

# Ricci flows in higher dimensions

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## Bibliography

- R. Bamler, *Entropy and heat kernel bounds on a Ricci flow background*, arXiv:2008.07093
- R. Bamler, *Compactness theory of the space of Super Ricci flows*, arXiv:2008.09298
- R. Bamler, *Structure theory of non-collapsed limits of Ricci flows*, arXiv:2009.03243

# Advertisement

Online class on Ricci flow this fall semester

14:10–15:30 (Pacific time)

August 27 – December 3

email me ([rbamler@berkeley.edu](mailto:rbamler@berkeley.edu)) or check my webpage  
(<https://math.berkeley.edu/~rbamler/rfclass.html>) for Zoom ID

# Motivation & History

Ricci flow  $(M, (g_t)_{t \in [0, T)})$  on a compact manifold  $M^n$ :

$$\partial_t g_t = -2 \operatorname{Ric}_{g_t}$$

**Important Question:** Understand the singularity formation if  $T < \infty$   
(and the long-time asymptotics if  $T = \infty$ )

**Blow-up analysis:**

Choose  $(x_i, t_i) \in M \times [0, T)$  s.t.:

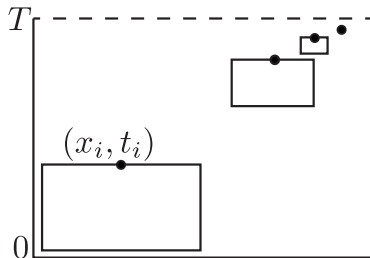
$$t_i \nearrow T \quad |\operatorname{Rm}|(x_i, t_i) \rightarrow \infty$$

Hope that for some  $\lambda_i \rightarrow \infty$ :

$$(M, (\lambda_i^2 g_{\lambda_i^{-2} t + t_i}), x_i) \xrightarrow{i \rightarrow \infty} (M_\infty, (g_\infty, t)_{t \leq 0}, x_\infty)$$

parabolic rescaling

"singularity model"



So far: curvature bounds are necessary!

**Dimension 2:** singularity model =  $(S^2, (2|t|g_{S^2})_{t<0})$  (Chow, Hamilton)

**Dimension 3:** singularity models are  $\kappa$ -solutions ... (Perelman)

**Gradient shrinking soliton**  $(M, g, f)$ :  $\text{Ric} + \nabla^2 f - \frac{1}{2}g = 0$

$\rightsquigarrow g_t := |t|\phi_t^* g$  is RF, where  $\phi_t = \text{flow of } |t|\nabla f, t < 0$

- $|\text{Rm}| \sim C/|t|$  (Type I)
- The singularity model of  $(M, (g_t)_{t<0})$  is the flow itself.

**Type-I curvature bound ( $|\text{Rm}| \leq C/(T - t)$ ):** All singularity models are gradient shrinking solitons. (Sesum, Naber, Enders, Buzano, Topping)

**Type-I scalar curvature bound ( $R \leq C/(T - t)$ ):** All singularity models are gradient shrinking solitons with codimension  $\geq 4$  singular set. (B., Chen, Hallgren, Wang, Zhang)

## Folklore Conjecture

For a general Ricci flow “most” singularity models are gradient shrinking solitons.

Goal of this talk: Verify this conjecture in a certain (possibly optimal) sense.

# Examples in higher dimensions

**Appleton:**  $\exists$  RFs in dimension 4 whose blow-up limits are:

Eguchi-Hanson,  $\mathbb{R}^4/\mathbb{Z}_2$ , (Bryant soliton/ $\mathbb{Z}_2$ ,  $\mathbb{R}P^3 \times \mathbb{R}$ )

*Ricci flat*                      *singular*

*gradient shrinking soliton*

**Stolarski:**  $\exists$  RFs in dimensions  $n \geq 13$  whose only gradient shrinking soliton blow-up limit is a Ricci flat cone

**Li, Tian, Zhu:**  $\exists$  Kähler-RF that has to develop a singularity, but cannot converge to a smooth gradient shrinking soliton.

**Conclusion:** Need to allow singular set in Folklore Conjecture + Ricci flat cones

# Recall: Einstein metrics

Consider a sequence of pointed, complete Einstein manifolds  $(M_i^n, g_i, x_i)$ ,  $\text{Ric} = \lambda_i g_i$ ,  $|\lambda_i| \leq 1$ . Then a subsequence **Gromov-Hausdorff converges** to a pointed metric length space:

$$(M_i^n, g_i, x_i) \xrightarrow[i \rightarrow \infty]{GH} (X, d, x_\infty).$$

Suppose that the following **non-collapsing condition** holds:

$$|B(x_i, r)| \geq \nu > 0.$$

Then there is a **regular-singular decomposition**

$$X = \mathcal{R} \cup \mathcal{S}$$

such that:

- $\mathcal{R}$  is an open manifold and there is a smooth Einstein metric  $g_\infty$  on  $\mathcal{R}$  such that  $d|_{\mathcal{R}} = d_{g_\infty}$ . So  $(X, d)$  is isometric to the metric completion of  $(\mathcal{R}, g_\infty)$ .
- $\dim_{\mathcal{M}} \mathcal{S} \leq n - 4$  (Cheeger, Colding, Tian, Naber)
- Any tangent cone at any point of  $X$  is a metric cone. (Cheeger, Colding)
- There is a stratification  $\mathcal{S}^0 \subset \dots \subset \mathcal{S}^{n-4} = \mathcal{S}$  such that  $\dim_{\mathcal{H}} \mathcal{S}^k \leq k$  and every  $x \in \mathcal{S}^k$  has a tangent cone that splits of an  $\mathbb{R}^k$ -factor. (Cheeger, Naber)



# Main results of this talk

Similar theory for minimal surfaces, harmonic maps, mean curvature flow, harmonic map heat flow, . . .

## Key points:

- There is a compactness and partial regularity theory for Ricci flow that is comparable to (and implies) that of Einstein metrics.
- This theory allows us to establish the Folklore Conjecture and several other related results.
- We need new, parabolic versions of notions such as: “metric space”, “Gromov-Hausdorff limit”, . . .

## Theorem (B. 2020) Compactness theory of Ricci flows

Consider a sequence of  $n$ -dimensional, pointed Ricci flows:

$$(M_i, (g_{i,t})_{t \in (-T_i, 0]}, (x_i, 0)), \quad T_\infty := \lim_{i \rightarrow \infty} T_i > 0.$$

Then a subsequence  $\mathbb{F}$ -converges to a metric flow over  $(-T_\infty, 0]$ :

$$(M_i, (g_{i,t})_{t \in (-T_i, 0]}, (\nu_{x_i, 0})) \xrightarrow[i \rightarrow \infty]{\mathbb{F}} (\mathcal{X}, d, (\nu_{x_\infty})).$$

Suppose that the following non-collapsing condition holds:

$$\mathcal{N}_{x_i, 0}(\tau_0) \geq -Y_0 > -\infty.$$

Then we have a regular-singular decomposition

$$\mathcal{X} = \mathcal{R} \cup \mathcal{S}$$

such that:

- $\mathcal{X}$  restricted to  $\mathcal{R}$  is given by a smooth Ricci flow spacetime structure and  $\mathcal{X}$  is uniquely determined by this structure.
- $\dim_{\mathcal{M}^*} \mathcal{S} \leq (n+2) - 4$
- All tangent flows of  $\mathcal{X}$  are gradient shrinking solitons with singularities.
- There is a filtration  $\mathcal{S}^0 \subset \dots \subset \mathcal{S}^{n-2} = \mathcal{S}$  such that  $\dim_{\mathcal{H}^*} \mathcal{S}^k \leq k$  and every  $x \in \mathcal{S}^k$  has a tangent flow that splits off an  $\mathbb{R}^k$ -factor or is static and splits off an  $\mathbb{R}^{k-2}$ -factor.

# Consequences & Further results

Regarding Folklore Conjecture:

Theorem (B. 2020)

Consider a Ricci flow  $(M, (g_t)_{t \in [0, T)})$ ,  $T < \infty$ . Then there is a metric space

$$(M_T, d_T) \quad " = \lim_{t \nearrow T} (M, g_t) "$$

such that:

- If  $g_t \rightarrow g_T$  smoothly on  $U \subset M$ , then  $U \subset M_T$  and  $d_T|_U$  is locally isometric to  $d_{g_t}|_U$ .
- For any " $(x_i, t_i) \rightarrow (z, T)$ ",  $z \in M_T$ , there is a sequence of blow-ups that converges to singular gradient shrinking soliton.  
This soliton can be viewed as the tangent flow at  $(z, T)$ .

In dimension 4:

Theorem (B. 2020)

In dimension 4 all tangent flows are given by singular gradient shrinking solitons on smooth orbifolds with conical singularities, i.e.  $(M, g, f)$ ,  $\text{Ric} + \nabla^2 f - \frac{1}{2}g = 0$ . Moreover, either  $R > 0$  or  $(M, g) \cong \mathbb{R}^4/\Gamma$ .

Regarding long-time asymptotics:

### Theorem (B. 2020)

If  $(M, (g_t)_{t \geq 0})$  is **immortal**, then for  $Y, t \gg 1$

$$M = M_{\text{thick}}(t) \cup M_{\text{thin}}(t)$$

such that:

- If  $x_i \in M_{\text{thick}}(t_i)$  and  $t_i \rightarrow \infty$ , then  $(M, (t_i^{-1}g_{t_i, t}), x_i)$  converges to a singular, Einstein Ricci flow with  $\text{Ric} = -\frac{1}{2t}g_{\infty, t}$ .  
If  $n = 4$ , then this flow is given by an Einstein orbifold.
- If  $x \in M_{\text{thin}}(t)$ , then  $\mathcal{N}_{x, t}(t/2) \leq -Y$ .

### Theorem (B. 2020)

If  $[t_0 - r^2, t_0] \subset I$  and

$$|B(x_0, t_0, r)| \geq \alpha r^n$$

and

$$|\text{Rm}| \leq (\alpha r)^{-2} \quad \text{on} \quad B(x_0, t_0, r),$$

then  $|\text{Rm}| \leq (\varepsilon(n, \alpha)r)^{-2}$  on  $P(x_0, t_0; \varepsilon r, -(\varepsilon r)^2)$ .

### Further Remarks:

- In dimension 3, this theory essentially recovers Perelman's theory.
- Compactness theory (not assuming non-collapsing) also holds for super Ricci flows  $\partial_t g_t + 2 \text{Ric} \geq 0$ .

# Heat kernels on Ricci flow backgrounds

Let  $(M, (g_t)_{t \in I})$  be a Ricci flow and  $u, v \in C^2(M \times I)$ .

**Heat equation:**  $\square u = (\partial_t - \Delta_{g_t})u = 0$

**Conjugate heat equation:**  $\square^* v = (-\partial_t - \Delta_{g_t} + R_{g_t})v = 0$

**Heat kernel:**  $K(x, t; y, s)$ ,  $x, y \in M$ ,  $s < t$

for fixed  $(y, s)$ :  $\square K(\cdot, \cdot; y, s) = 0$ ,  $\lim_{t \searrow s} K(\cdot, t; y, s) = \delta_y$

for fixed  $(x, t)$ :  $\square^* K(x, t; \cdot, \cdot) = 0$ ,  $\lim_{s \nearrow t} K(x, t; \cdot, s) = \delta_x$

**Representation formulas:** If  $\square u = \square^* v = 0$ , then

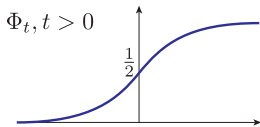
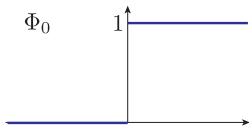
$$u(x, t) = \int_M K(x, t; \cdot, s) u(\cdot, s) dg_s \quad v(y, s) = \int_M K(\cdot, t; y, s) v(\cdot, t) dg_t$$

**Reproduction formula for heat kernel:**  $s < t' < t$

$$K(x, t; y, s) = \int_M K(x, t; \cdot, t') K(\cdot, t'; y, s) dg_{t'}$$

## Properties of heat equation:

- $u \leq C$  and  $u \geq -C$  are preserved.
- $|\nabla u| \leq C$  is preserved
- Let  $\Phi : \mathbb{R} \times \mathbb{R}_{\geq 0}$  be the solution the the 1-dimensional heat equation  $\partial_t \Phi_t = \Phi_t''$  with initial condition  $\Phi_0 = \chi_{[0, \infty)}$ .



## Improved gradient estimate (B. 2020)

If  $0 < u(\cdot, t_0) < 1$ , then for  $t > t_0$

$$u_t(x) = \Phi_t(x') \quad \implies \quad |\nabla u_t|(x) \leq \Phi'_{t-t_0}(x') \quad (*)$$

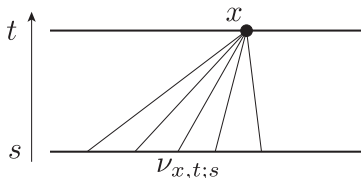
Moreover, (\*) is preserved for any fixed  $t_0$ .

## Properties of conjugate heat equation:

- $v \geq 0$  is preserved
- $\int_M v(\cdot, s) dg_s$  is constant in  $s$  and  $\int_M K(x, t; \cdot, s) dg_s = 1$
- Think of  $v$  as  $d\mu_s = v(\cdot, s) dg_s$ .

## Conjugate heat kernel probability measure:

$$d\nu_{x,t;s} := K(x, t; \cdot, s) dg_s, \quad \nu_{x,t;t} := \delta_x$$



## Integral characterization of (conjugate) heat flows:

Heat flow:  $\square u = 0 \iff u(x, t) = \int_M u(\cdot, s) d\nu_{x,t;s}$

### Conjugate heat flow:

$$d\mu_s = v(\cdot, s) dg_s, \quad \square^* v = 0 \iff \mu_s = \int_M v_{\cdot, t; s} d\mu_t$$

## Reproduction formula:

$$\nu_{x,t;s} = \int_M v_{\cdot, t'; s} d\nu_{x,t;t'}$$



## Metric flow over an interval $I$

$$\mathcal{X} = (\mathcal{X}, \mathfrak{t}, (d_t)_{t \in I}, (\nu_{x;s})_{x \in \mathcal{X}, s \in I, s \leq \mathfrak{t}(x)})$$

- 1  $\mathcal{X}$  is a set consisting of **points**
- 2  $\mathfrak{t} : \mathcal{X} \rightarrow I$  is the **time-function** and its level sets  $\mathcal{X}_t := \mathfrak{t}^{-1}(t)$  are **time-slices**
- 3  $(\mathcal{X}_t, d_t)$  is a complete and separable metric space for all  $t \in I$
- 4  $\nu_{x;s}$  are probability measures called **conjugate heat kernel** and satisfy  $\nu_{x;\mathfrak{t}(x)} = \delta_x$  and the **reproduction formula**

$$\nu_{x;s} = \int_{\mathcal{X}_t} \nu_{\cdot, t; s} d\nu_{x;t}$$

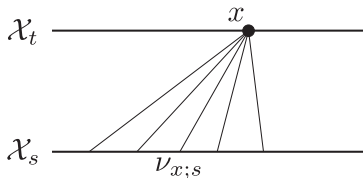
- 5 (**Conjugate**) **heat flows** are defined using the integral property as before.
- 6 We require that the improved gradient estimate holds for heat flows:  
If  $u_{t_0} = \Phi_{t_0} \circ f_{t_0}$  for some 1-Lipschitz  $f_{t_0} : \mathcal{X}_{t_0} \rightarrow \mathbb{R}$ , then for all  $t \geq t_0$  we have  $u_t = \Phi_t \circ f_t$  for some 1-Lipschitz  $f_t : \mathcal{X}_t \rightarrow \mathbb{R}$ .

Ricci flow  $(M, (g_t)_{t \in I}) \longrightarrow$  Metric flow  $\mathcal{X}$

- $\mathcal{X} := M \times I$
- $t :=$  projection onto second factor.
- $d_t := d_{g_t}$  on  $\mathcal{X}_t = M \times \{t\}$
- $d\nu_{(x,t);s} := K(x, t; \cdot, s) dg_s$

**Note:**

- The distance between points in different time-slices is not defined!
- This construction forgets worldlines  $t \mapsto (x, t)$ .  
Instead: For  $x \in \mathcal{X}_t$  there is a probability distribution  $\nu_{x;s}$  of points  $y \in \mathcal{X}_s$  that lie in the “past” of  $x$ .



# Concentration property

**Variance of probability measure  $\mu$  on a metric space  $(X, d)$ :**

$$\text{Var}(\mu) := \int_X \int_X d^2(x, y) d\mu(x) d\mu(y)$$

**Theorem (B. 2020)**

On any Ricci flow

$$\text{Var}(\nu_{x,t;s}) \leq H_n(t - s), \quad (*)$$

where  $H_n := \frac{(n-1)\pi^2}{2} + 4$ .

A metric flow  $\mathcal{X}$  is called  **$H$ -concentrated** if  $(*) + \dots$  holds for  $H_n = H$ .

*“The past in  $\mathcal{X}_s$  of any point  $x \in \mathcal{X}_t$  is determined up to an error of  $\sim \sqrt{t - s}$ .”*

# 1-Wasserstein distance

$\mu_1, \mu_2$  probability measures on complete, separable metric space  $(X, d)$

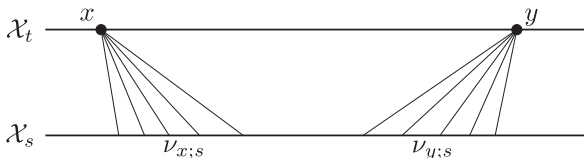
$$d_{W_1}(\mu_1, \mu_2) := \inf_{\substack{q \text{ coupling} \\ \text{btw } \mu_1, \mu_2}} \int_{X \times X} d \, dq = \sup_{\substack{f : X \rightarrow \mathbb{R} \\ \text{1-Lipschitz}}} \int_X f \, d(\mu_1 - \mu_2)$$

## Lemma

If  $x, y \in \mathcal{X}_t$ , then for  $s \leq t$  we have

$$d_{W_1}^{\mathcal{X}_s}(\nu_{x;s}, \nu_{y;s}) \leq d_t(x, y).$$

Moreover,  $s \mapsto d_{W_1}^{\mathcal{X}_s}(\nu_{x;s}, \nu_{y;s})$  is non-decreasing and the same is true for any other pair of conjugate heat flows.

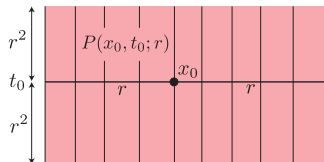


*“Distances don’t shrink on metric flows (in a probabilistic sense)”*

# Parabolic balls

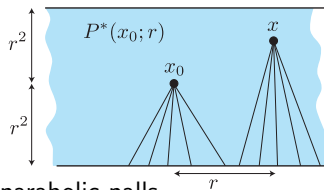
## Conventional parabolic ball in a Ricci flow:

$$P(x_0, t_0; r) := B_{g_{t_0}}(x_0, r) \times [t_0 - r^2, t_0 + r^2]$$



## $P^*$ -parabolic ball in a metric flow:

$$P^*(x_0; r) := \left\{ x \in \mathcal{X}_{t_0} : \begin{array}{l} t(x) \in [t_0 - r^2, t_0 + r^2] \\ d_{W_1}^{\mathcal{X}_{t_0 - r^2}}(\nu_{x_0; t_0 - r^2}, \nu_{x; t_0 - r^2}) < r \end{array} \right\}$$



- standard containment properties still hold for  $P^*$ -parabolic balls (e.g.  $P^*(x; r_1) \subset P^*(x; r_2)$  if  $r_1 \leq r_2$ )
- Conventional and  $P^*$ -parabolic balls are comparable if curvature bounded.
- The **natural topology** on  $\mathcal{X}$  is generated by the set of all  $P^*$ -parabolic balls.
- $P^*$ -parabolic balls allow the definition of the parabolic **Hausdorff and Minkowski dimension**  $\dim_{\mathcal{H}^*}$  and  $\dim_{\mathcal{M}^*}$ .

We count the time-direction twice!

# Gromov- $W_1$ -distance and convergence

## Gromov- $W_1$ -distance

If  $(X_i, d_i, \mu_i)$ ,  $i = 1, 2$ , are two normalized metric measure spaces, then

$$d_{GW_1}((X_1, d_1, \mu_1), (X_2, d_2, \mu_2)) := \inf_{\varphi_1, \varphi_2, Z} d_{W_1}^Z((\varphi_1)_* \mu_1, (\varphi_2)_* \mu_2),$$

where the infimum is taken over all isometric embeddings  $\varphi_i : (X_i, d_i) \rightarrow (Z, d_Z)$  into a common metric space  $(Z, d_Z)$ .

## Gromov- $W_1$ -convergence

$$(X_i, d_i, \mu_i) \xrightarrow[i \rightarrow \infty]{GW_1} (X_\infty, d_\infty, \mu_\infty)$$

## Important observation

Compare with pointed Gromov-Hausdorff convergence: The probability measures  $\mu_i$  take the role of the basepoint.

# $d_{\mathbb{F}}$ -distance and $\mathbb{F}$ -convergence

## $d_{\mathbb{F}}$ -distance:

Consider metric flows  $\mathcal{X}_i$ ,  $i = 1, 2$  equipped with conjugate heat flows  $(\mu_{i,t})_{t \in I}$ . We define

$$d_{\mathbb{F}}((\mathcal{X}^1, (\mu_t^1)_{t \in I}), (\mathcal{X}^2, (\mu_t^2)_{t \in I}))$$

to be the infimum over all  $r > 0$  such that there are isometric embeddings

$$(\varphi_t^i : (\mathcal{X}_t^i, d_t^i) \rightarrow (Z_t, d_t^Z))_{t \in I \setminus E, i=1,2}$$

with:

- 1  $|E| \leq r^2$
- 2  $d_{W_1}^{Z_t}((\varphi_t^1)_* \mu_t^1, (\varphi_t^2)_* \mu_t^2) \leq r$  for all  $t \in I \setminus E$
- 3 “integral  $W_1$ -closeness of conjugate heat kernels between times  $s, t \in I \setminus E$ ”

## $\mathbb{F}$ -convergence

If  $d_{\mathbb{F}}((\mathcal{X}^i, (\mu_t^i)_{t \in I}), (\mathcal{X}^\infty, (\mu_t^\infty)_{t \in I})) \rightarrow 0$ , then we write

$$(\mathcal{X}_i, (\mu_{i,t})_{t \in I_i}) \xrightarrow[i \rightarrow \infty]{\mathbb{F}} (\mathcal{X}_\infty, (\mu_{\infty,t})_{t \in I_i})$$

This implies Gromov- $W_1$ -convergence at almost every time.

Let  $\mathbb{F}_I$  be the space of pairs  $(\mathcal{X}, (\mu_t)_{t \in I})$ .

Theorem (B. 2020)

$(\mathbb{F}_I, d_{\mathbb{F}})$  is a complete metric space.

Suppose  $I = (-T, 0]$ . Fix  $n$ .

Theorem (B. 2020)

$\left\{ \begin{array}{l} (\mathcal{X}, (\mu_t)_{t \in I}) \text{ corresponding to} \\ \text{Ricci flows } (M^n, (g_t)_{t \in I}, (\nu_{x,0;t})_{t \in I}) \end{array} \right\} \subset \mathbb{F}_I$  is precompact. (\*)

Corollary

For any sequence of  $n$ -dimensional, pointed Ricci flows  $(M_i, (g_{i,t})_{t \in (-T, 0]}, (x_i, 0))$  there is a subsequence such that:

$$(M_i, (g_{i,t})_{t \in (-T_i, 0]}, (\nu_{x_i, 0})) \xrightarrow[i \rightarrow \infty]{\mathbb{F}} (\mathcal{X}, (\nu_{x_\infty})).$$

**Remark:** There is a compact subset  $\mathbb{F}_I^*(H) \subset \mathbb{F}_I$ , essentially corresponding to all  $H$ -concentrated metric flows, that contains the subset from (\*).



# Digesting $\mathbb{F}$ -convergence

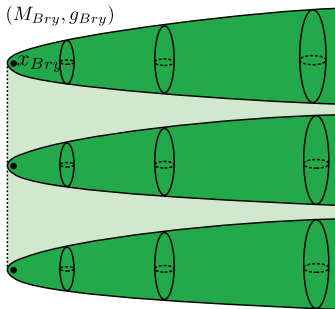
If we assume curvature bounds, then:  $\mathbb{F}$ -convergence  $\iff$  local smooth convergence in the sense of Cheeger, Gromov, Hamilton.

**Example:** Bryant soliton  $(M_{\text{Bry}}, (g_{\text{Bry}, t})_{t \in \mathbb{R}}, x_{\text{Bry}})$   $(M_{\text{Bry}}, g_{\text{Bry}})$

- rotational symmetric
- $g_{\text{Bry}, t} = dr^2 + f^2(r)g_{S^2}$ ,  
where  $f(r) \sim \sqrt{r}$
- steady gradient soliton  
 $\implies$  all time-slices are isometric

Consider blow-downs  $(M_{\text{Bry}}, (\lambda_i^2 g_{\text{Bry}, \lambda_i^{-2} t})_{t \in \mathbb{R}}, x_{\text{Bry}})$   
for  $\lambda_i \rightarrow 0$ .

- Gromov-Hausdorff limit at any fixed time:  
 $[0, \infty)$
- $\mathbb{F}$ -limit:  
round shrinking cylinder  $(S^2 \times \mathbb{R}, (g_t = 2|t|g_{S^2} + g_{\mathbb{R}})_{t < 0})$   
this is the asymptotic soliton!



**Ricci flow spacetime over an interval  $I$ :**

$$\mathcal{M} = (\mathcal{M}, \mathfrak{t}, \partial_{\mathfrak{t}}, g)$$

- 1  $\mathcal{M}$  is a smooth  $(n + 1)$ -manifold, called **spacetime manifold**
- 2  $\mathfrak{t} : \mathcal{M} \rightarrow I$  is a smooth map whose level sets  $\mathcal{M}_t := \mathfrak{t}^{-1}(t)$  are called **time-slices**.
- 3  $\partial_{\mathfrak{t}}$  is a smooth vector field on  $\mathcal{M}$  with  $\partial_{\mathfrak{t}} \mathfrak{t} = 1$ . Its trajectories are **worldlines**.
- 4  $g$  is a metric on the horizontal distribution  $\ker d\mathfrak{t} \subset T\mathcal{M}$
- 5 **Ricci flow equation:**  $\mathcal{L}_{\partial_{\mathfrak{t}}} g = -2 \operatorname{Ric}_g$

**Ricci flow**  $(M, (g_t)_{t \in I}) \longrightarrow$  **Ricci flow spacetime**  $\mathcal{M}$

- $\mathcal{M} := M \times I$
- $\mathfrak{t} :=$  projection onto second factor
- $\partial_{\mathfrak{t}} :=$  std. vector field on  $I$
- $g := g_t$  on  $\mathcal{M}_t = M \times \{t\}$

# Structure of non-collapsed $\mathbb{F}$ -limits

Let  $\mathcal{X}$  be a  $\mathbb{F}$ -limit of smooth Ricci flows over  $I$ .

Assume the non-collapsing condition  $\mathcal{N}_{x_i,0}(\tau_0) \geq -Y_0 > -\infty$ .

## Theorem (B. 2020)

There is a decomposition

$$\mathcal{X} = \mathcal{R} \cup \mathcal{S}$$

and a smooth Ricci flow spacetime structure  $(\mathcal{R}, t, \partial_t, g)$  on  $\mathcal{R}$  such that:

- $\mathcal{R} \subset \mathcal{X}$  is open and dense.
- For any  $t \in I$  the time-slice  $(\mathcal{X}_t, d_t)$  is the metric completion of  $(\mathcal{R}_t, g_t)$ .
- (Conjugate) heat flows restricted to  $\mathcal{R}$  are uniquely characterized by  $\square u = 0$  and  $\square^* v = 0$  on  $\mathcal{R}$ .
- $\dim_{\mathcal{M}^*} \mathcal{S} \leq (n+2) - 4$
- Tangent flows at any  $x \in \mathcal{X}$  ( $= \mathbb{F}$ -limits of blow-ups of  $(\mathcal{X}, (\nu_{x,t}))$ ) are singular gradient shrinking solitons.
- There is a filtration  $\mathcal{S}^0 \subset \dots \subset \mathcal{S}^{n-2} = \mathcal{S}$  such that  $\dim_{\mathcal{H}^*} \mathcal{S}^k \leq k$  and every  $x \in \mathcal{S}^k$  has a tangent flow that splits off an  $\mathbb{R}^k$ -factor or is static and splits off an  $\mathbb{R}^{k-2}$ -factor.

## Theorem (B. 2020)

If  $\mathcal{X}$  is a gradient shrinking soliton, then there is an identification

$$\mathcal{X} = X \times I$$

for a metric space  $(X, d)$  with regular part  $\mathcal{R}_X \subset X$  such that:

- $(\mathcal{X}_t, d_t) = (X, |t|^{1/2}d)$
- $(\mathcal{R}_t, g_t) = (\mathcal{R}_X, |t|g_{\mathcal{R}_X})$
- The soliton equation holds on  $\mathcal{R}_X$ .

If  $n = 4$ , then  $(X, d)$  is the length space of a smooth orbifold.

# Outstanding promise: Non-collapsing condition

## Pointed Nash entropy:

(Perelman, Topping, Hein, Naber)

Fix  $(x_0, t_0) \in M \times I$  and write  $\tau := t_0 - t$ ,  $K(x_0, t_0; \cdot, \cdot) := (4\pi\tau)^{-n/2} e^{-f}$

$$\mathcal{N}_{x_0, t_0}(\tau) := \int_M f(\cdot, t_0 - \tau) d\nu_{x_0, t_0; t_0 - \tau} - \frac{n}{2}$$

## Basic properties:

- $\mathcal{N}_{x_0, t_0}(\tau) \leq 0$
- $\frac{d}{d\tau} \mathcal{N}_{x_0, t_0}(\tau) \leq 0$
- There is a relation between  $\mathcal{N}$  and Perelman's  $\mu$ -entropy that implies: If  $I = [0, T)$ , then

$$\mathcal{N}_{x_0, t_0}(\tau) \geq \mu[M, g_0, T] > -\infty.$$

So a non-collapsing condition always holds on a fixed flow with  $T < \infty$ .

**Guiding principle:** On a manifold with  $\text{Ric} \geq -g$ :  $\frac{|B(x, r)|}{r^n} \approx e^{\mathcal{N}_x(r^2)}$

## Theorem (B. 2020)

Suppose that  $R \geq R_{\min}$ . Set  $\mathcal{N}_s^*(x, t) := \mathcal{N}_{x,t}(t-s)$ .

$$\textcircled{1} \quad |\nabla \mathcal{N}_s^*| \leq \sqrt{\frac{n}{2(t-s)} - R_{\min}}$$

$$\textcircled{2} \quad -\frac{n}{2(t-s)} \leq \square \mathcal{N}_s^* \leq 0$$

$\textcircled{3}$  (1)+(2) imply a bound on  $\text{osc} \mathcal{N}_s^*$  over  $P^*$ -parabolic neighborhoods.

$\textcircled{4}$  For any  $(x, t)$ ,  $s < t$ , there is a point  $z$  near the “center” of  $\nu_{x,t;s}$  such that

$$K(x, t; y, s) \leq \frac{C(\varepsilon)}{(t-s)^{n/2}} \exp\left(-\frac{d_s^2(y, z)}{(8+\varepsilon)(t-s)}\right)$$

$$\textcircled{5} \quad |B(x, t, r)| \leq C(R_{\min}) \exp(\mathcal{N}_{x,t}(r^2))$$

$\textcircled{6}$  Reverse lower volume bound holds near concentration centers of conjugate heat kernels and under scalar curvature bounds.

$\textcircled{7}$  ...

# The picture at the first singular time

Suppose that  $(M, (g_t)_{t \in [0, T)})$  develops a singularity at time  $T < \infty$ .

**Singular time-slice**  $(M_T, d_T)$ :

$$M_T := \left\{ \text{conjugate heat flows } (\mu_t)_{t \in [0, T)} : \text{Var}(\mu_t) \leq H_n(T - t) \right\}$$

$$d_T((\mu_t^1), (\mu_t^2)) := \lim_{t \nearrow T} d_{W_1}^{g_t}(\mu_t^1, \mu_t^2)$$

## Theorem

- $(M_T, d_T)$  is a complete metric space.
- If  $g_t \rightarrow g_T$  on  $U$  as  $t \nearrow T$ , then  $U \leftrightarrow U' \subset M_T$  and  $d_{g_T} \cong d_T$  locally.
- For any  $p := (\mu_t)$  any blow-ups of  $(M, (g_t)_{t \in [0, T)}, (\mu_t)_{t \in [0, T)})$  subsequentially  $\mathbb{F}$ -converge to a singular gradient shrinking soliton.