# Long-time behavior in geometric flows

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May 4, 2017

#### Outline of the talk

- 1. Homogeneous spaces and the geometrization conjecture
- 2. The geometrization conjecture and Ricci flow
- 3. Finiteness of the number of surgeries
- 4. Long-time behavior of Ricci flow
- 5. The Einstein flow

#### Long-time behavior

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

Finiteness of the number of surgeries

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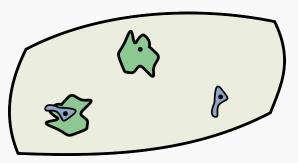
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First, how do we understand three dimensional spaces?

In terms of homogeneous spaces.

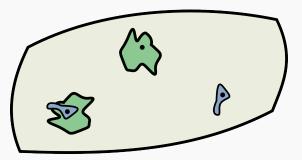
# Locally homogeneous metric spaces

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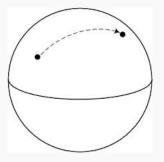
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The metric space X is *globally homogeneous* if for all  $x, y \in X$ , there is an isometric isomorphism  $\phi : X \to X$  that  $\phi(x) = y$ .

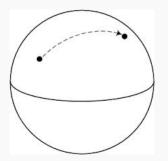
# Locally homogeneous Riemannian manifolds

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#### **Theorem**

(Singer 1960) If M is a complete, simply connected Riemannian manifold which is locally homogeneous, then M is globally homogeneous.

#### From "The Geometries of 3-Manifolds" by Peter Scott

We will say that a smooth manifold *M* admits a geometric structure if *M* admits a complete, locally homogeneous Riemannian metric.

It is a theorem of Singer that such a metric on a simply connected manifold X must be homogeneous, i.e. the isometry group of X must act transitively.

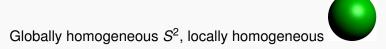
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Thus we can regard the universal cover X of M, together with its isometry group, as a geometry in the sense of Klein, and we can sensibly say that M admits a geometric structure modelled on X. Thurston has classified the 3-dimensional geometries and there are eight of them.

Globally homogeneous  $S^2$ ,





Globally homogeneous  $S^2$ , locally homogeneous

Globally homogeneous  $\mathbb{R}^2$ ,



Globally homogeneous  $S^2$ , locally homogeneous



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$$S^3$$
,  $\mathbb{R}^3$ ,  $H^3$ 

$$S^3$$
,  $\mathbb{R}^3$ ,  $H^3$ 

$$S^2 \times \mathbb{R}, \, H^2 \times \mathbb{R}$$

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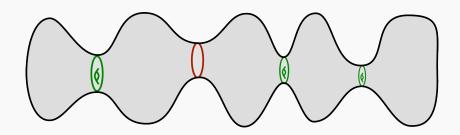
Warning: Unlike in two dimensions, not every compact three-dimensional manifold admits a geometric structure, i.e. admits a locally homogeneous Riemannian metric.

## Geometrization conjecture

If M is a compact orientable 3-manifold then there is a way to split M into canonical pieces, using certain embedded 2-spheres and 2-tori. (The collection of 2-spheres and 2-tori could be empty.)

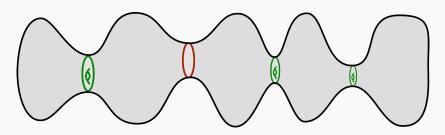
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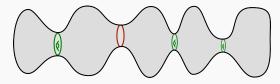
Conjecture (Thurston, 1982)

The ensuing pieces have geometric structures, i.e. admit locally homogeneous metrics

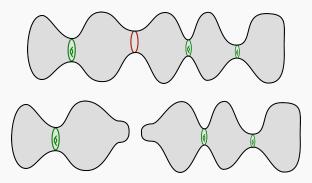


Cut along the 2-spheres and cap off the resulting pieces with 3-balls.

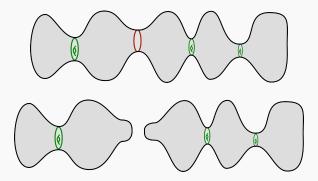
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Cut along the 2-tori. The interiors of the ensuing pieces should admit complete locally homogeneous metrics.

## Long-time behavior

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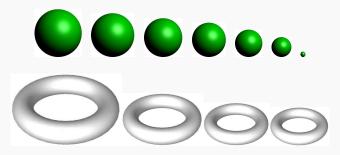
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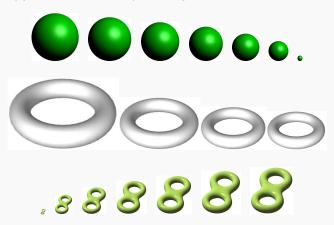
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Maybe the Ricci flow will evolve an initial Riemannian metric into something homogeneous.









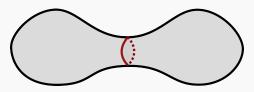
### Singularities in 3D Ricci flow

Some components may disappear, e.g. a round shrinking 3-sphere.



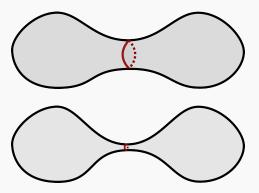
### Neckpinch

A 2-sphere pinches off. (Drawn one dimension down.)



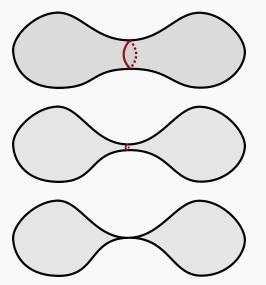
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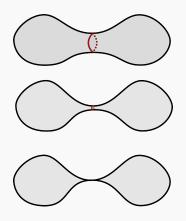


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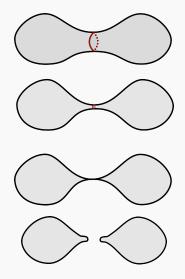
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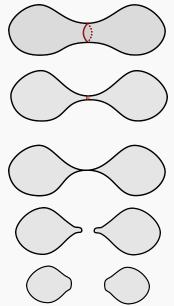
## Hamilton's idea of surgery

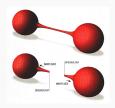


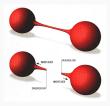
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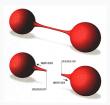
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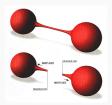


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Remark: the surgeries are done on 2-spheres, not 2-tori.



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- Step 2: Show that only a finite number of surgeries occur.
- Step 3: Show that after the singularities are over, as time evolves, the locally homogeneous pieces in the Thurston decomposition asymptotically appear.
- (Relevant geometries :  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ ,  $SL(2,\mathbb{R})$ , Sol, Nil.)



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Graph manifolds were known to have a geometric decomposition. Along with knowledge of the topological effects of surgeries, this proved the geometrization conjecture.



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Remark: Answering these questions has no topological implication. We already know that the geometrization conjecture holds. Rather, they are *analytic* questions about the Ricci flow.

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This is good because we know lots about metrics with bounded sectional curvature (Cheeger-Fukaya-Gromov).



# Ingredients of the proof

Bamler's proof uses all of Perelman's work, and more. Some of the new ingredients :

- 1. Localizing Perelman's estimates and applying them to local covers of the manifold.
- 2. Use of minimal surfaces to control the geometry of the thin part.
- 3. Use of minimal embedded 2-complexes.

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Question: if M doesn't admit a hyperbolic metric, what are the candidate geometries for the long-time behavior?

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Apparent paradox: What happens to the Ricci flow if our 3-manifold doesn't admit a constant curvature metric?



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Run the Ricci flow. The base torus expands like  $O\left(t^{\frac{1}{6}}\right)$ . The circle fibers shrink like  $O\left(t^{-\frac{1}{6}}\right)$ .



$$\mathsf{Put}\;\mathsf{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \;:\; a,b,c \in \mathbb{Z} \right\} .\; \mathsf{Define}\;\mathsf{Nil}_{\mathbb{R}}\;\mathsf{similarly}.$$

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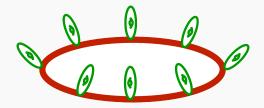
Run the Ricci flow. The base torus expands like  $O\left(t^{\frac{1}{6}}\right)$ . The circle fibers shrink like  $O\left(t^{-\frac{1}{6}}\right)$ .

With the rescaled metric  $\widehat{g}(t) = \frac{g(t)}{t}$ ,  $(M, \widehat{g}(t))$  shrinks to a point.



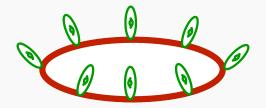
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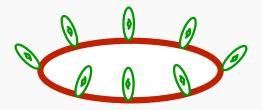
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# $\widetilde{SL(2,\mathbb{R})}$ geometry

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With the rescaled metric,  $(M, \widehat{g}(t))$  approaches the hyperbolic surface  $\Sigma$ . As the fibers shrink, the local geometry of the total space becomes more product-like.

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To undo the collapsing, let's pass to the universal cover. That is, we are looking at the Ricci flow on a Thurston geometry of type  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ , Sol, Nil or  $\widetilde{SL_2(\mathbb{R})}$ .

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### Proposition

(L. 2007) For any initial globally homogeneous metric on such a Thurston geometry, there is a limiting (blowdown) Ricci flow solution, which is an expanding soliton. There is one such soliton for each homogeneity type. It is a universal attractor.

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# The limiting solitons

$$\begin{array}{ll} \frac{\text{Thurston type}}{H^3} & \frac{\text{Expanding soliton}}{4 \ t \ g_{H^3}} \\ H^2 \times \mathbb{R} \text{ or } \widehat{\text{SL}(2,\mathbb{R})} & 2 \ t \ g_{H^2} \ + \ g_{\mathbb{R}} \\ & \text{Sol} & e^{-2z} \ dx^2 \ + \ e^{2z} \ dy^2 \ + \ 4 \ t \ dz^2 \\ & \text{Nil} & \frac{1}{3t^{\frac{1}{3}}} \left( dx + \frac{1}{2}ydz - \frac{1}{2}zdy \right)^2 + t^{\frac{1}{3}} \left( dy^2 + dz^2 \right) \\ & \mathbb{R}^3 & g_{\mathbb{R}^3} \end{array}$$

#### **Theorem**

(L. 2010) Suppose that (M,g(t)) is a Ricci flow on a compact three-dimensional manifold, that exists for  $t \in [0,\infty)$ . Suppose that the sectional curvatures are  $O(t^{-1})$  in magnitude, and the diameter is  $O(\sqrt{t})$ . Then the pullback of the Ricci flow to  $\widetilde{M}$  approaches one of the homogeneous expanding solitons.

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### Conjecture

For a long-time 3D Ricci flow, the diameter is  $O(\sqrt{t})$  if and only if M admits a locally homogeneous metric.



### Some of the tools

- 1. A compactness result for possibly-collapsing Ricci flow solutions.
- 2. Monotonic quantities for Ricci flow coupled to harmonic map flow and Yang-Mills flow (extensions of the Feldman-Ilmanen-Ni  $\mathcal{W}_+$ -functional).
- 3. Local stability results for certain expanding Ricci solitons (due to Dan Knopf).

## Long-time behavior

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

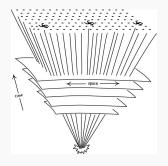
Finiteness of the number of surgeries

Long-time behavior of Ricci flow

Einstein flow

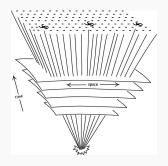


## The setup



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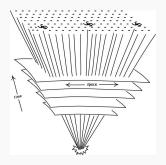
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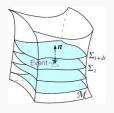
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The spacetime has a Ricci-flat Lorentzian metric g.



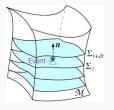
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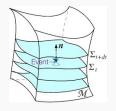
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We can compare nearby hypersurfaces using timelike geodesics (that meet a given hypersurface orthogonally) and talk about the expansion factor of their volume forms.

Let's *assume* that along any given hypersurface, the expansion factor is constant. This defines a constant mean curvature (CMC) foliation.

### Einstein flow

Using the foliation, the metric takes the form

$$g=-L^2dt^2+h(t),$$

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where L = L(t) is a function on X and h(t) is a Riemannian metric on X. The Ricci-flat condition on g becomes

$$\frac{\partial h_{ij}}{\partial t} = -2LK_{ij} \tag{3}$$

and

$$\frac{\partial K_{ij}}{\partial t} = LHK_{ij} - 2L\sum_{k,l} h^{kl}K_{ik}K_{lj} - L_{;ij} + LR_{ij}, \tag{4}$$

along with certain time-independent "constraint" equations. Here the mean curvature  $H = \sum_{i,j} h^{ij} K_{ij}$  is spatially constant.

With our conventions, *expanding* solutions have H < 0. There's a corresponding time parameter, the Hubble time  $t = -\frac{3}{H}$ .

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The analogous statement in Ricci flow is that  $t^{-\frac{3}{2}} \operatorname{vol}(X, h(t))$  is monotonically nonincreasing.



### Self-similar solutions

A Lorentzian metric g is *self-similar* if there's a one-parameter group of diffeomorphisms  $\{\phi_t\}$  so that  $\phi_t^*g=e^{ct}g$ , for some  $c\in\mathbb{R}$ .

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This is the analog of an (expanding) Ricci soliton.

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2. The Bianchi-III flat spacetime is  $\mathbb{R}$  times the interior of a forward lightcone in  $\mathbb{R}^{2,1}$ .



# More explicit solutions

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- 4. The Kasner spacetimes live on  $(0,\infty)\times\mathbb{R}^3$ , with metric

$$g = -dt^2 + t^{2p_1}dx^2 + t^{2p_2}dy^2 + t^{2p_3}dz^2.$$

Here

$$p_1 + p_2 + p_3 = p_1^2 + p_2^2 + p_3^2 = 1.$$

## Convergence result

The scale-invariant curvature condition is that  $\|\operatorname{Rm}_g\| = O(t^{-2})$  as  $t \to \infty$ . (This is the analog of a type-III solution in Ricci flow.)

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(L. 2017) Suppose that (h(t), K(t), L(t)) is an expanding CMC Einstein flow on a compact three dimensional manifold X. Suppose that the curvature is  $O(t^{-2})$  in magnitude, and the diameter of (X, h(t)) is O(t).

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Then there are arbitrarily large future time intervals where the pullback of the flow to the universal cover  $\widetilde{X}$  is modelled by one of the homogeneous self-similar solutions.

(If there is a lower volume bound  $vol(h(t)) \ge const. t^3$  then the model space is the Milne spacetime. This case is due to Mike Anderson.)

### Type-II solutions

Unlike in Ricci flow, there are expanding CMC Einstein flows that do *not* satisfy the scale-invariant curvature condition  $\|\operatorname{Rm}_g\|=O(t^{-2})$ . (Homogeneous examples are due to Hans Ringström.)

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#### **Theorem**

(L. 2017) Suppose that (h(t), K(t), L(t)) is an expanding CMC Einstein flow on a compact three dimensional manifold X. Suppose that the curvature is not  $O(t^{-2})$  in magnitude. Doing a blowdown analysis at points  $(x_i, t_i)$  of spatially maximal curvature, with  $t_i \to \infty$ , one can extract a limit flow.

It turns out to be flat.



In the blowdown analysis, we rescale so that  $\|\operatorname{Rm}_g(x_i, t_i)\| = 1$ . How can the limit be flat?

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This implies that the curvature tensors converge in the *weak*  $L^p$ -topology. The limit could well be zero.

In effect, there are increasing curvature fluctuations that average out the curvature to zero.

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But new techniques need to be developed.