

## POLYNOMIALS OF DEGREE 3 AND 4

### Cardano formulas.

Let  $f(x) = x^3 + ax + b \in \mathbb{Q}[x]$  be irreducible. The Galois group  $G$  is isomorphic to  $S_3$  or  $A_3$ , therefore  $f(x) = 0$  is solvable in radicals. Let  $\alpha_1, \alpha_2, \alpha_3$  be the roots of  $f(x)$ , then

$$\alpha_1 + \alpha_2 + \alpha_3 = 0, \alpha_1\alpha_2 + \alpha_2\alpha_3 + \alpha_3\alpha_1 = a, \alpha_1\alpha_2\alpha_3 = -b.$$

Introduce

$$\begin{aligned} \omega &= -\frac{1}{2} + \frac{\sqrt{3}i}{2}, \\ D &= -4a^3 - 27b^2 = (\alpha_1 - \alpha_2)^2 (\alpha_2 - \alpha_3)^2 (\alpha_3 - \alpha_1)^2, \\ F &= \mathbb{Q}(\omega), K = \mathbb{Q}(\sqrt{D}, \omega), E = K(\alpha_1, \alpha_2, \alpha_3). \end{aligned}$$

Then  $\text{Aut}_K(E) = A_3 = \mathbb{Z}_3$ ,  $K \subset E$  is a Kummer extension. If  $s$  is an element in  $\text{Aut}_K E$  such that  $s(\alpha_1) = \alpha_2, s(\alpha_2) = \alpha_3, s(\alpha_3) = \alpha_1$ , then

$$\gamma_1 = \alpha_1 + \omega\alpha_2 + \omega^2\alpha_3 \text{ and } \gamma_2 = \alpha_1 + \omega^2\alpha_2 + \omega\alpha_3$$

satisfy the relation

$$s(\gamma_1) = \omega\gamma_1, s(\gamma_2) = \omega^2\gamma_2.$$

Then  $\gamma_1^3, \gamma_2^3 \in K$ . One can write the expressions for  $\gamma_1$  and  $\gamma_2$

$$\begin{aligned} \gamma_1^3 &= \alpha_1^3 + \alpha_2^3 + \alpha_3^3 + 6\alpha_1\alpha_2\alpha_3 + 3\omega(\alpha_1^2\alpha_2 + \alpha_2^2\alpha_3 + \alpha_3^2\alpha_1) + 3\omega^2(\alpha_1\alpha_2^2 + \alpha_2\alpha_3^2 + \alpha_3\alpha_1^2), \\ \gamma_2^3 &= \alpha_1^3 + \alpha_2^3 + \alpha_3^3 + 6\alpha_1\alpha_2\alpha_3 + 3\omega^2(\alpha_1^2\alpha_2 + \alpha_2^2\alpha_3 + \alpha_3^2\alpha_1) + 3\omega(\alpha_1\alpha_2^2 + \alpha_2\alpha_3^2 + \alpha_3\alpha_1^2). \end{aligned}$$

Note that  $\alpha_1 + \alpha_2 + \alpha_3 = 0$ , therefore

$$(\alpha_1 + \alpha_2 + \alpha_3)^3 = \alpha_1^3 + \alpha_2^3 + \alpha_3^3 + 6\alpha_1\alpha_2\alpha_3 + 3(\alpha_1^2\alpha_2 + \alpha_2^2\alpha_3 + \alpha_3^2\alpha_1) + 3(\alpha_1\alpha_2^2 + \alpha_2\alpha_3^2 + \alpha_3\alpha_1^2) = 0.$$

Introduce notations

$$A = \alpha_1^2\alpha_2 + \alpha_2^2\alpha_3 + \alpha_3^2\alpha_1, B = \alpha_1\alpha_2^2 + \alpha_2\alpha_3^2 + \alpha_3\alpha_1^2.$$

Subtract the last equation from the expressions for  $\gamma_1$  and  $\gamma_2$  and get

$$\gamma_1^3 = 3(\omega - 1)A + 3(\omega^2 - 1)B = \frac{-9}{2}(A + B) + \frac{3\sqrt{3}i}{2}(A - B).$$

Now use the relations

$$\begin{aligned} A + B &= \alpha_1^2\alpha_2 + \alpha_2^2\alpha_3 + \alpha_3^2\alpha_1 + \alpha_1\alpha_2^2 + \alpha_2\alpha_3^2 + \alpha_3\alpha_1^2 = \\ &(\alpha_1 + \alpha_2 + \alpha_3)(\alpha_1\alpha_2 + \alpha_2\alpha_3 + \alpha_3\alpha_1) - 3\alpha_1\alpha_2\alpha_3 = 3b, \\ B - A &= (\alpha_1 - \alpha_2)(\alpha_2 - \alpha_3)(\alpha_3 - \alpha_1) = \sqrt{D}. \end{aligned}$$

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Therefore

$$\begin{aligned}\gamma_1^3 &= \frac{-9}{2}3b - \frac{3\sqrt{3}i}{2}\sqrt{D} = \frac{-27b}{2} - \frac{3}{2}\sqrt{-3D}, \\ \gamma_2^3 &= \frac{-27b}{2} + \frac{3}{2}\sqrt{-3D}.\end{aligned}$$

To find  $\gamma_1$  and  $\gamma_2$  we have to take the cube root of  $\frac{-27b}{2} \pm \frac{3}{2}\sqrt{-3D}$ . We have 3 choices for a cube root. We have to choose them in such a way that

$$\begin{aligned}\gamma_1\gamma_2 &= (\alpha_1 + \omega\alpha_2 + \omega^2\alpha_3)(\alpha_1 + \omega^2\alpha_2 + \omega\alpha_3) = \alpha_1^2 + \alpha_2^2 + \alpha_3^2 + (\omega + \omega^2)(\alpha_1\alpha_2 + \alpha_2\alpha_3 + \alpha_3\alpha_1) = \\ &\alpha_1^2 + \alpha_2^2 + \alpha_3^2 - (\alpha_1\alpha_2 + \alpha_2\alpha_3 + \alpha_3\alpha_1) = (\alpha_1 + \alpha_2 + \alpha_3)^2 - 3(\alpha_1\alpha_2 + \alpha_2\alpha_3 + \alpha_3\alpha_1) = -3a.\end{aligned}$$

To find the roots  $\alpha_1, \alpha_2, \alpha_3$  solve the linear system

$$\alpha_1 + \alpha_2 + \alpha_3 = 0, \alpha_1 + \omega\alpha_2 + \omega^2\alpha_3 = \gamma_1, \alpha_1 + \omega^2\alpha_2 + \omega\alpha_3 = \gamma_2;$$

get the answer

$$\alpha_1 = \frac{\gamma_1 + \gamma_2}{3}, \alpha_2 = \frac{\omega^2\gamma_1 + \omega\gamma_2}{3}, \alpha_3 = \frac{\omega\gamma_1 + \omega^2\gamma_2}{3}.$$

**Example.** Consider the equation

$$x^3 - 3x + 1 = 0.$$

Then  $D = 81$ ,

$$\gamma_{1,2} = \left(\frac{-27}{2} \pm \frac{3}{2}\sqrt{-243}\right)^{1/3}.$$

### Quartic polynomial.

Let  $f(x) = x^4 + ax^2 + bx + c \in F[x]$  be an irreducible polynomial. The possible Galois groups for  $f(x)$  are  $\mathbb{Z}_4$ ,  $K_4$  (Klein group),  $D_4$ ,  $A_4$  or  $S_4$ . We start by solving this polynomial equation in radicals. For this note that  $K_4$  is a normal subgroup of  $S_4$  and the quotient  $S_4/K_4$  is isomorphic to  $S_3$ . Let  $\alpha_1, \alpha_2, \alpha_3$  and  $\alpha_4$  be the roots of  $f(x)$ . Then

$$\theta_1 = (\alpha_1 + \alpha_2)(\alpha_3 + \alpha_4), \theta_2 = (\alpha_1 + \alpha_3)(\alpha_2 + \alpha_4), \theta_3 = (\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)$$

are fixed by  $K_4$ . Therefore  $F(\theta_1, \theta_2, \theta_3) \subset E^{K_4}$ , where  $E$  is the splitting field of  $f(x)$ . Note that

$$\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 = 0$$

implies

$$\theta_1 = -(\alpha_1 + \alpha_2)^2, \theta_2 = -(\alpha_1 + \alpha_3)^2, \theta_3 = -(\alpha_1 + \alpha_4)^2,$$

and we can easily obtain

$$\begin{aligned}\alpha_1 &= \left(\sqrt{-\theta_1} + \sqrt{-\theta_2} + \sqrt{-\theta_3}\right) / 2, \\ \alpha_2 &= \left(\sqrt{-\theta_1} - \sqrt{-\theta_2} - \sqrt{-\theta_3}\right) / 2, \\ \alpha_3 &= \left(-\sqrt{-\theta_1} + \sqrt{-\theta_2} - \sqrt{-\theta_3}\right) / 2,\end{aligned}$$

$$\alpha_3 = \left( -\sqrt{-\theta_1} - \sqrt{-\theta_2} + \sqrt{-\theta_3} \right) / 2.$$

We suspect that  $\theta_1, \theta_2, \theta_3$  are the roots of a certain cubic polynomial with coefficients in  $F$ .

**Lemma 0.1.**

$$\theta_1 + \theta_2 + \theta_3 = 2a, \theta_1\theta_2 + \theta_2\theta_3 + \theta_3\theta_1 = a^2 - 4c, \theta_1\theta_2\theta_3 = -b^2.$$

*Proof.* First identity

$$\theta_1 + \theta_2 + \theta_3 = 2 \sum_{i < j} \alpha_i \alpha_j = 2a.$$

For the second identity let

$$X = \theta_1\theta_2 + \theta_2\theta_3 + \theta_3\theta_1 = 6\alpha_1\alpha_2\alpha_3\alpha_4 + \sum_{i < j} \alpha_i^2 \alpha_j^2 + 3 \sum_{i \neq j \neq k, j < k} \alpha_i^2 \alpha_j \alpha_k,$$

$$Y = a^2 - 4c = \left( \sum_{i < j} \alpha_i \alpha_j \right)^2 - 4\alpha_1\alpha_2\alpha_3\alpha_4 = 2\alpha_1\alpha_2\alpha_3\alpha_4 + \sum_{i < j} \alpha_i^2 \alpha_j^2 + 2 \sum_{i \neq j \neq k, j < k} \alpha_i^2 \alpha_j \alpha_k,$$

$$X - Y = 4\alpha_1\alpha_2\alpha_3\alpha_4 + \sum_{i \neq j \neq k, j < k} \alpha_i^2 \alpha_j \alpha_k = \left( \sum \alpha_i \right) \left( \sum_{i < j < k} \alpha_i \alpha_j \alpha_k \right) = 0.$$

For the last identity use

$$\theta_1\theta_2\theta_3 = -(\alpha_1 + \alpha_2)^2 (\alpha_1 + \alpha_3)^2 (\alpha_1 + \alpha_4)^2,$$

$$\begin{aligned} (\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3)(\alpha_1 + \alpha_4) &= \alpha_2\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_3 + \alpha_1\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_4 + \alpha_1^2(\alpha_2 + \alpha_3 + \alpha_4) + \alpha_1^3 = \\ &= \alpha_2\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_3 + \alpha_1\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_4 + \alpha_1^2(\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4) = \\ &= \alpha_2\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_3 + \alpha_1\alpha_3\alpha_4 + \alpha_1\alpha_2\alpha_4 = -b. \end{aligned}$$

□

**Corollary 0.2.**  $\theta_1, \theta_2$  and  $\theta_3$  are the roots of polynomial

$$h(x) = x^3 - 2ax^2 + (a^2 - 4c)x + b^2.$$

The polynomial  $h(x)$  is called *the resolvent cubic* of  $f(x)$ .

To find the roots of  $f(x)$  first find the roots  $\theta_1, \theta_2$  and  $\theta_3$  of  $h(x)$  and then use the formulas for  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  in terms of  $\theta_1, \theta_2, \theta_3$ .

**Lemma 0.3.** *The discriminant  $D$  of  $f(x)$  is given by the formula*

$$D = 16a^4c - 4a^3b^2 - 128a^2c^2 + 144ab^2c - 27b^4 + 256c^3.$$

The proof is similar to one for a cubic polynomial but involves tedious calculations and we skip it.

**How to determine the Galois group of a quartic polynomial.**

First, check  $D$ . If  $D$  is a perfect square in  $F$ , the Galois group  $G$  is a subgroup of  $A_4$ .

Now, look at the cubic resolvent  $h(x)$ . If  $h(x)$  is irreducible over  $F$ , then the splitting field of  $f(x)$  contains a subfield of degree 3. Hence 3 divides  $|G|$ , and  $G$  is  $S_4$  or  $A_4$  depending on the discriminant test.

If  $h(x)$  is reducible, then  $G$  is a subgroup of  $D_4$ . Consider two cases. If all three roots of  $h(x)$  lie in  $F$ , then obviously the group is  $K_4$ . Assume that  $h(x)$  splits into product of a quadratic and a linear polynomial in  $F[x]$ , say  $\theta_1 \in F$ ,  $\theta_2, \theta_3 \notin F$ . Then the group is either  $D_4$  or  $\mathbb{Z}_4$ . If  $f(x)$  is irreducible over  $F(\sqrt{D})$ , then the group is  $D_4$ , otherwise it is  $\mathbb{Z}_4$ .

**Example.** For the polynomial  $x^4 + 4x - 1$  the resolvent cubic is

$$x^3 + 4x + 16 = (x + 2)(x^2 - 2x + 8).$$

Hence the Galois group over  $\mathbb{Q}$  is a subgroup of  $D_4$ . We can avoid calculating the discriminant by checking that  $f(x)$  has two complex and two real roots. Therefore the Galois group contains a transposition, hence it is  $D_4$ .