

These notes are meant as a supplement to sections 5.3 and 5.4. Most of the results can be found there, but I'm not a huge fan of how some of the material is presented there.

**Definition 1.** An ordering of a set is called a permutation of that set. An ordering of  $k$  elements of a set is sometimes called a  $k$ -permutation.

**Question 1.** How many permutations of "ABCDE" are there?

**Answer 1.** This is just like problems we did in 5.1: there are five letters here, so we have five choices for what to pick first. After that, we have 4 remaining choices for the 2nd letter, then three for the 3rd, 2 for 4th, and then the 5th letter will be forced to be whatever we haven't used yet. By the product rule, there are  $5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5! = 120$  ways to rearrange these letters.

**Question 2.** How many 3-permutations are there of "ABCDE"?

**Answer 2.** Well, this is basically the same thing as above, except we only have to make three choices—5 options for the first, 4 for the second, and 3 for the third. This means we have  $5 \cdot 4 \cdot 3 = 60$  different ways of choosing and ordering three letters from this set.

If you think about it for a bit, you should be able to see that in general, the number of permutations of  $n$  objects is  $n!$  and the number of  $k$ -permutations is  $n(n-1) \cdots (n-(k-1))$ , which can be re-written as  $\frac{n!}{(n-k)!}$

The above is all well-and-good, but what if order doesn't matter? The following example should be illustrative:

**Question 3.** How many ways are there to form a committee of 5 people from a group of 20?

**Answer 3.** For the moment, let's pretend the order in which we pick people for this committee matters. Then from above, we know that there are  $20 \cdot 19 \cdot 18 \cdot 17 \cdot 16 = \frac{20!}{15!}$  different ways to make such a committee. However, since order doesn't actually matter, we've drastically over counted—the committees Alice/Bob/Charlie/Dan/Edith and Bob/Alice/Edith/Charlie/Dan are the same but we've counted them twice. In general, we've counted every possible committee  $5 \cdot 4 \cdot 3 \cdot 2 \cdot 1$  times since we know that's how many ways we can rearrange 5 people. Thus, our final answer should be  $\frac{20!/15!}{5!} = \frac{20!}{15!5!}$

**Definition 2.** Picking  $k$  elements from a set in which order doesn't matter is sometimes called a  $k$ -combination.

Using the example above, there are  $\frac{20!}{15!5!}$  5-combinations of a 20 element set.

**Theorem 1.** There are  $\frac{n!}{k!(n-k)!}$  ways to pick  $k$  elements from an  $n$  element set.

*Proof.* We know that if order matters, there are  $\frac{n!}{(n-k)!}$  ways to pick  $k$  elements. Since order doesn't, we note that we've overcounted each set of  $k$  because we've counted each one  $k$  factorial times (the number of permutation of that set). Thus, we divide by  $k!$  and get the desired result.  $\square$

The quantity  $\frac{n!}{k!(n-k)!}$  (ie, the number of ways to pick  $k$  things from an  $n$ -element set) occurs so frequently, we give it its own symbol:

**Definition 3.** The symbol  $\binom{n}{k}$  (pronounced "n choose k") stands for  $\frac{n!}{k!(n-k)!}$  and is the number of ways to pick  $k$  elements from an  $n$  element set if order doesn't matter.

So, continuing the example above, there are  $\binom{20}{5}$  ways of making a 5-member committee from a 20-person group.

**Question 4.** How many different ways are there to deal 4 5-card poker hands from a deck of 52 cards.

**Answer 4.** There are  $\binom{52}{5}$  hands the first person could get. After that, there are 47 cards left, so there are  $\binom{47}{5}$  hands for the second,  $\binom{42}{5}$  for the third, and finally there are  $\binom{37}{5}$  ways to make a hand for the 4th person. By the product rule, the total number is

$$\binom{52}{5} \cdot \binom{47}{5} \cdot \binom{42}{5} \cdot \binom{37}{5}$$

**Question 5.** How many bit strings of length 14 contain exactly 3 ones?

**Answer 5.** We have  $\binom{14}{3}$  ways to pick which positions should contain the 1's, and then we have to fill in 0s for the rest, so the total number is just  $\binom{14}{3}$

**Question 6.** In the game of poker, how many ways are there to get a full house? A full house is a 2-of-a-kind and a 3-of-a-kind.

**Answer 6.** There are 13 possibilities for the rank (2, 3, K, J, etc) for the 2-of-a-kind, and there are  $\binom{4}{2}$  ways to pick the suits for those. There are 12 possibilities for the rank of the 3-of-a-kind, and there are  $\binom{4}{3}$  ways to pick those suits. Thus, the total number is

$$13 \cdot \binom{4}{2} \cdot 12 \cdot \binom{4}{3}$$

**Question 7.** What is the coefficient of  $xy^2$  in  $(x + y)^3$ ?

**Answer 7.** We can think of  $(x + y)^3 = (x + y)(x + y)(x + y)$ . Then if we multiply this all out, we'll get  $xxx + xxy + xyx + xyy + yxx + yxy + yyx + yyy$ . Then there are 3 of these with one  $x$  and 2  $y$ 's, so 3 must be the coefficient of  $xy^2$ .

What if we wanted to find the coefficient of  $x^3y^8$  in  $(x + y)^{11}$ . We could do something similar to the above, but we'd get  $2^{11} = 2048$  terms! However, all we really care about is those terms with 3  $x$ 's and 8  $y$ 's. That is, we want all the different ways to pick 8 positions for  $y$ 's out of 11 total positions. Thus, the coefficient is  $\binom{11}{3}$ .

This generalizes as follows:

**Theorem 2.** (Binomial Theorem)

$$(x + y)^n = \binom{n}{0}x^n y^0 + \binom{n}{1}x^{n-1}y + \binom{n}{2}x^{n-2}y^2 + \dots + \binom{n}{n}x^0 y^n$$

**Definition 4.** Because of the above, quantities like  $\binom{n}{k}$  are called binomial coefficients.

**Claim 1.**

$$\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n} = 2^n$$

*Proof.* (First) By the binomial theorem, we know that

$$2^n = (1 + 1)^n = \binom{n}{0}1^n 1^0 + \binom{n}{1}1^{n-1}1 + \binom{n}{2}1^{n-2}1^2 + \dots + \binom{n}{n}1^0 1^n = \binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n}$$

which is exactly what we're trying to prove. □

The above is quite quick and succinct, but doesn't really give any insight into **why** this should be true. Let's try a different argument:

*Proof.* (Second) We know  $2^n$  is the number of subsets of an  $n$  element set. Now any such subset must have 0, 1, 2, ..., or  $n$  elements in it. From earlier work, we know that there are  $\binom{n}{k}$  different subsets of size  $k$ . Thus, the total number of subsets is also equal to  $\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n}$ .

Therefore, since they count the same things, these quantities must be equal. □

Proofs like this are called "combinatorial proofs" because they work by showing that you're really just counting the same thing in two different ways.

**Claim 2.**

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

*Proof.* We'll give a combinatorial proof here: The left hand side counts the number of subsets of size  $k$  of a set with  $n + 1$  elements. Let  $T$  be such a set and let  $a \in T$  be any element. Then each size- $k$  subset of  $T$  either contains  $a$  or it doesn't. If it does, then it must also contain  $k - 1$  elements of the remaining  $n$ . If it doesn't, then all  $k$  of its elements must come from the remaining  $n$ . Thus, the right hand side also counts the number of size  $k$  subsets of an  $n + 1$  element set (it just breaks it down into cases). □

The above is the basis for Pascal's Triangle. See book for pretty pictures. (Explain in class.)

**Claim 3.** (Vandermonde's Identity)

$$\binom{m+n}{r} = \binom{m}{r} \binom{n}{0} + \binom{m}{r-1} \binom{n}{1} + \dots + \binom{m}{0} \binom{n}{r}$$

*Proof.* We'll give another combinatorial proof. Suppose we want to form a committee of  $r$  people from a pool of  $m$  men and  $n$  women. Then there are  $\binom{m+n}{r}$  ways to do it. We can also think of this as follows: Any such committee has either:  $r$  men and 0 women,  $r-1$  men and 1 woman,  $r-2$  men and 2 women... or 0 men and  $r$  women. Since there are  $\binom{m}{k} \binom{n}{r-k}$  ways to pick  $k$  men and  $r - k$  women, we see we get exactly the right hand side of what we're trying to prove. □