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The Geometric Nature of the Fundamental Lemma

David Nadler Northwestern University

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A long strange trip



The Fundamental Lemma, first appearing in lectures of 1979, "is a precise and purely combinatorial statement that I thought must therefore of necessity yield to a straightforward analysis. This has turned out differently than I foresaw."

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Teleportation was eighth.



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A difficult task



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A difficult task

To understand the Fundamental Lemma, we must study endoscopy.



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A difficult task

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Endoscopy

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A difficult task

To understand the Fundamental Lemma, we must study endoscopy. To understand endoscopy, we must study Langlands functoriality. To understand functoriality, we must study Langlands reciprocity...



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Linearization of subspaces

Let X be a measure space.

subspaces $Y \subset X \rightsquigarrow$ integral distributions $Y(\varphi) = \int_Y \varphi$

Now can add $Y_1 + Y_2$ and scale cY subspaces.

Suppose symmetry group *G* acts on *X* preserving measure.

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Linearization of conjugacy classes

Now consider group G with a conjugation invariant measure.

Importance of linearization of conjugacy classes:

characters of G-representations \rightsquigarrow G-invariant distributions

Given G-representation V, can form distributional character:

$$\chi_V(\varphi) = \int_G \varphi(g) \operatorname{Tr}_V(g) dg$$

(ignoring analytic technical difficulties).

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Example: Finite groups

Specialize to finite group G. Then

G-invariant distributions = class functions

Theorem

Characters χ_V of irreducible *G*-representations *V* form basis for class functions. Rescaled characters $\hat{\chi}_V = \chi_V / \dim V$ idempotents with respect to convolution $\hat{\chi}_V * \hat{\chi}_V = \hat{\chi}_V$.

Interpretation

Hitchin fibration

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Induced representations

It is difficult to construct representations.

We have trivial representation Tr and method of induction. Given group G, and subgroup $\Gamma \subset G$, form "unitary induction" $Ind_{\Gamma}^{G}(Tr) = L^{2}(G/\Gamma)$

Output: character χ^{G}_{Γ} of induced representation $Ind^{G}_{\Gamma}(Tr)$.

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Frobenius Character Formula

G finite group, $\Gamma \subset G$ subgroup.



Ferdinand Georg Frobenius 1849–1917

Character of induced representation $L^2(G/\Gamma)$

$$\chi_{\Gamma}^{\mathcal{G}}(\varphi) = \sum_{\gamma \in \Gamma/\Gamma} \mathbf{a}_{\gamma} \mathcal{O}_{\gamma}(\varphi)$$

Volumes of quotients of centralizers

 $a_{\gamma} = |\Gamma_{\gamma} ackslash G_{\gamma}|$

Integrals over conjugacy classes

$$\mathcal{O}_{\gamma}(\varphi) = \int_{[\gamma]} \varphi = \sum_{x \in G_{\gamma} \setminus G} \varphi(x^{-1}\gamma x)$$

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Poisson Summation Formula

 ${\mathbb R}$ additive group, ${\mathbb Z} \subset {\mathbb R}$ discrete subgroup.



Character of induced representation $L^2(\mathbb{R}/\mathbb{Z})$ $\chi_{\mathbb{Z}}^{\mathbb{R}}(\varphi) = \sum_{n \in \mathbb{Z}} \varphi(n)$ (Fourier analysis provides isomorphism $L^2(\mathbb{R}/\mathbb{Z}) \simeq \widehat{\oplus}_{\lambda \in \mathbb{Z}} \mathbb{C} \langle e^{2\pi i \lambda} \rangle$

Hence identification of characters

Siméon Denis Poisson 1781–1840



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$$\sum_{\mathsf{n}\in\mathbb{Z}}\varphi(\mathsf{n})=\sum_{\lambda\in\mathbb{Z}}\widehat{\varphi}(\lambda).)$$

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Arthur-Selberg Trace Formula



Atle Selberg 1917–2007



James Arthur 1944–

 \mathbb{G} reductive algebraic group over number field F. Think $\mathbb{G} = GL(n)$ and $F = \mathbb{Q}$.

A_F adèles of F of all hypothetical
"Laurent series expansions" of elements in the form of p-adic and real numbers.

Then $G = \mathbb{G}(\mathbb{A}_F)$ is a locally compact group, and $\Gamma = \mathbb{G}(F) \subset \mathbb{G}(\mathbb{A}_F)$ is a discrete subgroup. Character of induced representation $L^2(G/\Gamma)$

$$\chi^{\mathcal{G}}_{\Gamma}(arphi) = \sum_{\gamma \in \Gamma / \Gamma} a_{\gamma} \mathcal{O}_{\gamma}(arphi) + \cdots$$

Upshot: character involves integrals over conjugacy classes in real and *p*-adic Lie groups.

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Conjugacy classes

For simplicity, let's consider the Lie algebra $\mathfrak{sl}(2,\mathbb{R})$.



Orbits of $SL(2,\mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2,\mathbb{R}) \simeq \mathbb{R}^3$.

Three types of orbits under conjugation:

- hyperbolic: det < 0.
- nilpotent: det = 0.
- elliptic: det > 0.

We will focus on the two elliptic orbits $\mathcal{O}_{A_+}, \mathcal{O}_{A_-} \subset \mathfrak{s}(2, \mathbb{R})$ through the matrices

$$\mathcal{A}_+ = \left[egin{array}{cc} 0 & 1 \ -1 & 0 \end{array}
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Stable conjugacy



Orbits of $SL(2,\mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2,\mathbb{R}) \simeq \mathbb{R}^3$.

Over the complex numbers \mathbb{C} , the matrices

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are both conjugate to the matrix

$$\mathsf{A} = \left[\begin{array}{cc} i & \mathbf{0} \\ \mathbf{0} & -i \end{array} \right] \in \mathfrak{sl}(2,\mathbb{C}).$$

One says that A_+ and A_- are stably conjugate

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Invariant polynomials



Orbits of $SL(2,\mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2,\mathbb{R}) \simeq \mathbb{R}^3$. Said another way, the two elliptic orbits

 $\mathcal{O}_{A_+}, \mathcal{O}_{A_-} \subset \mathfrak{s}(2,\mathbb{R})$

coalesce into a single conjugacy class

 $\mathcal{O}_A \subset \mathfrak{s}(2,\mathbb{C})$

cut out by the invariant polynomial

 ${\sf det}=1$

stable conjugacy classes \longleftrightarrow invariant polynomials

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Linearization of adjoint orbits



Consider the distributions given by integrating over the elliptic orbits

$$\mathcal{O}_{\mathcal{A}_+}(arphi) = \int_{\mathcal{O}_{\mathcal{A}_+}} arphi \qquad \mathcal{O}_{\mathcal{A}_-}(arphi) = \int_{\mathcal{O}_{\mathcal{A}_-}} arphi$$

with respect to an invariant measure.

Orbits of $SL(2, \mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2, \mathbb{R}) \simeq \mathbb{R}^3$.

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Alternative basis



Orbits of $SL(2,\mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2,\mathbb{R}) \simeq \mathbb{R}^3$. The distributions $\mathcal{O}_{A_+}, \mathcal{O}_{A_-}$ span a two-dimensional complex vector space. It admits the alternative basis

 $\mathcal{O}_{st} = \mathcal{O}_{A_+} + \mathcal{O}_{A_-}$

$$\mathcal{O}_{tw} = \mathcal{O}_{A_+} - \mathcal{O}_{A_-}$$

Here st stands for stable and tw stands for twisted.

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Stable distributions

Stable distribution

$$\mathcal{O}_{st} = \mathcal{O}_{A_+} + \mathcal{O}_{A_-}$$

is integral over union of orbits

 $\mathcal{O}_{\mathcal{A}_+}\sqcup\mathcal{O}_{\mathcal{A}_-}.$

Algebraic variety defined by invariant polynomial

det = 1

Stable distribution is object of

algebraic geometry (finite mathematics)

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Twisted distributions



What to do with twisted distribution

$$\mathcal{O}_{tw} = \mathcal{O}_{A_+} - \mathcal{O}_{A_-}?$$

Distinguishes between

 $\mathcal{O}_{\mathcal{A}_+}$ and $\mathcal{O}_{\mathcal{A}_-}$

though no invariant polynomial separates them.

Twisted distribution appears to be noncanonical: exchanging terms

 $\mathcal{O}_{A_+}\longleftrightarrow \mathcal{O}_{A_-}$

induces sign change

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Therein lies our salvation

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$$\kappa(+) = 1$$
 $\kappa(-) = -1$

Trace formula

Endoscopy

Springer fibers

Hitchin fibration

Interpretation via Fourier analysis



Orbits of $SL(2,\mathbb{R})$ acting on its Lie algebra $\mathfrak{sl}(2,\mathbb{R}) \simeq \mathbb{R}^3$. Alternative basis

 $\mathcal{O}_{st} = \mathcal{O}_{A_+} + \mathcal{O}_{A_-}$

 $\mathcal{O}_{tw} = \mathcal{O}_{A_+} - \mathcal{O}_{A_-}$

results from Fourier analysis on set of orbits

 $\left\{\mathcal{O}_{\mathcal{A}_{+}},\mathcal{O}_{\mathcal{A}_{-}}\right\}$

Hitchin fibration

What is the Fundamental Lemma all about?

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Basic idea

Langlands's theory of endoscopy, and the Fundamental Lemma at its heart, confirms that one can systematically express

twisted distributions in terms of stable distributions

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nonconstant Fourier modes in terms of constant Fourier modes





Endoscopy

Springer fibers

Hitchin fibration

Example continued

Endoscopy relates twisted distribution

 $\mathcal{O}_{tw} = \mathcal{O}_{A_+} - \mathcal{O}_{A_-}$

to stable distribution on Lie algebra $\mathfrak{so}(2,\mathbb{R})\simeq\mathbb{R}$ of subgroup

 $SO(2,\mathbb{R})\subset SL(2,\mathbb{R})$

stabilizing matrices

$$A_{+} = \left[\begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} \right] \quad A_{-} = \left[\begin{array}{cc} 0 & -1 \\ 1 & 0 \end{array} \right]$$

Outside of bookkeeping, this is empty of content since $SO(2,\mathbb{R})$ is abelian, and so its orbits in $\mathfrak{so}(2,\mathbb{R})$ are single points.

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Why is the Fundamental Lemma difficult?

General theory of endoscopy is deep and elaborate.

Key challenge

Extraordinary difficulty of the Fundamental Lemma, and also its mystical power, emanates from fact that sought-after stable distributions live on so-called endoscopic groups H with little apparent geometric relation to original group G.

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Springer fibers

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Endoscopic groups

To find relation between group G and endoscopic group H, one must pass to Langlands dual groups

"noncommutative Pontryagin dual group" of "geometric characters"

There one finds H^ee is naturally subgroup of G^ee .

Example

Consider the symplectic group G = Sp(2n).

The special orthogonal group H = SO(2n) is not a subgroup.

But $H^{\vee} = SO(2n)$ is a subgroup of $G^{\vee} = SO(2n+1)$.

Endoscopy gives precise relationship

Springer fibers

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Low rank example



Foreground: roots of the group G = Sp(4) with roots of the endoscopic group H = SO(4) highlighted.

Background: roots of the Langlands dual group $G^{\vee} = SO(5)$ with roots of the subgroup $H^{\vee} = SO(4)$ highlighted.

Springer fibers

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Real versus *p*-adic Lie groups

Detailed conjectures organizing the intricacies of the transfer of distributions first appear in Langlands's joint work with Shelstad.

General setting needed for applications to number theory and harmonic analysis: *p*-adic and real Lie groups (algebraic groups over local fields).

For real Lie groups, Shelstad rapidly proved the conjectures.

What became known as the Fundamental Lemma is the most basic conjecture for *p*-adic groups.

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Springer fibers

Hitchin fibration

From *p*-adic groups to loop groups

Dictionary between arithmetic and geometry.

One-dimensional Arithmetic

- Number field *F*
- Rational numbers \mathbb{Q}
- *p*-adic field
- *p*-adic group

One-dimensional Geometry

- Smooth projective curve X
- Projective line \mathbb{P}^1
- Formal disk D
- Loop group *LG*

Theorem (Waldspurger)

To prove Fundamental Lemma, it suffices to prove its analogue in the geometric setting.

Later proof by Cluckers, Hales, and Loeser via model theory:

Trace formula

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Loop Grassmannians

Orbital integrals of Fundamental Lemma in geometric setting are equivalent to counting points in subvarieties of Grassmannians.

Definition

Let LG be loop group. Let $L_+G \subset LG$ be subgroup of arcs. Loop Grassmannian Gr_G is homogenous space LG/L_+G . Why Grassmannian? $\infty/2$ -dim subspaces of ∞ -dim vector space

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Geometric cousin of affine building.

Endoscopy

Springer fibers

Hitchin fibration

Affine Springer fibers

Now the subvarieties...

Definition Let ξ be element of Lie algebra of *LG*. Affine Springer fiber $X_{\xi} \subset Gr_G$ is fixed-point locus of ξ .

Endoscopy

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Example for ξ diagonal with distinct eigenvalues.

Basic structure of affine Springer fibers

- X_{ξ} is finite-dimensional increasing union of projective varieties.
- X_{ξ}/Λ_{ξ} quotient by symmetry lattice is projective variety.



Hitchin fibration

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From point counts to cohomology



P

Grothendieck 1928–

Lefschetz 1884–1972

Trace formula: count points in algebraic variety by calculating traces of Galois symmetries acting on topological cohomology.

Now can stand on the shoulders of giants: Kazhdan-Lusztig, Goresky-MacPherson, Beilinson-Bernstein-Deligne-Gabber,...

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Springer theory



Problem: cohomology of fixed-points of vector fields on flag varieties.

Trivial case: when vector field is generic, for example sum of linearly independent commuting vector fields.

General solution: "analytically continue" solution from generic locus to all vector fields.

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Compactified Jacobians



Laumon and Ngô

Beautiful insight

Affine Springer fibers modulo natural symmetries parametrize generalized line bundles on curves.

Deformations to simpler curves provide deformations to simpler affine Springer fibers.

Striking consequence Fundamental Lemma for unitary groups!

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Meanwhile in a galaxy far, far away...

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Meanwhile in a galaxy far, far away...



Nigel Hitchin 1946–

Hitchin fibration

X smooth projective curve (Riemann surface). Hitchin moduli $\mathcal{M}_G(X)$ parametrizes G-bundle on X together with twisted endomorphism.

Base $\mathcal{A}_G(X)$ parametrizes possible eigenvalues of twisted endomorphism (spectral curve). Integrable system $\mathcal{M}_G(X) \to \mathcal{A}_G(X)$ assigns characteristic polynomial of endomorphism.

Fibers parametrize generalized line bundles on spectral curves.

Hitchin fibration organizes deformations of affine Springer fibers into a proper finite-dimensional algebraic family.
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Not so surprising...

Fundamental Lemma involves distributions on conjugacy classes

adjoint quotient G/G

Hitchin moduli space parametrizes twisted maps

curve $X \longrightarrow$ adjoint quotient \mathfrak{g}/G

Furthermore, stable conjugacy classes involve invariant polynomials

adjoint quotient $G/G \longrightarrow$ possible eigenvalues T/W

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Main new technical input to proof of Fundamental Lemma. Precise description of the cohomology of the fibers of an integrable system in terms of its generic fibers.

Toy model: consider a family of irreducible curves

 $f: M \rightarrow S$, with M and S smooth.

Over a Zariski open locus $S^0 \subset S$, the fibers

$$M_s=f^{-1}(s), \quad s\in S^0$$

are topologically equivalent curves, hence their cohomologies $H^*(M_s)$ form a local system of vector spaces

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Hitchin fibration

Family of plane cubics



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Thank you for listening!