

On the optimal density of sphere packings in \mathbb{E}^s and the uniqueness theorem on finite packings with optimal density

Wu-Yi Hsiang

Abstract: Let \mathcal{P} be a finite cluster of unit spheres and \mathcal{P}^* be an extension of \mathcal{P} which makes all the

$$S_j \in \mathcal{P} \quad \{C(S_j, \mathcal{P}^*)\}$$

local cells (i.e. Voronoi cells) of \mathcal{P}^* , bounded. Then, it is natural to

$$\rho(\mathcal{P}, \mathcal{P}^*)$$

define the *relative density* by setting

$$(1) \quad \rho(\mathcal{P}, \mathcal{P}^*) = \frac{\sum_{S_j \in \mathcal{P}} \text{vol}(S_j)}{\sum_{S_j \in \mathcal{P}^*} \text{vol} C(S_j, \mathcal{P}^*)}$$

and to define the *intrinsic density* of \mathcal{P} to be the least upper bound of $\{\rho(\mathcal{P}, \mathcal{P}^*)\}$ for all possible extensions of \mathcal{P} , namely

$$(2) \quad \rho(\mathcal{P}) = \text{l.u.b.} \{ \rho(\mathcal{P}, \mathcal{P}^*), \mathcal{P} \subset \mathcal{P}^* \}$$

$$\mathcal{P} = \{S\}$$

In the very special case that \mathcal{P} consists of a single sphere, the above *intrinsic density*

$$\rho(\{S\})$$

is exactly the *optimal local density* of sphere packings in \mathbb{E}^s . Anyhow, the following are two fundamental problems in the study of sphere packings in \mathbb{E}^s , namely

Problem 1: What is the *optimal local density* in \mathbb{E}^s ? and what are the geometric structures of those *tightest* local packings (i.e. the ones with optimal local density)?

Problem 2: What is the *optimal intrinsic density* for cluster of unit spheres in \mathbb{E}^8 of a given cardinality, namely

$$(3) \quad \rho_N = \text{l.u.b.} \{ \rho(\mathcal{P}), \#(\mathcal{P}) = N \} = ?$$

Moreover, what are the geometric structures of those N -clusters with $\rho(\mathcal{P}) = \rho_N$?

It is a remarkable, pleasant surprise that both of the above two problems have clean-cut solutions and the strongest possible uniqueness theorems, namely

Theorem I: The optimal local density of sphere packings in \mathbb{E}^8 is equal to $\frac{\pi^4}{384}$. The local density of a local packing $\mathcal{L}(S_0)$ is equal to $\frac{\pi^4}{384}$ when and only when $\mathcal{L}(S_0)$ is

isometric to the local packing of the lattice packing associated to the root lattice of E_8 (i.e. the exceptional Lie group of rank 8).

Theorem II: Let \mathcal{P} be a finite cluster of identical spheres in \mathbb{E}^8 . Then

$$(4) \quad \rho(\mathcal{P}) \leq \frac{\pi^4}{384}$$

and equality holds when and only when \mathcal{P} is isometric to a finite cluster in the E_8 -lattice packing (up to a scaling). [A finite packing is called a *cluster* if any pair of them can be linked by a chain with consecutive center-distances less than $2\sqrt{2}$ -time of the radius.]

The purpose of this talk is to present the proofs of the above two theorems.
[In fact, Theorem II follows readily from Theorem I.]