

# Homotopy Techniques for Tensor Decomposition and



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# Tensors, Rank, and Identifiability

- A tensor of format  $(n_1, n_2, \dots, n_d)$  is a hypermatrix  $\mathcal{T} = (\mathcal{T}_{i_1, i_2, \dots, i_d})$  (assume entries in  $\mathbb{C}$ ), with  $1 \leq i_j \leq n_j$  for all  $j$ .
- Since  $\mathcal{T}$  has  $\prod_{j=1}^d n_j$  entries, it represents a huge set of data.
- **Basic question:** Find a sparse representation of  $\mathcal{T}$ .
- A rank-one tensor (*a point on a Segre variety*) is a tensor  $\mathcal{T}$  such that  $\mathcal{T}_{i_1, \dots, i_d} = v_{1, j_1} \cdot v_{2, j_2} \cdots v_{d, j_d}$  for some vectors  $\vec{v}_j$  of lengths  $n_j$ .
- Rank-one tensors only have essentially  $\sum_{j=1}^d n_j$  pieces of information.
- A rank- $r$  tensor (*a general point on the  $r$ -th secant variety of the Segre variety*) is the sum of  $r$  rank-one tensors.
- A rank- $r$  tensor only contains essentially  $r \cdot \sum_{j=1}^d n_j$  pieces of information, which is potentially much smaller than the full dimension  $\prod_{j=1}^d n_j$ .
- So a low-rank representation of  $\mathcal{T}$  is a *sparse* presentation.

# Some Applications of Secant Varieties

- Classical Algebraic Geometry: When can a given projective variety  $X \subset \mathbb{P}^n$  be isomorphically projected into  $\mathbb{P}^{n-1}$ ?

Determined by the **dimension** of the secant variety  $\sigma_2(X)$ .

- Algebraic Complexity Theory: Bound the border rank of algorithms via equations of secant varieties. [Berkeley-Simons program Fall'14](#)

- Algebraic Statistics and Phylogenetics:

Given contingency tables for DNA of several species, determine the correct statistical model for their evolution.

Find invariants (**equations**) of mixture models (secant varieties).

For star trees / bifurcating trees this is [the salmon conjecture](#).

- Signal Processing: Blind identification of under-determined mixtures, analogous to CDMA technology for cell phones.

A given signal is the sum of many signals, one for each user.

Decompose the signal **uniquely** to recover each user's signal.

- Computer Vision, Neuroscience, Quantum Information Theory, Chemistry...

# First algebraic / geometric questions for tensors

Let  $X \subset \mathbb{P}\mathbb{C}^N$ , with  $N = n_1 \times \cdots \times n_d$ , denote the set of rank-one tensors, and let  $\sigma_r(X)$  denote the Zariski closure of the set of rank- $r$  tensors.

- 1 [Dimensions] What is the dimension of  $\sigma_r(X)$ ?
  - When does  $\sigma_r(X)$  fill the ambient  $\mathbb{P}\mathbb{C}^N$ ? (defectivity)
- 2 [Equations] What are the polynomial defining equations of  $\sigma_r(X)$ ?
- 3 [Decomposition] For my favorite  $\mathcal{T} \in \mathbb{C}^N$ , can you find an expression of  $\mathcal{T}$  as a sum of points from  $X$ ?
- 4 [Specific Identifiability] For a given  $\mathcal{T} \in \mathbb{C}^N$ , does  $\mathcal{T}$  have a unique decomposition (ignoring trivialities)?
- 5 [Generic Identifiability] For *generic*  $\mathcal{T} \in \mathbb{C}^N$ , does  $\mathcal{T}$  have a unique decomposition (ignoring trivialities)?

Today: Focus on Generic Identifiability

## Geometric version of identifiability

Let  $X \subset \mathbb{C}^N$ , with  $N = n_1 \times \cdots \times n_d$ , denote the set of rank-one tensors, and let  $\sigma_r(X)$  denote the Zariski closure of the set of rank- $r$  tensors.

Construct the *incidence variety*

$$\mathcal{I} := \{([\mathcal{T}], [\mathcal{T}^1], \dots, [\mathcal{T}^r]) \mid [\mathcal{T}^i] \in X, \mathcal{T} \in \langle \mathcal{T}^1, \dots, \mathcal{T}^r \rangle\}$$

$$\begin{array}{c} \mathcal{I} \subset \mathbb{P}^N \times X \times \dots \times X \\ \downarrow \pi \\ \sigma_r(X) \end{array}$$

- Projection onto the first factor:  $\pi(\mathcal{I}) = \sigma_r(X)$ .
- Note  $\dim(\mathcal{I}) = r \cdot \dim(\widehat{X}) - 1$ . If the fiber  $\pi^{-1}([\mathcal{T}])$  over a generic  $[\mathcal{T}] \in \sigma_r(X)$  is finite, then  $\dim(\sigma_r(X)) = \dim(\mathcal{I})$ .
- If  $r$  is the smallest such that  $\sigma_r(X) = \mathbb{P}^N$ , say that  $r$  is the **generic rank**.
- Moreover,  $\#\pi^{-1}([\mathcal{T}])$  is the number of decompositions of  $\mathcal{T}$ .

### Definition

If  $[\mathcal{T}] \in \sigma_r(X)$  is such that  $\#\pi^{-1}([\mathcal{T}]) = r!$ , then we say that  $\mathcal{T}$  is *identifiable* and that the decomposition of  $\mathcal{T}$  is *essentially unique*.

# Perfect identifiability for tensors

For  $\mathcal{T} \in \mathbb{C}^{n_1} \otimes \dots \otimes \mathbb{C}^{n_d}$ , based on dimension count, the generic rank is at least

$$R(n_1, \dots, n_d) := \frac{\prod_{i=1}^d n_i}{\sum_{i=1}^d (n_i - 1) + 1} = \frac{\prod_{i=1}^d n_i}{\left(\sum_{i=1}^d n_i\right) + 1 - d}$$

- The value  $\lceil R(n_1, \dots, n_d) \rceil$  is called the **expected generic rank**.
- A necessary condition for generically finitely many decompositions is for  $R(n_1, \dots, n_d)$  to be an integer, a.k.a **perfect format**.
- When the generic tensor of perfect format has an essentially unique decomposition, we say that **perfect identifiability** holds.

## Known Results for “unbalanced formats”

Assume  $d \geq 3$  and  $2 \leq n_1 \leq n_2 \leq \dots \leq n_d$ . If  $n_d \geq \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ , we say that the format  $(n_1, \dots, n_d)$  is **unbalanced**.

Theorem (Catalisano-Geramita-Gimigliano’02,  
Abo-Ottaviani-Peterson’09, Bocci-Chiantini-Ottaviani’13)

For formats  $(n_1, \dots, n_d)$ , suppose that  $n_d \geq \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ .

- 1 The generic rank is  $\min\left(n_d, \prod_{i=1}^{d-1} n_i\right)$ .
- 2 A general tensor of rank  $r$  has a unique decomposition if  $r < \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ .
- 3 A general tensor of rank  $r = \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$  has exactly  $\binom{D}{r}$  different decompositions where  $D = \frac{(\sum_{i=1}^{d-1} (n_i - 1))!}{(n_1 - 1)! \cdots (n_{d-1} - 1)!}$ .  
This value of  $r$  coincides with the generic rank in the perfect case:  $r = n_d$ .
- 4 If  $n_d > \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ , a general tensor of rank  $r > \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$  has infinitely many decompositions.

# Monodromy for Tensor Identifiability (using Bertini)

The problem:

Given  $\mathcal{T}$  find rank-one tensors  $\mathcal{T}^i$  so that  $\mathcal{T} = \sum_{i=1}^r \mathcal{T}^i$ .

Asks to solve a straightforward system of polynomial equations. In general, this can be a very difficult problem.

- One method to numerically solve large systems of polynomials is to use [homotopy continuation](#), in a software package like **Bertini**.
- The idea is to start with a similar system  $G$  whose solutions you know (like roots of unity). Then perform a homotopy to your system  $F$ :

$$t \cdot G + (1 - t) \cdot F \quad t \in [0, 1]$$

and numerically track the paths traced out by the solutions of  $G$ . The paths should end in solutions of the  $F$ .

- *generically* can construct paths that avoid singularities and end points are non-singular (real 1-dimensional path, singular locus has complex codim 1, so real codim 2.)



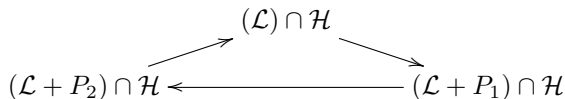
# Numerical Algebraic Geometry & Bertini

input: An affine variety  $\mathcal{H}$ .

Output:  $\deg \mathcal{H}$

- 1 Choose a random linear space  $\mathcal{L}$  with  $\dim \mathcal{L} = \text{codim } \mathcal{H}$ .
- 2 Generate a point  $x \in \mathcal{H} \cap \mathcal{L}$ . Initialize  $\mathcal{W} := \{x\}$ .
- 3 Perform a random monodromy loop starting at the points in  $\mathcal{W}$ :
  - (a) Pick a random loop  $\mathcal{M}(t)$  in the grassmannian of linear spaces so that  $\mathcal{M}(0) = \mathcal{M}(1) = \mathcal{L}$ .
  - (b) Trace the curves  $\mathcal{H} \cap \mathcal{M}(t)$  starting at the points in  $\mathcal{W}$  at  $t = 0$  to compute the endpoints  $\mathcal{E}$  at  $t = 1$ . (Hence,  $\mathcal{E} \subset \mathcal{H} \cap \mathcal{L}$ ).
  - (c) Update  $\mathcal{W} := \mathcal{W} \cup \mathcal{E}$ , sort  $\mathcal{W}$ , remove repeats and symmetric copies.
- 4 Repeat (2) until  $\#\mathcal{W}$  stabilizes.
- 5 Use the trace test to verify that  $\mathcal{W} = \mathcal{H} \cap \mathcal{L}$ .
- 6 Return  $\deg \mathcal{H} = \#\mathcal{H}(\cap \mathcal{L})$ .

A triangular monodromy loop for random points  $P_1$  and  $P_2$  in  $\mathbb{C}^N$ :



# Monodromy for Tensor Decomposition (using Bertini)

Start: A general tensor  $\mathcal{T}$  of format  $(n_1, \dots, n_d)$  with known minimal decomposition,  $\mathcal{T} = \sum_{i=1}^r (v_1^i \otimes \dots \otimes v_d^i)$ .

(dehomogenize): Set  $(v_j^i)_1 = 1$  for  $i = 1, \dots, r$  and  $j = 1, \dots, d - 1$ .

- **Input system:**

$$F_{\mathcal{T}}(v_1^1, \dots, v_d^r) = \left[ \begin{array}{c} \mathcal{T} - \sum_{i=1}^r (v_1^i \otimes \dots \otimes v_d^i) \\ (v_j^i)_1 - 1 \text{ for } i = 1, \dots, r \text{ and } j = 1, \dots, d - 1 \end{array} \right] = 0$$

- The system  $F_{\mathcal{T}}$  consists of  $\prod_{j=1}^d n_j + r(d - 1)$  polynomials in  $r \cdot \sum_{j=1}^d n_j$  variables. Balanced format  $\Rightarrow$  square system.
- Let  $\mathcal{W} \subset (\mathbb{C}^{n_1} \times \dots \times \mathbb{C}^{n_d})^r$  be the known decompositions of  $T$ .
- Homotopy: For a loop  $\tau : [0, 1] \rightarrow \mathbb{C}^{n_1 \dots n_d}$  with  $\tau(0) = \tau(1) = \mathcal{T}$ , consider the homotopy

$$H(v_1^1, \dots, v_d^r, s) = F_{\tau(s)}(v_1^1, \dots, v_d^r) = 0.$$

- Endpoints are decompositions of  $\mathcal{T}$ . If new, add results to  $\mathcal{W}$ .
- Repeat until  $|\mathcal{W}|$  stabilizes (at least 20 additional randomly selected loops failed to yield any new decompositions), and possibly use **AlphaCertify**.

## Theorem (CGG'02, AOP'09, BCC'13)

For formats  $(n_1, \dots, n_d)$ , suppose that  $n_d \geq \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ .

- 1 The generic rank is  $\min\left(n_d, \prod_{i=1}^{d-1} n_i\right)$ .
- 2 A general tensor of rank  $r$  has a unique decomposition if  $r < \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ .
- 3 A general tensor of rank  $r = \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$  has exactly  $\binom{D}{r}$  different decompositions where

$$D = \frac{\left(\sum_{i=1}^{d-1} (n_i - 1)\right)!}{(n_1 - 1)! \cdots (n_{d-1} - 1)!}$$

This value of  $r$  coincides with the generic rank in the perfect case: when  $r = n_d$ .

- 4 If  $n_d > \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ , a general tensor of rank  $r > \prod_{i=1}^{d-1} n_i - \sum_{i=1}^{d-1} (n_i - 1)$ , e.g., a general tensor of format  $(n_1, \dots, n_d)$ , has infinitely many decompositions.

## Computational results: Unbalanced cases

Some known perfect cases and the number of decompositions.

$(n_1, \dots, n_d)$	gen. rank	# of decomp. of general tensor
$(2, n, n)$	$n$	(Weierstrass-Kronecker) 1
$(3, 3, 5)$	5	6
$(3, 4, 7)$	7	120
$(3, 5, 9)$	9	5005
$(3, 6, 11)$	11	352716
$(4, 4, 10)$	10	184756
$(2, 2, 2, 5)$	5	6
$(2, 2, 3, 8)$	8	495

## Computational results: Perfect cases, 3 factors

All perfect, balanced tensor formats of 3-tensors with  $\prod_{i=1}^3 n_i \leq 150$ .

$(n_1, n_2, n_3)$	gen. rank	# of decomp. of general tensor
(3, 4, 5)	6	<b>1</b>
(3, 6, 7)	9	38
(4, 4, 6)	8	62
(4, 5, 7)	10	$\geq 222,556$

After the numerical results, we were motivated to prove the following:

### Theorem (HOOS 2015)

*The general tensor of format (3, 4, 5) has a unique decomposition as a sum of 6 decomposable summands.*

Our proof relies on algebraic geometry, vector bundles and intersection theory, and relies on a notion of *non-abelian polarity*.

## Computational results: Perfect cases, 4 factors

All perfect, balanced tensor formats with  $d \geq 4$  and  $\prod_{i=1}^d n_i \leq 100$ .

$(n_1, \dots, n_d)$	gen. rank	# of decomp. of general tensor
(2, 2, 2, 3)	4	<b>1</b>
(2, 2, 3, 4)	6	4
(2, 2, 4, 5)	8	68
(2, 3, 3, 4)	8	471
(2, 3, 3, 5)	9	7225
(3, 3, 3, 3)	9	20,596
(2, 2, 2, 2, 4)	8	447
(2, 2, 2, 3, 3)	9	18,854
(2, 2, 2, 2, 2, 3)	12	$\geq 238,879$

Again, motivated by the numerical evidence we were able to prove:

### Theorem (HOOS 2015)

*The general tensor of format (2, 2, 2, 3) has a unique decomposition as a sum of 4 decomposable summands.*

A similar proof to the (3, 4, 5)-case also works here.

# A conjecture

## Conjecture (HOOS 2015)

*The only perfect formats  $(n_1, \dots, n_d)$  where a general tensor has a unique decomposition are*

- 1  $(2, k, k)$  for some  $k$  – matrix pencils, known classically by Kronecker normal form,
- 2  $(3, 4, 5)$ , and
- 3  $(2, 2, 2, 3)$ .

The generic rank is known to be equal to the expected one for the cubic format  $(n, n, n)$  [Lickteig'85], which is not perfect for  $n \geq 3$ , and in the binary case  $(2, \dots, 2)$  for at least  $k \geq 5$  factors [CGG'11], which is perfect if  $k + 1$  is a power of 2. A numerical check for  $k = 7$  shows it is not identifiable.

## Methods: Koszul Flattenings

The **Koszul complex**: linear maps  $K_p: \bigwedge^p V \rightarrow \bigwedge^{p+1} V$  depending linearly on  $V$ .

$$K_p(v)(\varphi) = \varphi \wedge v \text{ for } p \geq 0, \quad K_p(v)(\varphi) = \varphi(v) \text{ for } p < 0.$$

Set  $V_I = \bigwedge^{i_1} V_1 \otimes \bigwedge^{i_2} V_2 \otimes \cdots \otimes \bigwedge^{i_d} V_d$ , and form a tensor product of Koszul maps:

$$K_I: V_I \rightarrow V_{I+1^d},$$

that depend linearly on  $V_{(1,\dots,1)} = V_1 \otimes \cdots \otimes V_d$ .

### Lemma (Koszul Flattening)

Suppose  $T \in V_{1,\dots,1}$  has tensor rank  $r$ . Let  $i_j \geq 0$  for  $j = 1, \dots, h$ ,  $i_j < 0$  for  $j = h+1, \dots, d$ . The Koszul flattening  $K_I(T): V_I \rightarrow V_{I+1^d}$  has rank at most

$$r_I := r \cdot \prod_{j=1}^h \binom{n_j-1}{i_j} \cdot \prod_{j=h+1}^d \binom{n_j-1}{-i_j-1}.$$

In particular, the  $(r_I + 1) \times (r_I + 1)$  minors of  $K_I(T)$  vanish.

Meaningful if  $r_I < \min\{\dim V_I, \dim V_{I+1^d}\}$ .

**Basic idea:** A Koszul flattening of  $\mathcal{T}$  is a matrix constructed from the entries of  $\mathcal{T}$  that has rank at most a multiple of the rank of  $\mathcal{T}$ : detect  $\text{Rank}(\mathcal{T})$ .



## The $3 \times 4 \times 5$ case

Let us denote the three factors as  $A = \mathbb{C}^3$ ,  $B = \mathbb{C}^4$ ,  $C = \mathbb{C}^5$ . The following are all possible non-trivial, non-redundant Koszul flattenings (up to transpose).

- usual flattenings:

$$K_{(0,-1,-1)}: (B \otimes C)^* \rightarrow A,$$

$$K_{(-1,0,-1)}: (A \otimes C)^* \rightarrow B,$$

$$K_{(-1,-1,0)}: (A \otimes B)^* \rightarrow C,$$

- Koszul flattenings:

$$K_{(1,-1,0)}: B^* \otimes A \rightarrow C \otimes \bigwedge^2 A, \quad K_{(1,0,-1)}: C^* \otimes A \rightarrow B \otimes \bigwedge^2 A,$$

$$K_{(0,1,-1)}: C^* \otimes B \rightarrow A \otimes \bigwedge^2 B, \quad K_{(-1,1,0)}: A^* \otimes B \rightarrow C \otimes \bigwedge^2 B,$$

$$K_{(-1,0,1)}: A^* \otimes C \rightarrow B \otimes \bigwedge^2 C, \quad K_{(0,-1,1)}: B^* \otimes C \rightarrow A \otimes \bigwedge^2 C,$$

$$K_{(-1,0,2)}: A^* \otimes \bigwedge^2 C \rightarrow B \otimes \bigwedge^3 C, \quad K_{(0,-1,2)}: B^* \otimes \bigwedge^2 C \rightarrow A \otimes \bigwedge^3 C.$$

## An example Koszul flattening

$$K_{(0,1,-1)}: C^* \otimes B \rightarrow A \otimes \Lambda^2 B$$

$K_{0,1,-1}(a \otimes b \otimes c)$  has image

$$(\Lambda^0 A \wedge a) \otimes (\Lambda^1 B \wedge b) \otimes (C^*(c)) \subset \Lambda^1 A \otimes \Lambda^2 B \otimes \Lambda^0 C.$$

The factor  $C^*(c)$  is just a scalar that is obtained by contracting  $c$  with  $C^*$ .

We are left with  $(\Lambda^0 A \wedge a) = \langle a \rangle$  tensored with  $(\Lambda^1 B \wedge b) \subset \Lambda^2 B$ ,

but  $(\Lambda^1 B \wedge b) \cong (B/b) \otimes \langle b \rangle$ , which is 3 dimensional.

So  $K_{0,1,-1}(\mathcal{T})$  has rank that is at most 3 times the rank of  $\mathcal{T}$ . And since it is  $18 \times 20$ , it has a chance to detect up to rank 6 tensors.

map	size	mult-factor	max tensor rank detected
$K_{(0,-1,-1)}$	$3 \times 20$	1	3
$K_{(-1,0,-1)}$	$4 \times 15$	1	4
$K_{(-1,-1,0)}$	$5 \times 12$	1	5
$K_{(1,-1,0)}$	$15 \times 12$	2	6
$K_{(1,0,-1)}$	$12 \times 15$	2	6
$K_{(0,1,-1)}$	$18 \times 20$	3	6
$K_{(-1,1,0)}$	$12 \times 30$	3	4
$K_{(-1,0,1)}$	$40 \times 15$	4	4
$K_{(0,-1,1)}$	$30 \times 20$	4	5
$K_{(-1,0,2)}$	$40 \times 30$	6	5
$K_{(0,-1,2)}$	$30 \times 40$	6	5
$K_{(0,-1,2)}$	$30 \times 40$	6	5

We see that the only maps that distinguish between tensor rank 5 and 6 are  $K_{(1,-1,0)}$ ,  $K_{(1,0,-1)}$ , and  $K_{(0,1,-1)}$ . Since  $\bigwedge^2 A \cong A^*$ , the first two maps are transposes of each other:

$$K_{(1,-1,0)} = (K_{(1,0,-1)})^t.$$

Thus, we proceed by considering  $K_{(1,0,-1)}$  and  $K_{(0,1,-1)}$ .

## Methods: Apolarity

The definition of the Koszul Flattening implies

$$T = v_1 \otimes \dots \otimes v_d \in \ker K_I(T) \iff \bigotimes_{j=1}^h (\varphi_j \wedge v_j) \otimes \bigotimes_{j=h+1}^d (\varphi_j(v_j)) = 0$$

for all basis elements  $\varphi \in V_I$ .

Think of elements of the kernel of  $K_I(T)$  as linear mappings.

Let  $N \sqcup P = \{1, \dots, d\}$  be the set partition such that  $-I_N \in \mathbb{Z}_{>0}^d$ ,  $I_P \in \mathbb{Z}_{\geq 0}^d$ .

### Lemma (Non-abelian Apolarity Lemma [Landsberg-Ottaviani'13:])

Suppose  $T = \sum_{s=1}^r v_1^s \otimes \dots \otimes v_d^s$ . The kernel  $\ker K_I(T)$  contains all maps  $\psi \in \text{Hom}(V_{-I_N}, V_{I_P})$  such that

$$\psi(V_{-I_N+1_N} \wedge \bigotimes_{j \in N} v_j^s) \wedge (\bigotimes_{j \in P} v_j^s) = 0$$

for  $s = 1, \dots, r$ .

**Basic idea:** the kernel of a flattening of  $\mathcal{T}$  can be used to gain information about the decomposition of  $\mathcal{T}$ .

In our case the Apolarity Lemma says that

$$\ker K_{1,0,-1}(\sum_{i=1}^s a_i b_i c_i) \supset \{\varphi \in \text{Hom}(C, A) \mid \varphi(c_i) \wedge a_i = 0 \text{ for } i = 1, \dots, s\}. \quad (1)$$

and

$$\ker K_{0,1,-1}(\sum_{i=1}^s a_i b_i c_i) \supset \{\varphi \in \text{Hom}(C, B) \mid \varphi(c_i) \wedge b_i = 0 \text{ for } i = 1, \dots, s\}.$$

Equality should hold for honest decompositions.

Basic result from Oeding-Ottaviani [OO'13] and Landsberg-Ottaviani [LO'11]:

The set of eigenvectors of a general element in  $\ker(K_I(\mathcal{T}))$  (interpreted as the common base locus of general sections of a certain vector bundle) contains the set of (pieces of) rank-one summands in a decomposition of  $\mathcal{T}$ .

## Proof of Theorem 3-4-5: Vector Bundles

For general  $\mathcal{T} \in A \otimes B \otimes C$ ,  $K_{1,0,-1}(f)$  is surjective and  $\ker K_{1,0,-1}(\mathcal{T})$  has dimension  $\dim \operatorname{Hom}(C, A) - \dim \wedge^2 A \otimes B = 15 - 12 = 3$ .

Interpret  $K_{1,0,-1}(\mathcal{T})$  as a map between sections of vector bundles.

Let  $X = \mathbb{P}(A) \times \mathbb{P}(B) \times \mathbb{P}(C)$  with (pull-back) line bundle.  $\mathcal{O}(\alpha, \beta, \gamma)$

Let  $Q_A$  be the pullback of the quotient bundle on  $\mathbb{P}(A)$ .

Let  $E = Q_A \otimes \mathcal{O}(0, 0, 1)$  (a rank 2 bundle on  $X$ ) and  $L = \mathcal{O}(1, 1, 1)$ .

As in [OO'13], [LO'13], the map  $K_{1,0,-1}(\mathcal{T})$  can be identified with contraction  $K_{1,0,-1}(\mathcal{T}): H^0(E) \rightarrow H^0(E^* \otimes L)^*$  depending linearly on  $\mathcal{T} \in H^0(L)^*$ .

Apolarity: compute the common base locus of the sections of the vector bundle  $\ker(K_{0,1,-2}(\mathcal{T}))$  to find the decomposition of  $\mathcal{T}$ .

# Proof of Theorem 3-4-5: Intersection Theory I

- Have  $K_{1,0,-1}(\mathcal{T}): H^0(E) \rightarrow H^0(E^* \otimes L)^*$  depending linearly on  $\mathcal{T} \in H^0(L)^*$ .
- The general element in  $H^0(E)$  vanishes on a codimension two subvariety of  $X$  which has homology class  $c_2(E) \in H^*(X, \mathbb{Z})$ .
- The ring  $H^*(X, \mathbb{Z})$  can be identified with  $\mathbb{Z}[t_A, t_B, t_C]/(t_A^3, t_B^4, t_C^5)$ .
- The Chern polynomial of  $Q_A$  is  $\frac{1}{1+t_A}$ , so  $c_2(E) = t_A^2 + t_A t_C + t_C^2$ .
- Three general sections of  $H^0(E)$  have common base locus given by  $c_2(E)^3 = (t_A^2 + t_A t_C + t_C^2)^3 = 6t_A^2 t_C^4$ .
- This coefficient 6 coincides with the generic rank and it is the key to the computation.

## Proof of Theorem 3-4-5: Intersection Theory II

- A Macaulay2 test (M2 file on arXiv) performed on a random tensor  $\mathcal{T}$  gives that the common base locus of  $\ker K_{1,0,-1}(\mathcal{T})$  is given by 6 points  $(a_i, c_i)$  for  $i = 1, \dots, 6$  on the 2-factor Segre variety  $\mathbb{P}(A) \times \mathbb{P}(C)$ .
- By semicontinuity, the common base locus of  $\ker K_{1,0,-1}(\mathcal{T})$  is given by 6 points for general tensor  $\mathcal{T}$ . Hence, for the general tensor  $\mathcal{T}$ , equality holds in the Apolarity Lemma.
- In particular, the decomposition  $\mathcal{T} = \sum_{i=1}^6 a_i \otimes b_i \otimes c_i$  has a unique solution (up to scalar) for  $a_i, c_i$ . It follows that also the remaining vectors  $b_i$  can be recovered uniquely, by solving a linear system.





Thanks!

## The $2 \times 2 \times 2 \times 3$ case

For this part, let  $A \cong B \cong C \cong \mathbb{C}^2$  and  $D \cong \mathbb{C}^3$ . The only interesting Koszul flattenings for tensors in  $A \otimes B \otimes C \otimes D$  are the following maps, which depend linearly on  $A \otimes B \otimes C \otimes D$ .

The 1-flattenings (and their transposes):

$$\begin{aligned} K_{-1,0,0,0}: A^* &\rightarrow B \otimes C \otimes D, & K_{0,-1,0,0}: B^* &\rightarrow A \otimes C \otimes D, \\ K_{0,0,-1,0}: C^* &\rightarrow A \otimes B \otimes D, & K_{0,0,0,-1}: D^* &\rightarrow A \otimes B \otimes C, \end{aligned}$$

which detect a maximum of rank 2 in the first 3 cases and a maximum of rank 3 in the last.

The 2-flattenings (and their transposes):

$$\begin{aligned} K_{0,0,-1,-1}: C^* \otimes D^* &\rightarrow A \otimes B, & K_{0,-1,0,-1}: B^* \otimes D^* &\rightarrow A \otimes C, \\ K_{-1,0,0,-1}: A^* \otimes D^* &\rightarrow B \otimes C. \end{aligned}$$

The maps are all  $4 \times 6$  and detect a maximum of tensor rank 4.

The higher Koszul flattenings:

$$\begin{aligned} K_{-1,0,0,1}: A^* \otimes D &\rightarrow B \otimes C \otimes \bigwedge^2 D, & K_{0,-1,0,1}: B^* \otimes C &\rightarrow A \otimes C \otimes \bigwedge^2 D, \\ K_{0,0,-1,1}: C^* \otimes D &\rightarrow A \otimes B \otimes \bigwedge^2 D \end{aligned}$$

These maps are all  $12 \times 6$ , and detect a maximum of rank 3.

## Proof of Theorem 2-2-2-3

Suppose  $T \in A \otimes B \otimes C \otimes D$ . Consider  $K_{0,0,-1,-1}: C^* \otimes D^* \rightarrow A \otimes B$ . If  $T$  is general of rank 4, then  $\text{Rank } K_{0,0,-1,-1}(T) = 4$  and  $\dim \ker K_{0,0,-1,-1}(T) = 2$ . Apolarity says that the points  $\{c^s \otimes d^s\}$  are in the common base locus of the elements in the kernel of  $K_{0,0,-1,-1}(T)$ .

Consider line bundles  $E = \mathcal{O}(0,0,1,1)$ ,  $L = \mathcal{O}(1,1,1,1)$  over  $\text{Seg}(\mathbb{P}C^* \times \mathbb{P}D^*)$ . Two general sections of  $E$  have common base locus given by a cubic curve, denoted  $\mathcal{C}_{C,D}$  of bi-degree (1,2) on  $\text{Seg}(\mathbb{P}C \times \mathbb{P}D)$ . The projection to  $\mathbb{P}D$  is a conic, which we denote  $\mathcal{Q}_C$ .

Repeat the process for the next 2-flattening,  $K_{0,-1,0,-1}: B^* \otimes D^* \rightarrow A \otimes C$ , changing the roles of  $C$  and  $B$ , we obtain another conic  $\mathcal{Q}_B$  in  $\mathbb{P}D^*$ .

Finally, if  $\mathcal{Q}_C$  and  $\mathcal{Q}_B$  are general, Bézout's theorem implies that they intersect in 4 points in  $\mathbb{P}D$ ,  $\{[d^1], [d^2], [d^3], [d^4]\}$ .

Pull back the  $\{d_i\}$  to the curve  $\mathcal{C}_{C,D}$  in  $\text{Seg}(\mathbb{P}C^* \times \mathbb{P}D^*)$  and project to  $\mathbb{P}C$  to obtain 4 points  $\{c_i\}$  on  $\mathbb{P}C$ .

Reverse the roles of  $B$  and  $C$  and repeat to find 4 points  $\{b_i\}$  on  $\mathbb{P}B$ .

Reverse the roles of  $A$  and  $B$  and repeat to find 4 points  $\{a_i\}$  on  $\mathbb{P}A$ .

The tensor products  $a^i \otimes b^i \otimes c^i \otimes d^i$  obtained in this way are, up to scale, the indecomposable tensors in the decomposition of the original tensor  $T$ .

Finally we solve an easy linear system to determine the coefficients  $\lambda_i$  in the expression  $T = \sum_{i=1}^4 \lambda_i a^i \otimes b^i \otimes c^i \otimes d^i$ .