

# Long-time behavior in geometric flows

John Lott  
UC-Berkeley  
<http://math.berkeley.edu/~lott>

October 4, 2017

# Outline of the talk

1. Homogeneous spaces and the geometrization conjecture
2. The geometrization conjecture and Ricci flow
3. Finiteness of the number of surgeries
4. Long-time behavior of Ricci flow
5. The Einstein flow

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

Finiteness of the number of surgeries

Long-time behavior of Ricci flow

Einstein flow

# Topology and geometric flows in three dimensions

A **geometric flow** is a way of evolving a geometry on a manifold. The hope is that as time goes on, the geometry converges to something recognizable.

# Topology and geometric flows in three dimensions

A **geometric flow** is a way of evolving a geometry on a manifold. The hope is that as time goes on, the geometry converges to something recognizable.

I'll talk about two different geometric flows on a three dimensional geometry, namely the Ricci flow and the Einstein flow.

# Topology and geometric flows in three dimensions

A **geometric flow** is a way of evolving a geometry on a manifold. The hope is that as time goes on, the geometry converges to something recognizable.

I'll talk about two different geometric flows on a three dimensional geometry, namely the Ricci flow and the Einstein flow.

First, how do we understand three dimensional spaces?

# Topology and geometric flows in three dimensions

A **geometric flow** is a way of evolving a geometry on a manifold. The hope is that as time goes on, the geometry converges to something recognizable.

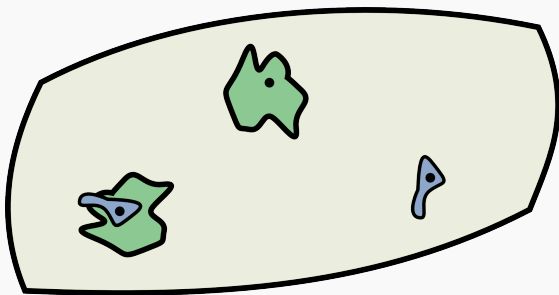
I'll talk about two different geometric flows on a three dimensional geometry, namely the Ricci flow and the Einstein flow.

First, how do we understand three dimensional spaces?

In terms of **homogeneous spaces**.

# Locally homogeneous metric spaces

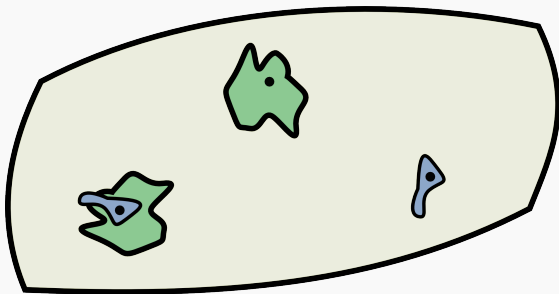
A metric space  $X$  is *locally homogeneous* if all  $x, y \in X$ , there are neighbourhoods  $U$  and  $V$  of  $x$  and  $y$  and an isometric isomorphism  $(U, x) \rightarrow (V, y)$ .





# Locally homogeneous metric spaces

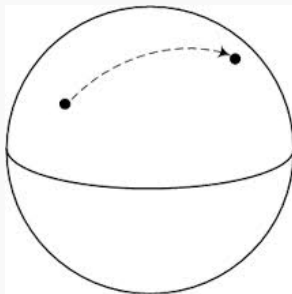
A metric space  $X$  is *locally homogeneous* if all  $x, y \in X$ , there are neighbourhoods  $U$  and  $V$  of  $x$  and  $y$  and an isometric isomorphism  $(U, x) \rightarrow (V, y)$ .



The metric space  $X$  is *globally homogeneous* if for all  $x, y \in X$ , there is an isometric isomorphism  $\phi : X \rightarrow X$  that  $\phi(x) = y$ .

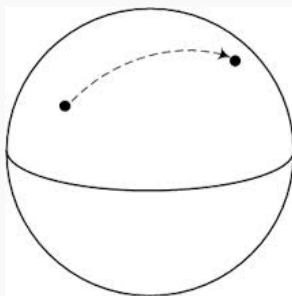
# Locally homogeneous Riemannian manifolds

Any Riemannian manifold  $M$  gets a metric space structure.



# Locally homogeneous Riemannian manifolds

Any Riemannian manifold  $M$  gets a metric space structure.



## Theorem

*(Singer 1960) If  $M$  is a complete, simply connected Riemannian manifold which is locally homogeneous, then  $M$  is globally homogeneous.*

We will say that a smooth manifold  $M$  admits a *geometric structure* if  $M$  admits a complete, locally homogeneous Riemannian metric.

It is a theorem of Singer that such a metric on a simply connected manifold  $X$  must be homogeneous, i.e. the isometry group of  $X$  must act transitively.

We will say that a smooth manifold  $M$  admits a *geometric structure* if  $M$  admits a complete, locally homogeneous Riemannian metric.

It is a theorem of Singer that such a metric on a simply connected manifold  $X$  must be homogeneous, i.e. the isometry group of  $X$  must act transitively.

Thus we can regard the universal cover  $X$  of  $M$ , together with its isometry group, as a geometry in the sense of Klein, and we can sensibly say that  $M$  admits a geometric structure modelled on  $X$ . Thurston has classified the 3-dimensional geometries and there are eight of them.

# Two-dimensional geometries

Globally homogeneous  $S^2$ ,

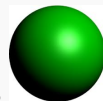
# Two-dimensional geometries

Globally homogeneous  $S^2$ , locally homogeneous



# Two-dimensional geometries

Globally homogeneous  $S^2$ , locally homogeneous

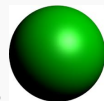


Globally homogeneous  $\mathbb{R}^2$ ,



# Two-dimensional geometries

Globally homogeneous  $S^2$ , locally homogeneous

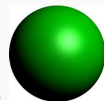


Globally homogeneous  $\mathbb{R}^2$ , locally homogeneous



# Two-dimensional geometries

Globally homogeneous  $S^2$ , locally homogeneous



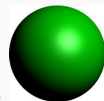
Globally homogeneous  $\mathbb{R}^2$ , locally homogeneous



Globally homogeneous  $H^2$ ,

# Two-dimensional geometries

Globally homogeneous  $S^2$ , locally homogeneous



Globally homogeneous  $\mathbb{R}^2$ , locally homogeneous



Globally homogeneous  $H^2$ , locally homogeneous



# Three-dimensional Thurston geometries

$$S^3, \mathbb{R}^3, H^3$$

# Three-dimensional Thurston geometries

$$S^3, \mathbb{R}^3, H^3$$

$$S^2 \times \mathbb{R}, H^2 \times \mathbb{R}$$

# Three-dimensional Thurston geometries

$$S^3, \mathbb{R}^3, H^3$$

$$S^2 \times \mathbb{R}, H^2 \times \mathbb{R}$$

$$\text{Nil}, \text{Sol}, \widetilde{\text{SL}(2, \mathbb{R})}$$

# Three-dimensional Thurston geometries

$$S^3, \mathbb{R}^3, H^3$$

$$S^2 \times \mathbb{R}, H^2 \times \mathbb{R}$$

$$\text{Nil}, \text{Sol}, \widetilde{\text{SL}(2, \mathbb{R})}$$

These are all globally homogeneous.

# Three-dimensional Thurston geometries

$$S^3, \mathbb{R}^3, H^3$$

$$S^2 \times \mathbb{R}, H^2 \times \mathbb{R}$$

$$\text{Nil}, \text{Sol}, \widetilde{\text{SL}(2, \mathbb{R})}$$

These are all globally homogeneous.

**Warning :** Unlike in two dimensions, not every compact three-dimensional manifold admits a geometric structure, i.e. admits a locally homogeneous Riemannian metric.

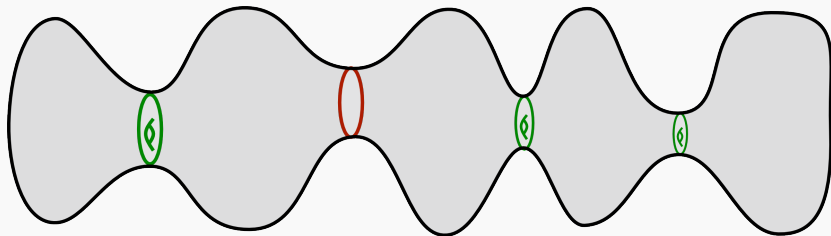


# Geometrization conjecture

If  $M$  is a compact orientable 3-manifold then there is a way to split  $M$  into canonical pieces, using certain embedded 2-spheres and 2-tori. (The collection of 2-spheres and 2-tori could be empty.)

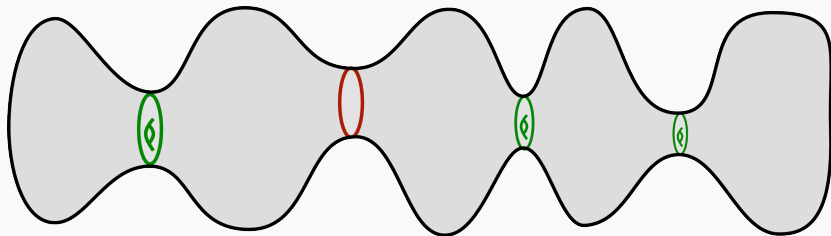
# Geometrization conjecture

If  $M$  is a compact orientable 3-manifold then there is a way to split  $M$  into canonical pieces, using certain embedded 2-spheres and 2-tori. (The collection of 2-spheres and 2-tori could be empty.)



# Geometrization conjecture

If  $M$  is a compact orientable 3-manifold then there is a way to split  $M$  into canonical pieces, using certain embedded 2-spheres and 2-tori. (The collection of 2-spheres and 2-tori could be empty.)



Conjecture (Thurston, 1982)

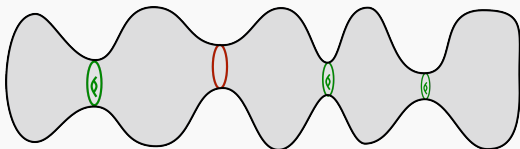
*The ensuing pieces have geometric structures, i.e. admit locally homogeneous metrics*

# Geometric decomposition

Cut along the 2-spheres and cap off the resulting pieces with 3-balls.

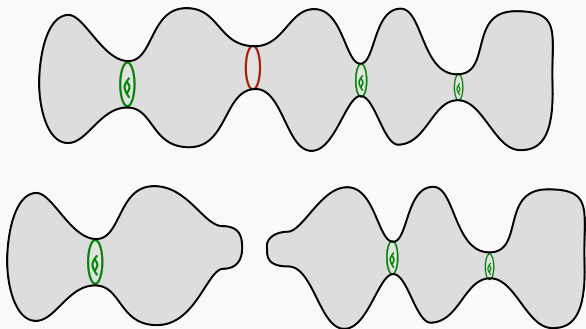
# Geometric decomposition

Cut along the 2-spheres and cap off the resulting pieces with 3-balls.



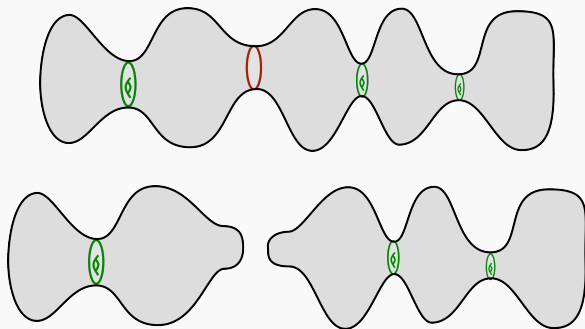
# Geometric decomposition

Cut along the 2-spheres and cap off the resulting pieces with 3-balls.



# Geometric decomposition

Cut along the 2-spheres and cap off the resulting pieces with 3-balls.



Cut along the 2-tori. The interiors of the ensuing pieces should admit complete locally homogeneous metrics.

Homogeneous spaces and the geometrization conjecture

**Geometrization conjecture and Ricci flow**

Finiteness of the number of surgeries

Long-time behavior of Ricci flow

Einstein flow



## Hamilton's Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g .$$

## Hamilton's Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g .$$

This is like a nonlinear heat equation for a Riemannian metric  $g$ .

## Hamilton's Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g .$$

This is like a nonlinear heat equation for a Riemannian metric  $g$ .

The ordinary heat equation

$$\frac{df}{dt} = \Delta f$$

acts on functions  $f$  on a fixed (compact connected) Riemannian manifold  $M$ . It takes an initial function  $f_0$  and evolves it into something homogeneous (i.e. constant).

## Hamilton's Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g .$$

This is like a nonlinear heat equation for a Riemannian metric  $g$ .

The ordinary heat equation

$$\frac{df}{dt} = \Delta f$$

acts on functions  $f$  on a fixed (compact connected) Riemannian manifold  $M$ . It takes an initial function  $f_0$  and evolves it into something homogeneous (i.e. constant).

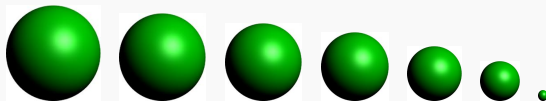
*Maybe* the Ricci flow will evolve an initial Riemannian metric into something homogeneous.

# Surfaces

For the Ricci flow on a compact surface, after rescaling the metric approaches a locally homogeneous metric.

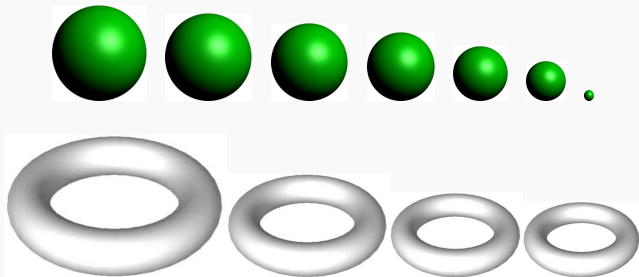
# Surfaces

For the Ricci flow on a compact surface, after rescaling the metric approaches a locally homogeneous metric.



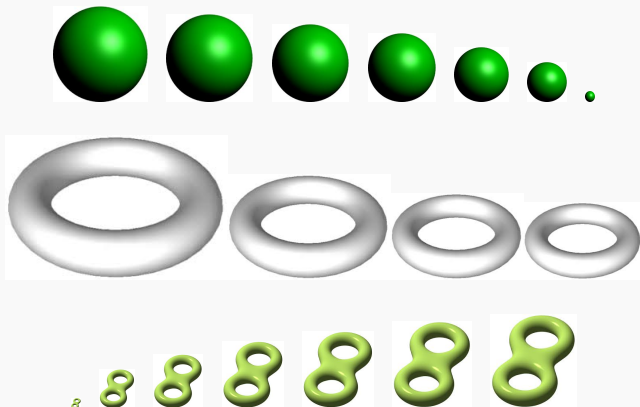
# Surfaces

For the Ricci flow on a compact surface, after rescaling the metric approaches a locally homogeneous metric.



# Surfaces

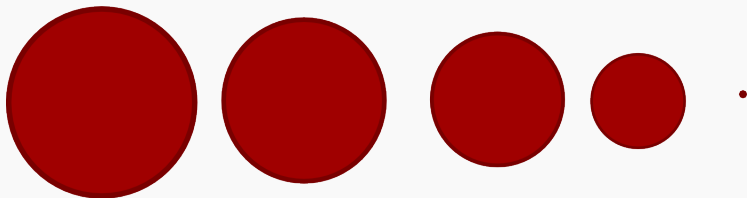
For the Ricci flow on a compact surface, after rescaling the metric approaches a locally homogeneous metric.





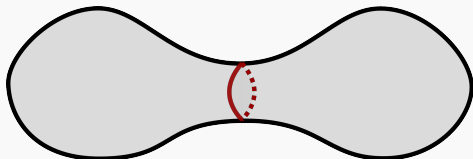
# Singularities in 3D Ricci flow

Some components may disappear, e.g. a round shrinking 3-sphere.



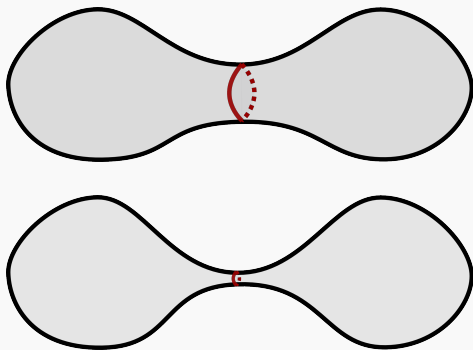
# Neckpinch

A 2-sphere pinches off. (Drawn one dimension down.)



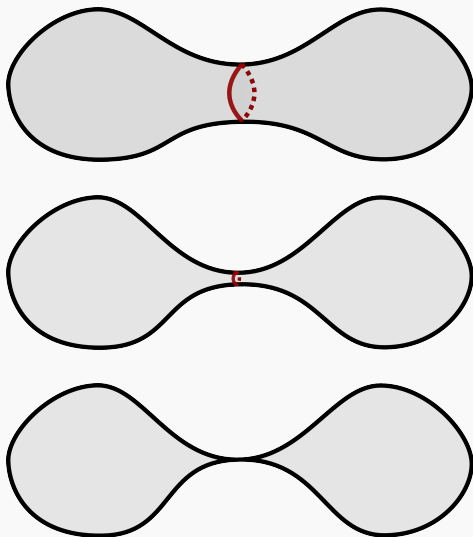
# Neckpinch

A 2-sphere pinches off. (Drawn one dimension down.)

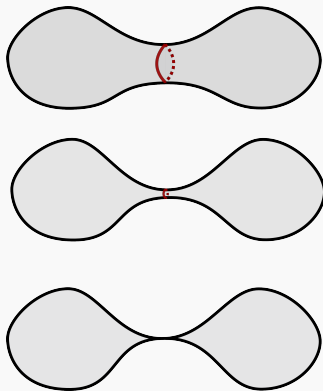


# Neckpinch

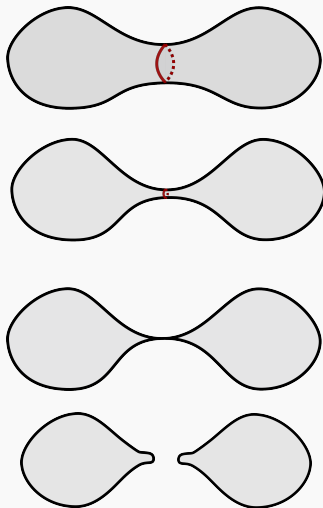
A 2-sphere pinches off. (Drawn one dimension down.)



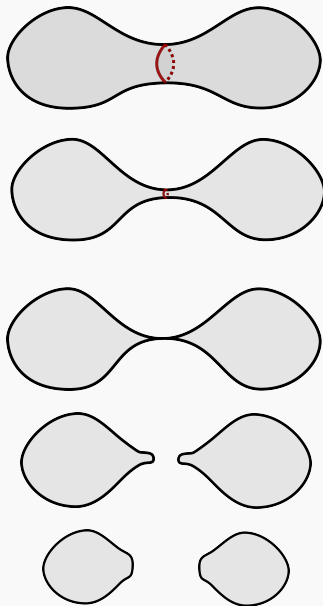
# Hamilton's idea of surgery



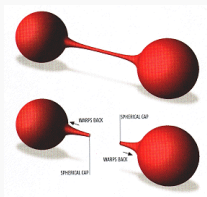
# Hamilton's idea of surgery



# Hamilton's idea of surgery

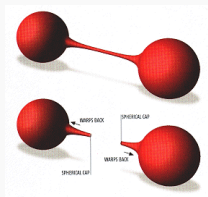


# Role of singularities



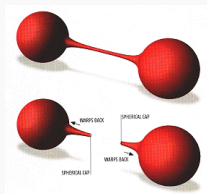


# Role of singularities



Singularities are **good** because we know that in general, we have to cut along some 2-spheres to see the geometric pieces.

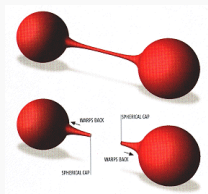
# Role of singularities



Singularities are **good** because we know that in general, we have to cut along some 2-spheres to see the geometric pieces.

They are also **problematic** because they may cause lots of topologically trivial surgeries. (Spitting out 3-spheres.)

# Role of singularities



Singularities are **good** because we know that in general, we have to cut along some 2-spheres to see the geometric pieces.

They are also **problematic** because they may cause lots of topologically trivial surgeries. (Spitting out 3-spheres.)

Remark : the surgeries are done on 2-spheres, not 2-tori.

# Intuitive way to prove the geometrization conjecture using Ricci flow

# Intuitive way to prove the geometrization conjecture using Ricci flow

**Step 1 :** Show that one can perform surgery.

# Intuitive way to prove the geometrization conjecture using Ricci flow

**Step 1 :** Show that one can perform surgery.

a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.

# Intuitive way to prove the geometrization conjecture using Ricci flow

**Step 1 :** Show that one can perform surgery.

- a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.
- b. Show that the surgery times do not accumulate.

# Intuitive way to prove the geometrization conjecture using Ricci flow

**Step 1 :** Show that one can perform surgery.

- a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.
- b. Show that the surgery times do not accumulate.

**Step 2 :** Show that only a finite number of surgeries occur.



# Intuitive way to prove the geometrization conjecture using Ricci flow

**Step 1 :** Show that one can perform surgery.

a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.

b. Show that the surgery times do not accumulate.

**Step 2 :** Show that only a finite number of surgeries occur.

**Step 3 :** Show that after the singularities are over, as time evolves, the locally homogeneous pieces in the Thurston decomposition asymptotically appear.

(Relevant geometries :  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ ,  $\widetilde{SL(2, \mathbb{R})}$ , Sol, Nil.)

**Step 1 :** Show that one can perform surgery.

- a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.
- b. Show that the surgery times do not accumulate.

**Step 1 :** Show that one can perform surgery.

- a. Show that singularities are only caused by components disappearing or by 2-spheres pinching down.
- b. Show that the surgery times do not accumulate.

Done by Perelman.

**Step 2 :** Show that only a finite number of surgeries occur.

**Step 2** : Show that only a finite number of surgeries occur.

**From Perelman's first Ricci flow paper** : *Moreover, it can be shown ... that the solution is smooth (if nonempty) from some finite time on.*

**Step 2 :** Show that only a finite number of surgeries occur.

**From Perelman's first Ricci flow paper :** *Moreover, it can be shown ... that the solution is smooth (if nonempty) from some finite time on.*

**From Perelman's second Ricci flow paper :** *This is a technical paper, which is a continuation of [1]. Here we verify most of the assertions, made in [1, §13]; the exceptions are ... the smoothness of the solution from some time on, which turned out to be unjustified, and, on the other hand, irrelevant for the other conclusions.*

# What Perelman actually showed

# What Perelman actually showed

For any  $t$ , one can define a “thick-thin” decomposition of the time- $t$  manifold (assuming that it’s nonsingular). Then for large but finite  $t$ , the following properties hold.



# What Perelman actually showed

For any  $t$ , one can define a “thick-thin” decomposition of the time- $t$  manifold (assuming that it’s nonsingular). Then for large but finite  $t$ , the following properties hold.

1. The interior of the thick part carries a complete finite-volume hyperbolic metric. (This uses Ricci flow.)

# What Perelman actually showed

For any  $t$ , one can define a “thick-thin” decomposition of the time- $t$  manifold (assuming that it’s nonsingular). Then for large but finite  $t$ , the following properties hold.

1. The interior of the thick part carries a complete finite-volume hyperbolic metric. (This uses Ricci flow.)
2. The thin part is a “graph manifold”. (This doesn’t use Ricci flow. Stated by Perelman, proofs by Shioya-Yamaguchi, Morgan-Tian, Bessières-Besson-Boileau-Maillot-Porti and Kleiner-L.)

# What Perelman actually showed

For any  $t$ , one can define a “thick-thin” decomposition of the time- $t$  manifold (assuming that it’s nonsingular). Then for large but finite  $t$ , the following properties hold.

1. The interior of the thick part carries a complete finite-volume hyperbolic metric. (This uses Ricci flow.)
2. The thin part is a “graph manifold”. (This doesn’t use Ricci flow. Stated by Perelman, proofs by Shioya-Yamaguchi, Morgan-Tian, Bessières-Besson-Boileau-Maillot-Porti and Kleiner-L.)
3. The interface between the thick and thin parts consists of “incompressible” 2-tori (Hamilton).

# What Perelman actually showed

For any  $t$ , one can define a “thick-thin” decomposition of the time- $t$  manifold (assuming that it’s nonsingular). Then for large but finite  $t$ , the following properties hold.

1. The interior of the thick part carries a complete finite-volume hyperbolic metric. (This uses Ricci flow.)
2. The thin part is a “graph manifold”. (This doesn’t use Ricci flow. Stated by Perelman, proofs by Shioya-Yamaguchi, Morgan-Tian, Bessières-Besson-Boileau-Maillot-Porti and Kleiner-L.)
3. The interface between the thick and thin parts consists of “incompressible” 2-tori (Hamilton).

Graph manifolds were known to have a geometric decomposition. Along with knowledge of the topological effects of surgeries, this proved the geometrization conjecture.

# Is the intuitive picture correct?

**Step 2**, on the finiteness of the number of surgeries, was still open.

# Is the intuitive picture correct?

**Step 2**, on the finiteness of the number of surgeries, was still open.

**Step 3** : Show that after the singularities are over, as time evolves, the locally homogeneous pieces in the Thurston decomposition asymptotically appear.

# Is the intuitive picture correct?

**Step 2**, on the finiteness of the number of surgeries, was still open.

**Step 3** : Show that after the singularities are over, as time evolves, the locally homogeneous pieces in the Thurston decomposition asymptotically appear.

Perelman showed that this is true for the “thick” part. He showed that its geometry is asymptotically hyperbolic. What happens on the “thin” part was still open.

# Is the intuitive picture correct?

**Step 2**, on the finiteness of the number of surgeries, was still open.

**Step 3** : Show that after the singularities are over, as time evolves, the locally homogeneous pieces in the Thurston decomposition asymptotically appear.

Perelman showed that this is true for the “thick” part. He showed that its geometry is asymptotically hyperbolic. What happens on the “thin” part was still open.

**Remark** : Answering these questions has no topological implication. We already know that the geometrization conjecture holds. Rather, they are *analytic* questions about the Ricci flow.



# Long-time behavior

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

**Finiteness of the number of surgeries**

Long-time behavior of Ricci flow

Einstein flow

# Finiteness of the number of surgeries

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries.*

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries.*

*Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries.*

*Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

To be more precise, there is a parameter in Perelman's Ricci-flow-with-surgery that determines the scale at which surgery is performed.

# Finiteness of the number of surgeries

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries.*

*Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

To be more precise, there is a parameter in Perelman's Ricci-flow-with-surgery that determines the scale at which surgery is performed.

The statement is that if this parameter is small enough (which can always be achieved) then there is a finite number of surgeries.

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

Relevance of the second statement :

## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

Relevance of the second statement :

In Ricci flow, the Riemannian metric has engineering dimension  $length^2$  and time has engineering dimension  $length^2$ .



## Theorem

*(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .*

Relevance of the second statement :

In Ricci flow, the Riemannian metric has engineering dimension  $length^2$  and time has engineering dimension  $length^2$ .

So the scale-invariant time- $t$  metric is  $\hat{g}(t) = \frac{g(t)}{t}$ .

## Theorem

(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .

Relevance of the second statement :

In Ricci flow, the Riemannian metric has engineering dimension  $length^2$  and time has engineering dimension  $length^2$ .

So the scale-invariant time- $t$  metric is  $\hat{g}(t) = \frac{g(t)}{t}$ .

The statement is that for large time, the rescaled metrics  $\{\hat{g}(t)\}$  have *uniformly* bounded sectional curvatures.

## Theorem

(Bamler 2013) Starting from any compact Riemannian 3-manifold, Perelman's Ricci-flow-with-surgery only encounters a finite number of surgeries. Furthermore, for large time  $t$ , if what's left is nonempty then the sectional curvatures decay like  $O(t^{-1})$ .

Relevance of the second statement :

In Ricci flow, the Riemannian metric has engineering dimension  $length^2$  and time has engineering dimension  $length^2$ .

So the scale-invariant time- $t$  metric is  $\hat{g}(t) = \frac{g(t)}{t}$ .

The statement is that for large time, the rescaled metrics  $\{\hat{g}(t)\}$  have *uniformly* bounded sectional curvatures.

This is good because we know lots about metrics with bounded sectional curvature (Cheeger-Fukaya-Gromov).

# Ingredients of the proof

Bamler's proof uses all of Perelman's work, and more. Some of the new ingredients :

1. Localizing Perelman's estimates and applying them to local covers of the manifold.
2. Use of minimal surfaces to control the geometry of the thin part.
3. Use of minimal embedded 2-complexes.

# Long-time behavior

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

Finiteness of the number of surgeries

**Long-time behavior of Ricci flow**

Einstein flow

# Long-time behavior

From Bamler's result, to understand the long-time behavior of the Ricci flow, it is enough to restrict to **smooth** Ricci flows.

# Long-time behavior

From Bamler's result, to understand the long-time behavior of the Ricci flow, it is enough to restrict to **smooth** Ricci flows.

The only case that we completely understand is when  $M$  admits *some* hyperbolic metric. Then from Perelman's work, for *any* initial metric on  $M$ , as  $t \rightarrow \infty$  the rescaled Riemannian metric  $\widehat{g}(t)$  approaches the metric on  $M$  of constant sectional curvature  $-\frac{1}{4}$ .

# Long-time behavior

From Bamler's result, to understand the long-time behavior of the Ricci flow, it is enough to restrict to **smooth** Ricci flows.

The only case that we completely understand is when  $M$  admits *some* hyperbolic metric. Then from Perelman's work, for *any* initial metric on  $M$ , as  $t \rightarrow \infty$  the rescaled Riemannian metric  $\widehat{g}(t)$  approaches the metric on  $M$  of constant sectional curvature  $-\frac{1}{4}$ .

**Question :** if  $M$  doesn't admit a hyperbolic metric, what are the candidate geometries for the long-time behavior?



# Quasistatic solutions

The static solutions of the Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g$$

are **Ricci-flat**.

# Quasistatic solutions

The static solutions of the Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g$$

are **Ricci-flat**.

The solutions that are *scale-invariant*, ie. static up to rescaling, are **Einstein metrics**:  $\operatorname{Ric} = \text{const. } g$ .

# Quasistatic solutions

The static solutions of the Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g$$

are **Ricci-flat**.

The solutions that are *scale-invariant*, ie. static up to rescaling, are **Einstein metrics**:  $\operatorname{Ric} = \text{const. } g$ .

The solutions that are *self-similar*, i.e. static up to rescaling and diffeomorphisms are **Ricci solitons**:  $\operatorname{Ric} = \text{const. } g + \mathcal{L}_V g$ .

# Quasistatic solutions

The static solutions of the Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g$$

are **Ricci-flat**.

The solutions that are *scale-invariant*, ie. static up to rescaling, are **Einstein metrics**:  $\operatorname{Ric} = \text{const. } g$ .

The solutions that are *self-similar*, i.e. static up to rescaling and diffeomorphisms are **Ricci solitons** :  $\operatorname{Ric} = \text{const. } g + \mathcal{L}_V g$ .

Fact : On a compact 3-manifold, any self-similar solution has constant sectional curvature.

# Quasistatic solutions

The static solutions of the Ricci flow equation

$$\frac{dg}{dt} = -2 \operatorname{Ric}_g$$

are **Ricci-flat**.

The solutions that are *scale-invariant*, ie. static up to rescaling, are **Einstein metrics**:  $\operatorname{Ric} = \text{const. } g$ .

The solutions that are *self-similar*, i.e. static up to rescaling and diffeomorphisms are **Ricci solitons**:  $\operatorname{Ric} = \text{const. } g + \mathcal{L}_V g$ .

Fact : On a compact 3-manifold, any self-similar solution has constant sectional curvature.

**Apparent paradox** : What happens to the Ricci flow if our 3-manifold doesn't admit a constant curvature metric?

# Nil geometry

# Nil geometry

Put  $\text{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ . Define  $\text{Nil}_{\mathbb{R}}$  similarly.

# Nil geometry

Put  $\text{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ . Define  $\text{Nil}_{\mathbb{R}}$  similarly.

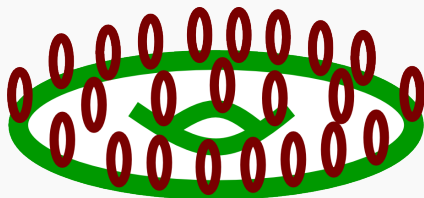
Put  $M = \text{Nil}_{\mathbb{R}} / \text{Nil}_{\mathbb{Z}}$ . It is the total space of a nontrivial circle bundle over  $T^2$ .



# Nil geometry

Put  $\text{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ . Define  $\text{Nil}_{\mathbb{R}}$  similarly.

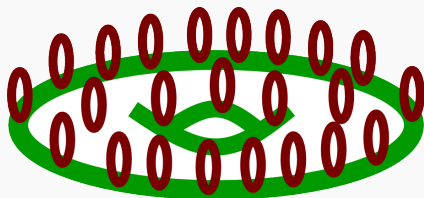
Put  $M = \text{Nil}_{\mathbb{R}} / \text{Nil}_{\mathbb{Z}}$ . It is the total space of a nontrivial circle bundle over  $T^2$ .



# Nil geometry

Put  $\text{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ . Define  $\text{Nil}_{\mathbb{R}}$  similarly.

Put  $M = \text{Nil}_{\mathbb{R}} / \text{Nil}_{\mathbb{Z}}$ . It is the total space of a nontrivial circle bundle over  $T^2$ .

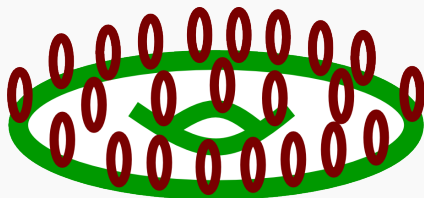


Run the Ricci flow. The base torus expands like  $O\left(t^{\frac{1}{6}}\right)$ . The circle fibers shrink like  $O\left(t^{-\frac{1}{6}}\right)$ .

# Nil geometry

Put  $\text{Nil}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ . Define  $\text{Nil}_{\mathbb{R}}$  similarly.

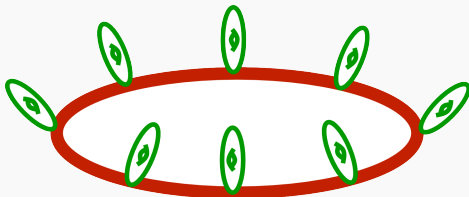
Put  $M = \text{Nil}_{\mathbb{R}} / \text{Nil}_{\mathbb{Z}}$ . It is the total space of a nontrivial circle bundle over  $T^2$ .



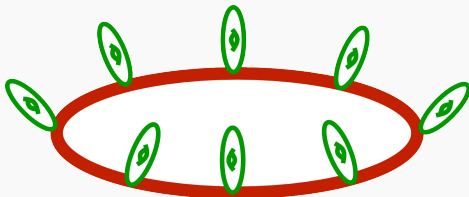
Run the Ricci flow. The base torus expands like  $O\left(t^{\frac{1}{6}}\right)$ . The circle fibers shrink like  $O\left(t^{-\frac{1}{6}}\right)$ .

With the rescaled metric  $\hat{g}(t) = \frac{g(t)}{t}$ ,  $(M, \hat{g}(t))$  shrinks to a point.

$M$  fibers over a circle with 2-torus fibers. The monodromy is a hyperbolic element of  $SL(2, \mathbb{Z})$ .

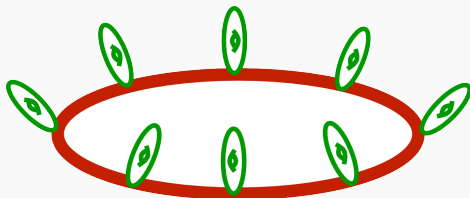


$M$  fibers over a circle with 2-torus fibers. The monodromy is a hyperbolic element of  $SL(2, \mathbb{Z})$ .



Run the Ricci flow. The base circle expands like  $O(t^{\frac{1}{2}})$ . The fiber sizes are  $O(t^0)$ .

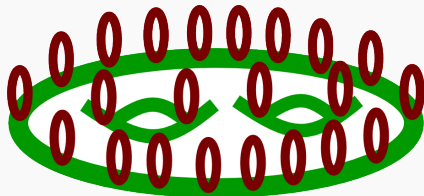
$M$  fibers over a circle with 2-torus fibers. The monodromy is a hyperbolic element of  $SL(2, \mathbb{Z})$ .



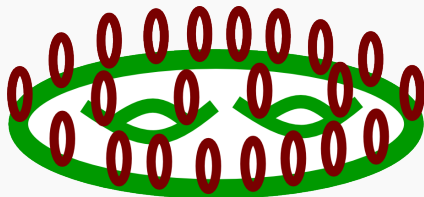
Run the Ricci flow. The base circle expands like  $O(t^{\frac{1}{2}})$ . The fiber sizes are  $O(t^0)$ .

With the rescaled metric,  $(M, \hat{g}(t))$  approaches a circle.

Suppose that  $M$  is the unit tangent bundle of a hyperbolic surface  $\Sigma$ .



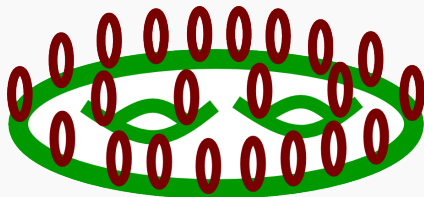
Suppose that  $M$  is the unit tangent bundle of a hyperbolic surface  $\Sigma$ .



Run the Ricci flow. The base surface expands like  $O(t^{\frac{1}{2}})$ . The fiber sizes are  $O(t^0)$ .



Suppose that  $M$  is the unit tangent bundle of a hyperbolic surface  $\Sigma$ .



Run the Ricci flow. The base surface expands like  $O(t^{\frac{1}{2}})$ . The fiber sizes are  $O(t^0)$ .

With the rescaled metric,  $(M, \hat{g}(t))$  approaches the hyperbolic surface  $\Sigma$ . As the fibers shrink, the local geometry of the total space becomes more product-like.

Is there a common pattern?

# Is there a common pattern?

There is a common pattern, but to see it one must pass to the universal cover. That is, we are looking at the Ricci flow on a Thurston geometry of type  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ , Sol, Nil or  $\widetilde{SL_2(\mathbb{R})}$ .

# Is there a common pattern?

There is a common pattern, but to see it one must pass to the universal cover. That is, we are looking at the Ricci flow on a Thurston geometry of type  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ , Sol, Nil or  $\widetilde{SL}_2(\mathbb{R})$ .

## Proposition

*(L. 2007) For any initial globally homogeneous metric on such a Thurston geometry, there is a limiting (blowdown) Ricci flow solution, which is an expanding soliton. There is one such soliton for each homogeneity type. It is a universal attractor.*

$$\text{Ric} + \frac{1}{2} \mathcal{L}_v g = -\frac{1}{2t} g.$$

# Is there a common pattern?

There is a common pattern, but to see it one must pass to the universal cover. That is, we are looking at the Ricci flow on a Thurston geometry of type  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ , Sol, Nil or  $\widetilde{SL}_2(\mathbb{R})$ .

## Proposition

*(L. 2007) For any initial globally homogeneous metric on such a Thurston geometry, there is a limiting (blowdown) Ricci flow solution, which is an expanding soliton. There is one such soliton for each homogeneity type. It is a universal attractor.*

$$\text{Ric} + \frac{1}{2} \mathcal{L}_V g = -\frac{1}{2t} g.$$

A subtlety : the limit is in the *pointed* sense. The soliton metric  $g$  is homogeneous but the vector field  $V$  need not be homogeneous.

# Is there a common pattern?

There is a common pattern, but to see it one must pass to the universal cover. That is, we are looking at the Ricci flow on a Thurston geometry of type  $\mathbb{R}^3$ ,  $H^3$ ,  $H^2 \times \mathbb{R}$ , Sol, Nil or  $\widetilde{SL}_2(\mathbb{R})$ .

## Proposition

*(L. 2007) For any initial globally homogeneous metric on such a Thurston geometry, there is a limiting (blowdown) Ricci flow solution, which is an expanding soliton. There is one such soliton for each homogeneity type. It is a universal attractor.*

$$\text{Ric} + \frac{1}{2} \mathcal{L}_V g = -\frac{1}{2t} g.$$

A subtlety : the limit is in the *pointed* sense. The soliton metric  $g$  is homogeneous but the vector field  $V$  need not be homogeneous. Also, the homogeneity type may change in the limit.

# The limiting solitons

<u>Thurston type</u>	<u>Expanding soliton</u>
$H^3$	$4 t g_{H^3}$
$H^2 \times \mathbb{R}$ or $\widetilde{\text{SL}(2, \mathbb{R})}$	$2 t g_{H^2} + g_{\mathbb{R}}$
Sol	$e^{-2z} dx^2 + e^{2z} dy^2 + 4 t dz^2$
Nil	$\frac{1}{3t^{\frac{1}{3}}} \left( dx + \frac{1}{2} y dz - \frac{1}{2} z dy \right)^2 + t^{\frac{1}{3}} (dy^2 + dz^2)$
$\mathbb{R}^3$	$g_{\mathbb{R}^3}$

# A general convergence theorem



# A general convergence theorem

## Theorem

*(L. 2010) Suppose that  $(M, g(t))$  is a Ricci flow on a compact three-dimensional manifold, that exists for  $t \in [0, \infty)$ . Suppose that the sectional curvatures are  $O(t^{-1})$  in magnitude, and the diameter is  $O(\sqrt{t})$ . Then the pullback of the Ricci flow to  $\tilde{M}$  approaches one of the homogeneous expanding solitons.*

# A general convergence theorem

## Theorem

*(L. 2010) Suppose that  $(M, g(t))$  is a Ricci flow on a compact three-dimensional manifold, that exists for  $t \in [0, \infty)$ . Suppose that the sectional curvatures are  $O(t^{-1})$  in magnitude, and the diameter is  $O(\sqrt{t})$ . Then the pullback of the Ricci flow to  $\tilde{M}$  approaches one of the homogeneous expanding solitons.*

## Remarks :

- ▶ By Bamler's result, the sectional curvatures are always  $O(t^{-1})$ .
- ▶ The hypotheses imply that  $M$  admits a locally homogeneous metric.

# A general convergence theorem

## Theorem

*(L. 2010) Suppose that  $(M, g(t))$  is a Ricci flow on a compact three-dimensional manifold, that exists for  $t \in [0, \infty)$ . Suppose that the sectional curvatures are  $O(t^{-1})$  in magnitude, and the diameter is  $O(\sqrt{t})$ . Then the pullback of the Ricci flow to  $\tilde{M}$  approaches one of the homogeneous expanding solitons.*

## Remarks :

- ▶ By Bamler's result, the sectional curvatures are always  $O(t^{-1})$ .
- ▶ The hypotheses imply that  $M$  admits a locally homogeneous metric.

## Conjecture

*For a long-time 3D Ricci flow, the diameter is  $O(\sqrt{t})$  if and only if  $M$  admits a locally homogeneous metric.*

# Idea of the proof

A dynamical systems approach :

1. There is a flow on the space of Ricci flows (with the given geometric assumptions), coming from rescaling the time parameter and the metric.

# Idea of the proof

A dynamical systems approach :

1. There is a flow on the space of Ricci flows (with the given geometric assumptions), coming from rescaling the time parameter and the metric.
2. Compactify the space of Ricci flows. Under the rescaling, a given Ricci flow solution may **collapse** to something lower dimensional. Add these as new flows. (Ricci flows on étale groupoids.)

# Idea of the proof

A dynamical systems approach :

1. There is a flow on the space of Ricci flows (with the given geometric assumptions), coming from rescaling the time parameter and the metric.
2. Compactify the space of Ricci flows. Under the rescaling, a given Ricci flow solution may **collapse** to something lower dimensional. Add these as new flows. (Ricci flows on étale groupoids.)
3. Show that the possible limit points of an orbit are certain expanding Ricci solutions. New monotonic quantities for Ricci flows coupled to harmonic map flow and Yang-Mills flow (extensions of the Feldman-Ilmanen-Ni  $\mathcal{W}_+$ -functional).

# Idea of the proof

A dynamical systems approach :

1. There is a flow on the space of Ricci flows (with the given geometric assumptions), coming from rescaling the time parameter and the metric.
2. Compactify the space of Ricci flows. Under the rescaling, a given Ricci flow solution may **collapse** to something lower dimensional. Add these as new flows. (Ricci flows on étale groupoids.)
3. Show that the possible limit points of an orbit are certain expanding Ricci solitons. New monotonic quantities for Ricci flows coupled to harmonic map flow and Yang-Mills flow (extensions of the Feldman-Ilmanen-Ni  $\mathcal{W}_+$ -functional).
4. Local stability results for certain expanding Ricci solitons (due to Dan Knopf).

# Long-time behavior

Homogeneous spaces and the geometrization conjecture

Geometrization conjecture and Ricci flow

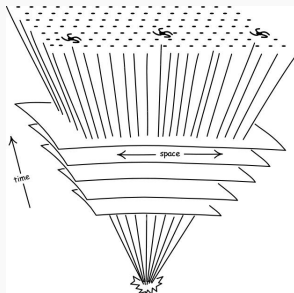
Finiteness of the number of surgeries

Long-time behavior of Ricci flow

**Einstein flow**

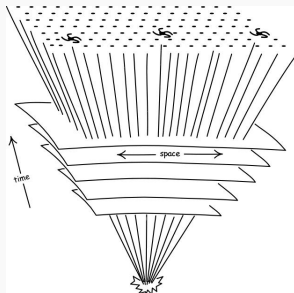


# The setup



I'm interested in expanding vacuum spacetimes. What is the future behavior?

# The setup



I'm interested in expanding vacuum spacetimes. What is the future behavior?

The spacetime is diffeomorphic to  $(0, \infty) \times X$ , where  $X$  is a compact three-dimensional manifold.

# Einstein equations

The spacetime has a Lorentzian metric  $g$ . The Einstein equation of general relativity is

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} + \Lambda g_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}.$$

Here  $R_{\alpha\beta}$  is the Ricci tensor and  $R = \sum_{\alpha,\beta} g^{\alpha\beta}R_{\alpha\beta}$  is the scalar curvature function.

# Einstein equations

The spacetime has a Lorentzian metric  $g$ . The Einstein equation of general relativity is

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} + \Lambda g_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}.$$

Here  $R_{\alpha\beta}$  is the Ricci tensor and  $R = \sum_{\alpha,\beta} g^{\alpha\beta}R_{\alpha\beta}$  is the scalar curvature function.

I will make the following simplifications:

1. The cosmological constant vanishes, i.e.  $\Lambda = 0$ .
2. It's a vacuum spacetime, i.e.  $T_{\alpha\beta} = 0$ .

# Einstein equations

The spacetime has a Lorentzian metric  $g$ . The Einstein equation of general relativity is

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} + \Lambda g_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}.$$

Here  $R_{\alpha\beta}$  is the Ricci tensor and  $R = \sum_{\alpha,\beta} g^{\alpha\beta}R_{\alpha\beta}$  is the scalar curvature function.

I will make the following simplifications:

1. The cosmological constant vanishes, i.e.  $\Lambda = 0$ .
2. It's a vacuum spacetime, i.e.  $T_{\alpha\beta} = 0$ .

Then the Einstein equation becomes

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = 0.$$

# Ricci-flat condition

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = 0.$$

# Ricci-flat condition

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = 0.$$

Multiplying by the inverse metric  $g^{\alpha\beta}$  and summing gives

$$\sum_{\alpha,\beta} g^{\alpha\beta} R_{\alpha\beta} - \frac{1}{2} \sum_{\alpha,\beta} Rg^{\alpha\beta} g_{\alpha\beta} = 0,$$

or

$$(1 - \frac{1}{2} \cdot 4)R = 0.$$

# Ricci-flat condition

$$R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = 0.$$

Multiplying by the inverse metric  $g^{\alpha\beta}$  and summing gives

$$\sum_{\alpha,\beta} g^{\alpha\beta} R_{\alpha\beta} - \frac{1}{2} \sum_{\alpha,\beta} Rg^{\alpha\beta} g_{\alpha\beta} = 0,$$

or

$$(1 - \frac{1}{2} \cdot 4)R = 0.$$

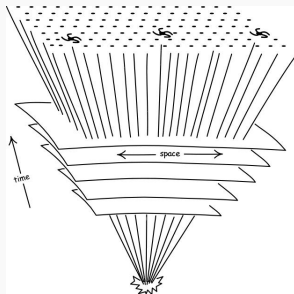
Then the vacuum Einstein equation becomes

$$R_{\alpha\beta} = 0,$$

i.e. the Lorentzian metric  $g$  is Ricci-flat.

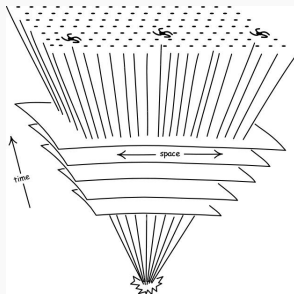


# The setup



I'm interested in expanding vacuum spacetimes. What is the future behavior?

# The setup

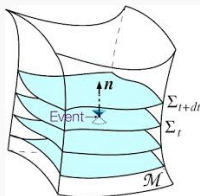


I'm interested in expanding vacuum spacetimes. What is the future behavior?

There has been lots of work on this, mostly under some symmetry assumptions for the spatial slices (e.g. locally homogeneous or  $T^2$ -symmetry). Are there more general results?

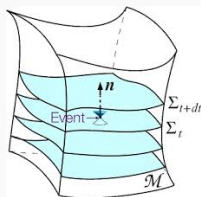
# What is time?

Suppose that we have a foliation of the spacetime by compact hypersurfaces.



# What is time?

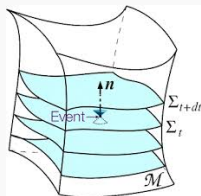
Suppose that we have a foliation of the spacetime by compact hypersurfaces.



We can compare nearby hypersurfaces using timelike geodesics (that meet a given hypersurface orthogonally) and talk about the expansion factor of their volume forms.

# What is time?

Suppose that we have a foliation of the spacetime by compact hypersurfaces.



We can compare nearby hypersurfaces using timelike geodesics (that meet a given hypersurface orthogonally) and talk about the expansion factor of their volume forms.

Let's *assume* that along any given hypersurface, the expansion factor is constant. This defines a constant mean curvature (CMC) foliation.

# Einstein flow

Using the foliation, the metric takes the form

$$g = -L^2 dt^2 + h(t),$$

where  $L = L(t)$  is a function on  $X$  and  $h(t)$  is a Riemannian metric on  $X$ .

Using the foliation, the metric takes the form

$$g = -L^2 dt^2 + h(t),$$

where  $L = L(t)$  is a function on  $X$  and  $h(t)$  is a Riemannian metric on  $X$ . The Ricci-flat condition on  $g$  becomes

$$\frac{\partial h_{ij}}{\partial t} = -2LK_{ij} \quad (3)$$

and

$$\frac{\partial K_{ij}}{\partial t} = LHK_{ij} - 2L \sum_{k,l} h^{kl} K_{ik} K_{lj} - L_{;ij} + LR_{ij}, \quad (4)$$

along with certain time-independent “constraint” equations. Here the mean curvature  $H = \sum_{i,j} h^{ij} K_{ij}$  is spatially constant.

# Monotonicity

With our conventions, *expanding* solutions have  $H < 0$ . There's a corresponding time parameter, the Hubble time  $t = -\frac{3}{H}$ .



With our conventions, *expanding* solutions have  $H < 0$ . There's a corresponding time parameter, the Hubble time  $t = -\frac{3}{H}$ .

## Theorem

*(Fischer-Moncrief)*

*If  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three-dimensional manifold  $X$  then  $t^{-3} \text{vol}(X, h(t))$  is monotonically nonincreasing.*

# Monotonicity

With our conventions, *expanding* solutions have  $H < 0$ . There's a corresponding time parameter, the Hubble time  $t = -\frac{3}{H}$ .

## Theorem

*(Fischer-Moncrief)*

*If  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three-dimensional manifold  $X$  then  $t^{-3} \text{vol}(X, h(t))$  is monotonically nonincreasing.*

*It is constant if and only if the Einstein flow describes a compact quotient of the Milne universe, i.e.*

$$g = -dt^2 + t^2 h_{\text{hyp}}.$$

# Monotonicity

With our conventions, *expanding* solutions have  $H < 0$ . There's a corresponding time parameter, the Hubble time  $t = -\frac{3}{H}$ .

## Theorem

*(Fischer-Moncrief)*

*If  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three-dimensional manifold  $X$  then  $t^{-3} \text{vol}(X, h(t))$  is monotonically nonincreasing.*

*It is constant if and only if the Einstein flow describes a compact quotient of the Milne universe, i.e.*

$$g = -dt^2 + t^2 h_{hyp}.$$

The analogous statement in Ricci flow is that  $t^{-\frac{3}{2}} \text{vol}(X, h(t))$  is monotonically nonincreasing.

# Self-similar solutions

A Lorentzian metric  $g$  is *self-similar* if there's a one-parameter group of diffeomorphisms  $\{\phi_s\}$  so that  $\phi_s^*g = e^{cs}g$ , for some  $c \in \mathbb{R}$ .

# Self-similar solutions

A Lorentzian metric  $g$  is *self-similar* if there's a one-parameter group of diffeomorphisms  $\{\phi_s\}$  so that  $\phi_s^*g = e^{cs}g$ , for some  $c \in \mathbb{R}$ .

On the infinitesimal level, this means that there is a future-directed vector field  $X$  with  $\mathcal{L}_Xg = cg$ . I'll take  $c = 2$ .

# Self-similar solutions

A Lorentzian metric  $g$  is *self-similar* if there's a one-parameter group of diffeomorphisms  $\{\phi_s\}$  so that  $\phi_s^*g = e^{cs}g$ , for some  $c \in \mathbb{R}$ .

On the infinitesimal level, this means that there is a future-directed vector field  $X$  with  $\mathcal{L}_Xg = cg$ . I'll take  $c = 2$ .

This is the analog of an (expanding) Ricci soliton.

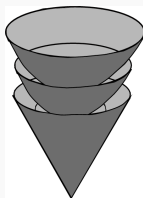
# Explicit solutions

Here are the simply-connected homogeneous self-similar solutions (that admit a spatially compact quotient):

# Explicit solutions

Here are the simply-connected homogeneous self-similar solutions (that admit a spatially compact quotient):

1. The **Milne spacetime** is the interior of a forward lightcone in  $\mathbb{R}^{3,1}$ . It is foliated by hyperboloids.

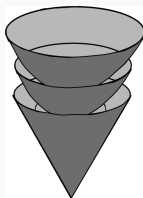




# Explicit solutions

Here are the simply-connected homogeneous self-similar solutions (that admit a spatially compact quotient):

1. The **Milne spacetime** is the interior of a forward lightcone in  $\mathbb{R}^{3,1}$ . It is foliated by hyperboloids.

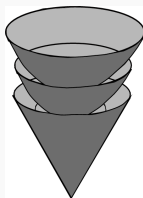


The metric is  $g = -dt^2 + t^2 h_{hyp}$ . It is scale-invariant.

# Explicit solutions

Here are the simply-connected homogeneous self-similar solutions (that admit a spatially compact quotient):

1. The **Milne spacetime** is the interior of a forward lightcone in  $\mathbb{R}^{3,1}$ . It is foliated by hyperboloids.

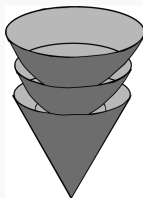


The metric is  $g = -dt^2 + t^2 h_{hyp}$ . It is scale-invariant.  
A spatially compact quotient is called a Löbell spacetime.

# Explicit solutions

Here are the simply-connected homogeneous self-similar solutions (that admit a spatially compact quotient):

1. The **Milne spacetime** is the interior of a forward lightcone in  $\mathbb{R}^{3,1}$ . It is foliated by hyperboloids.



The metric is  $g = -dt^2 + t^2 h_{hyp}$ . It is scale-invariant.  
A spatially compact quotient is called a Löbell spacetime.

2. The **Bianchi-III flat spacetime** is  $\mathbb{R}$  times the interior of a forward lightcone in  $\mathbb{R}^{2,1}$ .

3. The **Taub-flat spacetime** is  $\mathbb{R}^2$  times the interior of a forward lightcone in  $\mathbb{R}^{1,1}$ .

3. The **Taub-flat spacetime** is  $\mathbb{R}^2$  times the interior of a forward lightcone in  $\mathbb{R}^{1,1}$ .

4. The **Kasner spacetimes** live on  $(0, \infty) \times \mathbb{R}^3$ , with metric

$$g = -dt^2 + t^{2p_1} dx^2 + t^{2p_2} dy^2 + t^{2p_3} dz^2.$$

Here

$$p_1 + p_2 + p_3 = p_1^2 + p_2^2 + p_3^2 = 1.$$

# Convergence result

The scale-invariant curvature condition is that  $\| \text{Rm}_g \| = O(t^{-2})$  as  $t \rightarrow \infty$ . (This is the analog of a type-III solution in Ricci flow.)

# Convergence result

The scale-invariant curvature condition is that  $\| \text{Rm}_g \| = O(t^{-2})$  as  $t \rightarrow \infty$ . (This is the analog of a type-III solution in Ricci flow.)

## Theorem

*(L. 2017) Suppose that  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three dimensional manifold  $X$ .*

*Suppose that the curvature is  $O(t^{-2})$  in magnitude, and the diameter of  $(X, h(t))$  is  $O(t)$ .*

*Then there are arbitrarily large future time intervals where the pullback of the flow to the universal cover  $\tilde{X}$  is modelled by one of the homogeneous self-similar solutions.*

# Convergence result

The scale-invariant curvature condition is that  $\| \text{Rm}_g \| = O(t^{-2})$  as  $t \rightarrow \infty$ . (This is the analog of a type-III solution in Ricci flow.)

## Theorem

*(L. 2017) Suppose that  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three dimensional manifold  $X$ .*

*Suppose that the curvature is  $O(t^{-2})$  in magnitude, and the diameter of  $(X, h(t))$  is  $O(t)$ .*

*Then there are arbitrarily large future time intervals where the pullback of the flow to the universal cover  $\tilde{X}$  is modelled by one of the homogeneous self-similar solutions.*

(If there is a lower volume bound  $\text{vol}(h(t)) \geq \text{const} \cdot t^3$  then the model space is the Milne spacetime. This case is due to Mike Anderson.)



# Type-II solutions

Unlike in Ricci flow, there are expanding CMC Einstein flows that do *not* satisfy the scale-invariant curvature condition  $\| \text{Rm}_g \| = O(t^{-2})$ . (Homogeneous examples are due to Hans Ringström.)

## Type-II solutions

Unlike in Ricci flow, there are expanding CMC Einstein flows that do *not* satisfy the scale-invariant curvature condition  $\| \text{Rm}_g \| = O(t^{-2})$ . (Homogeneous examples are due to Hans Ringström.) Then we can do a blowdown analysis, like for type-IIb Ricci flow solutions. (Rescale at points of large curvature so that the rescaled curvature tensor there has norm one.)

# Type-II solutions

Unlike in Ricci flow, there are expanding CMC Einstein flows that do *not* satisfy the scale-invariant curvature condition  $\| \text{Rm}_g \| = O(t^{-2})$ . (Homogeneous examples are due to Hans Ringström.) Then we can do a blowdown analysis, like for type-IIb Ricci flow solutions. (Rescale at points of large curvature so that the rescaled curvature tensor there has norm one.)

## Theorem

*(L. 2017) Suppose that  $(h(t), K(t), L(t))$  is an expanding CMC Einstein flow on a compact three dimensional manifold  $X$ . Suppose that the curvature is not  $O(t^{-2})$  in magnitude. Doing a blowdown analysis at points  $(x_i, t_i)$  of spatially maximal curvature, with  $t_i \rightarrow \infty$ , one can extract a limit flow.*

*It turns out to be **flat**.*

# An apparent paradox

In the blowdown analysis, we rescale so that  $\| \text{Rm}_g(x_i, t_i) \| = 1$ .  
How can the limit be flat?

# An apparent paradox

In the blowdown analysis, we rescale so that  $\| \text{Rm}_g(x_i, t_i) \| = 1$ .  
How can the limit be flat?

The limit of the metrics exists in the *weak*  $W^{2,p}$ -topology, for  $1 \leq p < \infty$ , and in the  $C^{1,\alpha}$ -topology for  $0 < \alpha < 1$ .

# An apparent paradox

In the blowdown analysis, we rescale so that  $\| \text{Rm}_g(x_i, t_i) \| = 1$ .  
How can the limit be flat?

The limit of the metrics exists in the *weak*  $W^{2,p}$ -topology, for  $1 \leq p < \infty$ , and in the  $C^{1,\alpha}$ -topology for  $0 < \alpha < 1$ .

This implies that the curvature tensors converge in the *weak*  $L^p$ -topology. The limit could well be zero.

# An apparent paradox

In the blowdown analysis, we rescale so that  $\| \text{Rm}_g(x_i, t_i) \| = 1$ .  
How can the limit be flat?

The limit of the metrics exists in the *weak*  $W^{2,p}$ -topology, for  $1 \leq p < \infty$ , and in the  $C^{1,\alpha}$ -topology for  $0 < \alpha < 1$ .

This implies that the curvature tensors converge in the *weak*  $L^p$ -topology. The limit could well be zero.

In effect, there are increasing curvature fluctuations that average out the curvature to zero. The rescaled metrics *do* converge to a flat metric in the  $C^{1,\alpha}$ -topology.

# Conclusion

The expanding CMC Einstein flow has *some* similarities to the Ricci flow.



# Conclusion

The expanding CMC Einstein flow has *some* similarities to the Ricci flow.

But there are interesting differences.

# Conclusion

The expanding CMC Einstein flow has *some* similarities to the Ricci flow.

But there are interesting differences.

*Some* Ricci flow techniques can be adapted to the Einstein flow.

# Conclusion

The expanding CMC Einstein flow has *some* similarities to the Ricci flow.

But there are interesting differences.

*Some* Ricci flow techniques can be adapted to the Einstein flow.

But new techniques need to be developed.