

# Loops and Traces

David Nadler  
University of California, Berkeley

Fields Medal Symposium  
Fields Institute, October 2012

# Overview

Broad question:

What is harmonic analysis of **higher categorical symmetries**?  
(... group actions on **linear categories**...)

More specific question:

What can it tell us about **classical symmetries**?  
(... group actions on **vector spaces**...)

Acknowledgments:

Joint work with D. Ben-Zvi (Texas).

Much inspiration from Ngô's proof of the Fundamental Lemma.

Thanks to the Symposium organizers.

# Outline

1. Motivation: Geometric Langlands via topological field theory
2. Warmup: traces of multiplication operators
3. Traces of Hecke operators, character sheaves

# Automorphic moduli

$G$  complex reductive group

$C$  smooth projective complex curve

$\text{Bun}_G(C)$  moduli of principal  $G$ -bundles over  $C$

Adelic/locally symmetric description

$$\text{Bun}_G(C) \simeq G(C_{\text{rat}}) \backslash \prod'_c G(\mathcal{K}_c) / \prod_c G(\mathcal{O}_c)$$

# Linearization

Geometric representation theory:

Focus on equations of harmonic analysis  
rather than their solutions in specific function spaces

Local operators

multiplication and differentiation  $\rightsquigarrow$   $\mathcal{D}$ -modules

Global operators

pullback and integration  $\rightsquigarrow$  complexes of  $\mathcal{D}$ -modules

Automorphic derived category:

$$\mathcal{D}(\mathrm{Bun}_G(C)) \simeq \mathcal{D}(G(C_{\mathrm{rat}}) \backslash \prod'_c G(\mathcal{K}_c) / \prod_c G(\mathcal{O}_c))$$

# Quantum Field Theory interpretation

Where in nature do we find categories?

Hint: linearity results from superposition...

1d quantum field theory (quantum mechanics, particles)

Evolution occurs along 1-manifolds (line segments) and possible **states** at the end points form a **vector space**.

Symmetries: **traditional harmonic analysis of linear operators**.

2d quantum field theory (strings)

Evolution occurs along 2-manifolds (surfaces) and possible **boundary conditions** form a **linear category**.

Symmetries: **categorical harmonic analysis of linear endofunctors**.

# Mirror symmetry for 2d field theories

When do we understand a category?

**Spectral description:** quasicoherent sheaves (or variants)  
on a scheme or stack.

Rough Conjecture (Geometric Langlands)

$$\mathcal{D}(\mathrm{Bun}_G(C)) \overset{?}{\longleftrightarrow} \mathcal{Q}(\mathrm{Conn}_{G^\vee}(C))$$

Quantum version of duality of Hitchin systems

$$\begin{array}{ccc} T^* \mathrm{Bun}_G(C) & & T^* \mathrm{Bun}_{G^\vee}(C) \\ \downarrow & & \downarrow \\ \mathcal{A}_g(C) & \overset{\quad}{=} & \mathcal{A}_{g^\vee}(C) \end{array}$$

Symplectic deformation

Complex deformation

Geometric Fourier Transform (T-duality) with singularities.

# Toy example: mirror symmetry with symmetries

**Easiest example** of torus fibration

$$T^*S^1 \simeq S^1 \times \mathbb{R} \longrightarrow \mathbb{R}$$

Automorphic side

Constructible sheaves on  $S^1$  with one marked point  $s \in S^1$ .

Quantum symplectic objects on  $T^*S^1$  via microlocalization.

**Hecke operators: Dehn twists of  $T^*S^1 \rightarrow \mathbb{R}$ .**

Spectral side

Coherent sheaves on  $\mathbb{P}^1 = \{T^*S^1 \text{ with ends collapsed}\}$ .

Quantum complex objects, linearization of subvarieties.

**Multiplication operators: tensor with line bundles.**

**Matching:** both sides are modules over quiver  $\bullet \rightrightarrows \bullet$

skyscraper  $\mathbb{C}_s$  at marked point  $\longleftrightarrow$  structure sheaf  $\mathcal{O}_{\mathbb{P}^1}$

constant sheaf on complement  $\mathbb{C}_{S^1 \setminus s} \longleftrightarrow$  line bundle  $\mathcal{O}_{\mathbb{P}^1}(-1)$



# Symmetries from 4d field theory

Symmetries compatible with Hitchin systems

labelled 3d cobordisms  $\rightsquigarrow$  linear endofunctors

Monoidal category of endofunctors with extra commutativity:  
multiplication of operators indexed by points of  $\mathbb{R}^3$ .

Automorphic side: global integral operators

Spherical Hecke category  $\mathcal{H}^{sph} = \mathcal{D}(G(\mathcal{O}) \backslash G(\mathcal{K}) / G(\mathcal{O}))$

$\mathcal{H}^{sph} \curvearrowright \mathcal{D}(\text{Bun}_G(C))$  via Hecke correspondences

Satake Equivalence (underived version)

$$\mathcal{H}_G^{sph} \simeq \text{Rep}(G^\vee)$$

Spectral side: local multiplication operators

$\text{Rep}(G^\vee) \curvearrowright \mathcal{Q}(\text{Conn}_{G^\vee}(C))$  via pullbacks

# Tame ramification

Automorphic side: modifications of bundles with flags

Affine Hecke category:  $\mathcal{H}^{aff} \simeq \mathcal{D}(I \backslash G(K) / I)$

Monoidal category with central functor

$$\mathcal{H}^{sph} \rightarrow \mathcal{H}^{aff}$$

Spectral description: due to Kazhdan-Lusztig, Bezrukavnikov

Modifications of connections with poles and compatible flags

# Geometric characters

Goal: Develop homotopical theory of traces of Hecke operators.

Guide: [Compactification](#) in quantum field theory

correlations on product with circle  $M \rightsquigarrow M \times S^1$

Inspiration: [Trace Formulas](#) from Frobenius to Weyl to Lefschetz to Harish Chandra to Arthur-Selberg

[Characters of representations](#)  $\longleftrightarrow$  [Geometry of fixed points](#)

Payoffs:

1. Rich invariants/structures of Hecke operators.
2. Langlands duality for traces and characters.
3. Character sheaves for loop groups.
4. Elliptic representation theory.

# Abstract starting point

## Categorical harmonic analysis

monoidal category  $\mathcal{A}$  and  $\mathcal{A}$ -module category  $\mathcal{M}$

## Working context

1. homotopical algebra: [derived operations](#), capture universal constructions not only their classical results.
2. stable  $\infty$ -infinity categories: [categorical vector spaces](#), modern notion of triangulated category.

## Challenge

1. Calculate spectrum of  $\mathcal{A}$  in the form of a symmetric monoidal category of sheaves on an algebraic stack.
2. Decompose  $\mathcal{M}$  over the spectrum in the form of a module category with known fibers over the spectrum.

# What is the dimension of a category?

Recall: the dimension of a dualizable  $\mathbb{C}$ -vector space  $V$  is given by the composition

$$\dim V : \mathbb{C} \rightarrow \text{End}(V) \simeq V^* \otimes V \rightarrow \mathbb{C}$$

Suppose  $\mathcal{C}$  is a **dualizable  $\mathbb{C}$ -linear category**.

Dualizability is context-dependent but quite general.

For the moment, let us assume  $\mathcal{C}$  is small, so that its dual is  $\mathcal{C}^{op}$ .

Then the **dimension** of  $\mathcal{C}$  is given by the composition

$$\dim \mathcal{C} : \text{Vect}_{fd} \xrightarrow{\text{id}_{\mathcal{C}}} \text{End}(\mathcal{C}) \simeq \mathcal{C}^{op} \otimes \mathcal{C} \xrightarrow{\text{Hom}_{\mathcal{C}}} \text{Vect}_{fd}$$

We can package  $\dim \mathcal{C}$  into the data of a single **vector space** by taking its value on the one-dimensional vector space  $\mathbb{C}$ .

# Examples of dimensions of categories

Another name for  $\dim \mathcal{C}$  is **Hochschild homology**  $HH(\mathcal{C})$ . In particular, if  $\mathcal{C} = A\text{-mod}$ , then

$$\dim \mathcal{C} = A \otimes_{A \otimes A^{op}} A$$

Exercises:

1.  $\mathcal{C} = \text{Vect}$ , then  $\dim \mathcal{C} \simeq \mathbb{C}$ .
2.  $\mathcal{C} = \mathbb{C}[G]\text{-mod}$ , for  $G$  finite, then  $\dim \mathcal{C} \simeq \mathbb{C}[G/G]$ .

Algebraic geometry:  $X$  scheme

1.  $\mathcal{C} = \mathcal{Q}(X)$ , then  $\dim \mathcal{C} \simeq H_{Dol}^*(X)$ .
2.  $\mathcal{C} = \mathcal{D}(X)$ , then  $\dim \mathcal{C} \simeq H_{dR}^*(X)$ .

Representation theory:  $G$  affine

1.  $\mathcal{C} = \mathcal{Q}(BG)$ , then  $\dim \mathcal{C} \simeq H_{Dol}^*(G/G)$ .
2.  $\mathcal{C} = \mathcal{D}(BG)$ , then  $\dim \mathcal{C} \simeq H_{dR}^*(G/G)$ .

# Unifying perspective: loop spaces

The **loop space** of a scheme or stack  $X$  is the derived intersection

$$LX = \Delta_X \times_{X \times X} \Delta_X$$

Locally functions on  $LX$  are calculated by a tor-complex.

$LX$  is the “**universal nonlinear dimension**” of  $X$ .

Examples:

1.  $X$  reasonable scheme,  $LX \simeq \mathrm{Spec}_{\mathcal{O}_X} \Omega_X^{-\bullet}$ .
2.  $X = BG$ , for  $G$  affine,  $LX \simeq G/G$ .
3.  $X = Y/G$ , as above, loop space is derived inertia stack

$$LX \simeq \{(x, g) \in X \times G \mid gx = x\} / G$$

**Proposition**

If  $X$  is a reasonable stack, then

$$\dim \mathcal{Q}(X) \simeq H_{Dol}^*(LX) \quad \dim \mathcal{D}(X) \simeq H_{dR}^*(LX)$$

# Functoriality of dimension

A continuous functor  $\ell : \mathcal{C} \rightarrow \mathcal{D}$  induces a morphism

$$\dim \ell : \dim \mathcal{C} \rightarrow \dim \mathcal{D}$$

In particular, a compact object  $c \in \mathcal{C}$  gives a continuous functor  $\ell_c : \mathbf{Vect} \rightarrow \mathcal{C}$  and thus a morphism

$$\dim \ell_c : \mathbb{C} \rightarrow \dim \mathcal{C}$$

We can package  $\dim \ell_c$  into the data of a single vector

$$\dim c \in \dim \mathcal{C}$$

by taking its value on  $1 \in \mathbb{C}$ .

**Proposition (Abstract Grothendieck-Riemann-Roch)**

$$\mathcal{B} \xrightarrow{k} \mathcal{C} \xrightarrow{\ell} \mathcal{D} \implies \dim(\ell \circ k) \simeq \dim \ell \circ \dim k$$



## Functoriality via loops

A morphism  $f : X \rightarrow Y$  of schemes or stacks induces a morphism of loop spaces

$$Lf : LX \rightarrow LY$$

### Theorem

*In any linearization (with proper adjunctions and base change), functoriality of  $\dim$  is given by integration along  $Lf$ .*

### Example (Nonlinear character formula)

Given  $f : X/G \rightarrow BG$ , **fibers** of induced loop map

$$L(X/G) \rightarrow L(BG) \simeq G/G$$

are **derived fixed points**  $X^g = \{x \in X \mid gx = x\}$ .

**Induction:** If  $X = \Gamma \backslash G$ , then  $X/G = \Gamma \backslash G/G \simeq B\Gamma$ , and we obtain

$$\Gamma/\Gamma \rightarrow G/G.$$

# Applications: fixed point formulas

The following are formal consequences of the above observations. They hold in great generality given sufficient dualizability.

1. **Frobenius character formula** for induced and permutation representations.
2. **Lefschetz trace formula** for equivariant  $\mathcal{D}$ -modules or constructible complexes.
3. **Atiyah-Bott fixed point formula** for equivariant quasicoherent sheaves (or variants).

Peculiar traditional formulas reflect **derived nature of loops**.

## Example: Weyl character formula

Start with basic Eisenstein induction diagram

$$BT \xleftarrow{p} BB \xrightarrow{q} BG$$

Character  $\lambda : T \rightarrow \mathbb{C}^\times \rightsquigarrow$  Line bundle  $L_\lambda \in \mathcal{Q}(BT) \rightsquigarrow$   
Representation  $V_\lambda = q_! p^* L_\lambda \in \mathcal{Q}(BG)$ .

Taking loops gives diagram with [Grothendieck-Springer resolution](#)

$$T/T \xleftarrow{Lp} B/B \simeq \tilde{G}/G \xrightarrow{Lq} G/G$$

### Weyl Character Formula

*Character of  $V_\lambda$  is distribution*  
 $Lq_! Lp^* \lambda = (\text{weighted}) \text{ measure of Springer fibers}$

We will soon see Harish Chandra characters as well.

## Example: Spectral side of Trace Formula

Recall: for  $c \in C$ , local multiplication operators

$$\mathrm{Rep}(G^\vee) \curvearrowright \mathcal{Q}(\mathrm{Conn}_{G^\vee}(C))$$

arise via pullback along restriction map

$$f_c : \mathrm{Conn}_{G^\vee}(C) \longrightarrow BG^\vee$$

Passing to loops induces map

$$Lf_c : L\mathrm{Conn}_{G^\vee}(C) \longrightarrow L(BG^\vee) \simeq G^\vee/G^\vee$$

We obtain **decomposition of dimension**

$$\dim \mathcal{Q}(\mathrm{Conn}_{G^\vee}(C)) \simeq H_{Dol}^*(L\mathrm{Conn}_{G^\vee}(C)) \text{ over } G^\vee/G^\vee$$

Above  $\kappa \in G^\vee$ , fiber admits endoscopic interpretation

$$\dim \mathcal{Q}(\mathrm{Conn}_{G^\vee}(C))|_\kappa \simeq H_{Dol}^*(\mathrm{Conn}_{G_\kappa^\vee}(C))$$

## Partial example: Geometric side of Trace Formula

For  $c \in C$ , Hecke operators arise via global bundle modifications

$$\mathcal{H}^{sph} \curvearrowright \mathcal{D}(\text{Bun}_G(C))$$

But identity Hecke operator (and its symmetries) arise via pullback along restriction map

$$f_c : \text{Bun}_G(C) \simeq G(C_{rat}) \backslash \prod'_c G(\mathcal{K}_c) / \prod_c G(\mathcal{O}_c) \longrightarrow B(G(\mathcal{O}_c))$$

Passing to loops gives local Hitchin map

$$Lf_c : L\text{Bun}_G(C) \longrightarrow L(B(G(\mathcal{O}_c))) \simeq G(\mathcal{O}_c)/G(\mathcal{O}_c)$$

Varying  $c \in C$ , we obtain **decomposition of dimension**

$$\dim \mathcal{D}(\text{Bun}_G(C)) \simeq H_{dR}^*(L\text{Bun}_G(C))$$

over global Hitchin base  $\mathcal{A}_G(C)$ .

Nothing yet about non-identity Hecke operators...

# Traces of Hecke operators

To organize **traces of Hecke operators** (integral operators as opposed to multiplication operators), we will return to the general setting of categorical harmonic analysis:

monoidal category  $\mathcal{A}$  and  $\mathcal{A}$ -module category  $\mathcal{M}$

Each object  $a \in \mathcal{A}$  provides an endofunctor  $F_a : \mathcal{M} \rightarrow \mathcal{M}$ .

Let us assume  $\mathcal{M}$  is dualizable. Then the **trace of an endofunctor**  $F_a : \mathcal{M} \rightarrow \mathcal{M}$  is given by the composition

$$\mathrm{tr} F_a : \mathrm{Vect} \xrightarrow{\mathrm{coev}_{\mathcal{M}}} \mathcal{M}^* \otimes \mathcal{M} \xrightarrow{\mathrm{id}_{\mathcal{M}^*} \otimes F_a} \mathcal{M}^* \otimes \mathcal{M} \xrightarrow{\mathrm{ev}_{\mathcal{M}}} \mathrm{Vect}$$

We can package  $\mathrm{tr} F_a$  into the data of a single **vector space** by taking its value on  $\mathbb{C}$ .

**What is the structure of the traces  $\mathrm{tr} F_a$  as we vary  $a \in \mathcal{A}$ ? What kind of object is the character of  $\mathcal{M}$  and where should it live?**

# Characters of module categories

The characters (collection of traces) of modules  $\mathcal{M}$  for a monoidal category  $\mathcal{A}$  are objects of its [Hochschild homology category](#)

$$\mathrm{Tr} \mathcal{A} = \mathcal{A} \otimes_{\mathcal{A} \otimes \mathcal{A}^{op}} \mathcal{A}$$

A dualizable  $\mathcal{A}$ -module category  $\mathcal{M}$  defines a [character object](#)

$$\chi(\mathcal{M}) \in \mathrm{Tr} \mathcal{A}$$

Formal analogy with preceding:

- $\mathrm{Tr} \mathcal{A}$  is the dimension of the dualizable 2-category  $\mathcal{A}\text{-mod}$ .
- $\chi(\mathcal{M}) \in \mathrm{Tr} \mathcal{A}$  results from functoriality of dimension.

# Characters for matrix categories

Now let us consider **Hecke categories** or **matrix categories**:

linearizations of fiber products  $X \times_Y X$

## Example

$Y = BG$ ,  $X = BH$ , for  $H \subset G$ , then

$$X \times_Y X \simeq H \backslash G / H$$

For quasicoherent sheaves, **Morita theory** holds.

## Theorem

$X \rightarrow Y$  reasonable, then

$$\mathrm{Tr}(\mathcal{Q}(X \times_Y X)) \simeq \mathcal{Q}(LY)$$



# Characters for Hecke categories

For  $\mathcal{D}$ -modules, **Tannakian theory fails** hence Morita theory fails.

Warmup for affine Hecke category

Finite Hecke category

$$\mathcal{H}^{fin} = \mathcal{D}(B \backslash G / B)$$

or twisted generalizations over Harish Chandra center.

Theorem

$$\mathrm{Tr}(\mathcal{H}^{fin}) \simeq \text{Lusztig's character sheaves } Ch_G \subset \mathcal{D}(G/G)$$

Paradox

*Cuspidal characters via categorical principal series.*

Corollary (Noncommutative Fourier Transform)

*Koszul equivalence  $\mathcal{H}_G^{fin} \simeq \mathcal{H}_{G^\vee}^{fin}$  implies character equivalence*

$$Ch_G \simeq Ch_{G^\vee}$$

# Character of regular Hecke module

Warmup for affine Springer theory

Character of regular  $\mathcal{H}^{fin}$ -module is [Grothendieck-Springer sheaf](#)

$$\chi(\mathcal{H}^{fin}) \simeq R\mu_* \mathcal{O}_{B/B} \in Ch_G \subset \mathcal{D}(G/G)$$

$$\mu : B/B \simeq \tilde{G}/G \rightarrow G/G$$

Universal equations describing characters of modules with fixed infinitesimal character.

# Application: Harish Chandra characters

Warmup for automorphic module category

Symmetric  $\mathcal{H}^{fin}$ -module

$$\mathcal{M}^{fin} = \mathcal{D}(K \backslash G / B)$$

Two interpretations:

1. Beilinson-Bernstein localization:  $\mathcal{M}^{fin} \longleftrightarrow (\mathfrak{g}, K)$ -modules.
2. Intertwiners between categorical modules.

Application of preceding theory to Harish Chandra characters:

1. Refined **Harish Chandra characters** as algebraic solutions

$$M \in \mathcal{M}^{fin} \rightsquigarrow \chi(M) : \chi(\mathcal{H}^{fin}) \longrightarrow \chi(\mathcal{M}^{fin})$$

Traditional characters are “solutions” in distributions.

2. Character formulas follow from **fixed point geometry** and **microlocalization**.

## Application: character varieties

Recall spectral moduli space  $\text{Conn}_{G^\vee}(C)$ .

If we puncture curve  $C \setminus c$ , then have local monodromy map

$$\text{Conn}_{G^\vee}(C \setminus c) \longrightarrow G^\vee/G^\vee$$

Decompose punctured cohomology

$$H_{dR}^*(\text{Conn}_{G^\vee}(C \setminus c))$$

as  $\mathcal{D}$ -module on  $G^\vee/G^\vee$ .

Fiber over  $1 \in G^\vee$  is unpunctured cohomology

$$H_{dR}^*(\text{Conn}_{G^\vee}(C))$$

Now can analyze in terms of [character sheaves](#), take [Fourier transform](#) and obtain [Langlands dual description](#).

# Elliptic Character Sheaves

Characters for **affine Hecke category**  $\mathcal{H}^{aff}$  should be **character sheaves** on loop group  $LG$ . **Technically difficult...**

Algebraic answer Hochschild homology category  $\mathrm{Tr}(\mathcal{H}^{aff})$ .

Topological field theory answer

$\mathcal{H}^{aff}$  correlations on cylinder  $\rightsquigarrow$   $\mathrm{Tr}(\mathcal{H}^{aff})$  correlations on torus

## Conjecture

$E$  elliptic curve,  $\mathcal{N} \subset T^* \mathrm{Bun}_G(E)$  nilpotent Higgs fields

$$\mathrm{Tr}(\mathcal{H}^{aff}) \simeq \mathcal{D}_{\mathcal{N}}(\mathrm{Bun}_G(E))$$

Idea of proof: **categorical Verlinde formula** for (monadic study of) automorphic category under **degenerations of curves**.

# Endoscopic parameter

Langlands duality for affine Hecke category implies **topological geometric Langlands for elliptic curves**

$$\mathcal{D}_{\mathcal{N}}(\mathrm{Bun}_G(E)) \longleftrightarrow \mathcal{Q}_{\mathcal{T}}(\mathrm{Conn}_{G^{\vee}}(E))$$

**Topological invariance** results from restriction:

$$\text{Nilpotent Higgs fields} \longleftrightarrow \text{Torsion sheaves}$$

**Endoscopic parameter**  $\kappa \in G^{\vee}$  is **eigenvalue for circle action** on  $E$ .

Many other symmetries...