretic ordinal of ATR₀.

Thm [Girard 87]: TFAE over RCA₀.

- For every well ordering \mathcal{X} , $\omega^{\mathcal{X}}$ is also a well-ordering.
- ACA₀ (Arithmetic Comprehension).

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Obs: There is a natural way of defining an operation that given a linear orderings \mathcal{X}, \mathcal{Y} , returns a linear ordering $\varphi_{\mathcal{X}}(\mathcal{Y})$.

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Thm [Friedman]: TFAE over RCA₀.

- For every well ordering \mathcal{X} , $\varphi_{\mathcal{X}}(0)$ is also a well-ordering.
- ATR₀ (Arithmetic Transfinite Recursion).

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Let \mathcal{F} be an operator : LO \rightarrow LO.
(LO \equiv Linear Orderings)
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Q: What is the proof theoretic complexity of $WO(\mathcal{F})$?

Q: What is the computability theoretic complexity of $WO(\mathcal{F})$?

Given a LO \mathcal{X} and a descending sequence in $\mathcal{F}(\mathcal{X})$, how difficult is it to find a descending sequence in \mathcal{X} ?

Thm: Let \mathcal{X} be a comp. LO with a comp. desc. sequence in $\omega^{\mathcal{X}}$. Then, there is a desc. seq. in \mathcal{X} computable in 0'.

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$$a_0 = \omega^{x_{0,0}} + \omega^{x_{0,1}} + \dots + \omega^{x_{0,k_0}}$$

and $x_{0,0} \ge x_{0,1} \ge ... \ge x_{0,k_0} \in \mathcal{X}$

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$$\begin{aligned} \mathbf{a}_n &= \omega^{\mathbf{x}_{n,0}} + \omega^{\mathbf{x}_{n,1}} + \dots + \omega^{\mathbf{x}_{n,k_0}} \\ \mathbf{a}_{n+1} &= \omega^{\mathbf{x}_{n+1,0}} + \omega^{\mathbf{x}_{n+1,1}} + \dots + \omega^{\mathbf{x}_{n+1,k_1}} \end{aligned}$$

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• Therefore, $x_{0,0} \ge x_{1,0} \ge x_{2,0} \ge ... \ge x_{n,0} \ge ...$

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Therefore, x_{0,0} ≥ x_{1,0} ≥ x_{2,0} ≥ ... ≥ x_{n,0} ≥ ...
 If this doesn't stabilize, it has a comp. desc. subsequence in X.
 If it stabilizes, 0' can find a point n₀ after which

 $x_{n_0,0} = x_{n_0+1,0} = x_{n_0+2,0} = \dots$

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The easier direction for $\mathcal{F}(\mathcal{X}) = \omega^{\mathcal{X}}$.

Thm: Let \mathcal{X} be a comp. LO with a comp. desc. sequence in $\omega^{\mathcal{X}}$. Then, there is a desc. seq. in \mathcal{X} computable in 0'. **Pf.** We have $a_0 > a_1 > ... > a_n > ... \in \omega^{\mathcal{X}}$ where

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If it doesn't stabilize, it has a comp. desc. subsequence in \mathcal{X} . If it stabilizes, 0' can find a point n_1 when it does.

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Thm: Let \mathcal{X} be a comp. LO with a comp. desc. sequence in $\omega^{\mathcal{X}}$. Then, there is a desc. seq. in \mathcal{X} computable in 0'. **Pf.** We have $a_0 > a_1 > ... > a_n > ... \in \omega^{\mathcal{X}}$ where

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If it doesn't stabilize, it has a comp. desc. subsequence in \mathcal{X} .

If it stabilizes, 0' can find a point n_1 when it does.

• We continue like this. If we never succeed this way, we get

 $x_{n_0,0} \ge x_{n_1,1} \ge x_{n_2,2} \ge \dots$ computable in 0'doesn't stabilize.

Thm [Hirst]: There exists a comp. LO \mathcal{X} s.t. $\omega^{\mathcal{X}}$ has a comp. desc. sequence but all descending sequences in \mathcal{X} compute 0'.

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Thm: Let \mathcal{X} be a comp. LO with a comp. desc. sequence in $\epsilon_{\mathcal{X}}$. Then, there is a desc. seq. in \mathcal{X} computable in $0^{(\omega)}$.

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Find n₀ such that

$$\epsilon_{x_0} \leqslant a_0 < \overbrace{\omega^{\omega^{\cdots \omega^{\epsilon_{x_0+1}}}}}^{n_0 \text{ tower}} < \epsilon_{x_0+1} \cdot \cdot \cdot \cdot \cdot$$

Using previous proof n_0 times to $(a_n)_{n \in \mathbb{N}} \subseteq \omega^{\omega^{\dots \omega^{\epsilon_{x_0+1}}}}$, get a sequence $b_0 > b_1 > \dots > b_n > \dots \subseteq \epsilon_{x_0}$ computable in $0^{(n_0)}$.

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$$\epsilon_{x_1} \leqslant b_0 < \epsilon_{x_1+1}.$$

... Continue like this and build $x_0 > x_1 > ... \in \mathcal{X}$ computable in $0^{(\omega)}$.

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Theorem (Marcone, M)

There exists a comp. LO \mathcal{X} s.t. $\epsilon_{\mathcal{X}}$ has a comp. desc. sequence, but all descending sequences in \mathcal{X} compute $0^{(\omega)}$.

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Theorem (Marcone, M)

There exists a comp. LO \mathcal{X} s.t. $\epsilon_{\mathcal{X}}$ has a comp. desc. sequence, but all descending sequences in \mathcal{X} compute $0^{(\omega)}$.

Corollary

TFAE over RCA₀.

• If \mathcal{X} is well ordered, then so is $\epsilon_{\mathcal{X}}$.

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$$\mathsf{ACA}_0^+ \equiv \mathit{RCA}_0 + \forall X, X^{(\omega)}$$
 exists.

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TFAE over RCA₀.

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$$\mathsf{ACA}^+_0 \equiv \mathit{RCA}_0 + \forall X, X^{(\omega)}$$
 exists.

Ashfari and Rathjen [2009] found a purely proof-theoretic proof of this corollary, using different logic systems, cut elimination, etc..

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Thm: Let \mathcal{X} be a comp.LO with a comp.desc. sequence in $\varphi_{\alpha}(\mathcal{X})$. Then, there is a desc. seq. in \mathcal{X} computable in $0^{(\omega^{\alpha})}$.

Theorem (Marcone, M)

 \exists a comp. lin. \mathcal{X} s.t. $\varphi_{\alpha}(\mathcal{X})$ has a comp. desc. sequence, but all descending sequences in \mathcal{X} compute $0^{(\omega^{\alpha})}$.

Corollary (MM)

TFAE over RCA₀.

- If \mathcal{X} is well ordered, then so is $\varphi_{\alpha}(\mathcal{X})$.
- Π^0_{α} -CA₀ = RCA₀ + $\forall X, X^{(\omega^{\alpha})}$ exists.

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Corollary 2 [Friedman]: TFAE over RCA₀.

- If \mathcal{X} is well ordered, then so is $\varphi_{\mathcal{X}}(0)$.
- ATR₀ (Arithmetic Transfinite Recursion).

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Rathjen and Weiermann [2009] found a purely proof theoretic proof of this corollary, using different logic systems, cut elimination, etc..

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System	p.t.o.	$F(\mathcal{X})$	references
ACA ₀	ϵ_0	$\omega^{\mathcal{X}}$	Girard; Hirst.
ACA_0^+	$\varphi_2(0)$	$\epsilon_{\mathcal{X}}$	[MM]; Afshari-Rathjen
$\Pi^0_{\omega^{lpha}}$ -CA ₀	$\varphi_{\alpha+1}(0)$	$\boldsymbol{\varphi}(\alpha, \mathcal{X})$	[MM].
ATR_0	Γ ₀	$arphi(\mathcal{X},0)$	Friedman; Rathjen-Weiermann; [MM].

where:

p.t.o. is the proof theoretic ordinal of the system; \mathcal{F} is such that $\mathsf{RCA}_0 \vdash$ system $\Leftrightarrow WOP(\mathcal{F})$; references are in historical order.

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• Slightly modify definition of Z'.

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• Find a sort of fixed point of the operator h.

given
$$g: \mathcal{J}^{\omega}(T) \to \mathcal{X} \quad (\subset, >_{\mathcal{X}})$$
-monotone
returns $h_g^{\omega}: T \to \epsilon_{\mathcal{X}} \quad (\subset, >_{\epsilon_{\mathcal{X}}})$ -monotone.

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- Contradiction.

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Claim: [Rathjen] Statements of the form $\mathsf{WOP}(\mathcal{F})$ are equivalent to statements of the form

"Every X belongs to a countably coded ω -model of T".

Thm: [Rathjen] $WOP(\mathcal{X} \mapsto \Gamma_{\mathcal{X}})$ is equivalent to "Every X belongs to a countably coded ω -model of ATR₀". over RCA₀.

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Conjecture: [Rathjen] Statements saying that operators $(LO \rightarrow LO) \rightarrow (LO \rightarrow LO)$ preserve WOP, are equivalent to statements saying that "Every X belongs to a countably coded β -models of T".

Conjecture: [M] TFAE over RCA₀

- WOPP $(f \mapsto \vartheta(f(\Omega + 1)))$.
- WOP $(f) \Rightarrow \exists \alpha \in WO \ (\alpha <_1 f(\alpha + 1)).$
- WOP $(f) \Rightarrow$ WQO(T(f(REC)))

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