Math 110, Summer 2012: Exam 1 SOLUTIONS

1. Let V be a \mathbb{K} -vector space, for some number field \mathbb{K} . Let $E \subset V$ be a nonempty subset of V.

i) (4 pts) Define what it means for E to be linearly independent (over \mathbb{K}). Define what it means for E to be linearly dependent (over \mathbb{K}).

ii) (3 pts) Suppose that E is linearly independent and let $F \subset E$ be a nonempty subset. Prove that F is linearly independent.

iii) (5 pts) Suppose that E is linearly dependent. Prove that there exists $v \in E$ such that v can be written as a linear combination

$$v = c_1 v_1 + \ldots + c_k v_k$$
, with $c_i \in \mathbb{K}$, $v_i \in E$.

iv) (7 pts) Suppose that $E = \{e_1, ..., e_n\}$ is linearly independent. Let $w \in V$ be such that $w \notin \text{span}_{\mathbb{K}} E$. Prove that $E \cup \{w\}$ is linearly independent.

v) (6 pts) Show that

$$E = \left\{ egin{bmatrix} 1 & 0 \ 0 & 1 \end{bmatrix}$$
, $egin{bmatrix} 1 & -1 \ -1 & 1 \end{bmatrix}$, $egin{bmatrix} 1 & -1 \ 0 & 1 \end{bmatrix}
ight\} \subset Mat_2(\mathbb{Q})$,

is linearly independent and extend *E* to a basis of $Mat_2(\mathbb{Q})$.

Solution:

i) E is linearly independent if, whenever we have a linear relation

$$\lambda_1 v_1 + \ldots + \lambda_n v_n = 0_V, v_1, \ldots, v_n \in E,$$

then $\lambda_1 = \lambda_2 = ... = \lambda_n = 0 \in \mathbb{K}$. *E* is linearly dependent if there exists $v_1, ..., v_m \in E$ and $\lambda_1, ..., \lambda_m \in \mathbb{K}$, with at least one $\lambda_i \neq 0$, such that

$$\lambda_1 \mathbf{v}_1 + \ldots + \lambda_m \mathbf{v}_m = \mathbf{0}_V.$$

ii) Suppose that we have a linear relation

$$c_1 f_1 + \ldots + c_n f_n = 0_V, f_1, \ldots, f_n \in F.$$

Then, as $f_i \in E$, this is a linear relation among vectors in E. Since E is linearly independent this must be the trivial linear relation. Hence, $c_1 = c_2 = \ldots = c_n = 0$, showing that F is linearly independent.

iii) As E is linearly dependent there is a nontrivial linear relation

$$c_1v_1 + ..., c_nv_n = 0_V, v_1, ..., v_n \in E,$$

where we can assume that $c_1 \neq 0$. Then, we have

$$v_1 = -\frac{1}{c_1}(c_2v_2 + ... + c_nv_n),$$

and this is a linear combination of the desired form.

iv) Suppose that we have a linear relation

$$c_1v_1 + \ldots + c_nv_n = 0_V, v_1, \ldots, v_n \in E \cup \{w\}.$$

If there exists *i* such that $v_i = w$ and $c_i \neq 0$ then we would have

$$w = -\frac{1}{c_i}(c_1v_1 + ... + c_{i-1}v_{i-1} + c_{i+1}v_{i+1} + ... + c_nv_n) \in \operatorname{span}_{\mathbb{K}} E,$$

which is impossible by our assumption on w. Hence, we must have, for every i, $w \neq v_i$ or, if $v_i = w$, for some i, then $c_i = 0$. Thus, we now have a linear dependence relation among vectors in E which must be the trivial linear relation since E is linearly independent. Hence, the only linear relation that can exist among vectors in $E \cup \{w\}$ is the trivial linear relation.

v) Consider the standard ordered basis S of $Mat_2(\mathbb{Q})$. Label $E = \{e_1, e_2, e_3\}$, the order being the one written above. Then, we see that

$$[e_1]_{\mathcal{S}} = \begin{bmatrix} 1\\0\\0\\1 \end{bmatrix}, [e_2]_{\mathcal{S}} = \begin{bmatrix} 1\\-1\\-1\\1 \end{bmatrix}, [e_3]_{\mathcal{S}} = \begin{bmatrix} 1\\-1\\0\\1 \end{bmatrix}.$$

It is easy to see that

$$egin{bmatrix} 1 & 1 & 1 \ 0 & -1 & -1 \ 0 & -1 & 0 \ 1 & 1 & 1 \ \end{bmatrix} \sim egin{bmatrix} 1 & 0 & 0 \ 0 & 1 & 0 \ 0 & 0 & 1 \ 0 & 0 & 0 \ \end{bmatrix},$$

so that the set $\{[e_1]_S, [e_2]_S, [e_3]_S\} \subset \mathbb{Q}^4$ is linearly independent. Hence, since the S-coordinate morphism is an isomorphism the original set E is also linearly independent.

Consider the matrix $e_{11} \in Mat_2(\mathbb{Q})$. Then,

$$[e_{11}]_{\mathcal{S}} = egin{bmatrix} 1 \ 0 \ 0 \ 0 \end{bmatrix}$$
, and $egin{bmatrix} 1 & 1 & 1 & 1 \ 0 & -1 & -1 & 0 \ 0 & -1 & 0 & 0 \ 1 & 1 & 1 & 0 \end{bmatrix} \sim I_4,$

so that

$$\operatorname{span}_{\mathbb{Q}}\{[e_1]_{\mathcal{S}}, [e_2]_{\mathcal{S}}, [e_3]_{\mathcal{S}}, [e_{11}]_{\mathcal{S}}\} = \mathbb{Q}^4.$$

Hence, as the S-coordinate morphism is an isomorphism we must have

$$\text{span}_{\mathbb{Q}}\{e_1, e_2, e_3, e_{11}\} = Mat_2(\mathbb{Q}),$$

and $E \cup \{e_{11}\}$ is a basis of $Mat_2(\mathbb{Q})$.

2. i) (3 pts) Let $\mathcal{B} = (b_1, ..., b_n) \subset V$ be an ordered subset of the \mathbb{K} -vector space V. Define what it means for \mathcal{B} to be an ordered basis of V (You can use ANY definition here.)

ii) (2 pts) Suppose that $E \subset V$ is a linearly independent subset of a finite dimensional \mathbb{K} -vector space V. What is the allowed possible size of E?

iii) (6 pts) Suppose that V is a \mathbb{K} -vector space such that dim_{$\mathbb{K}} V = n$. Let $E \subset V$ be a linearly independent subset of size |E| = n. Prove that span_{$\mathbb{K}} E = V$. (*Hint: Use a 'proof by contradiction' argument and try to contradict your answer for ii) above.*)</sub></sub>

iv) (6 pts) Consider the ordered subset

$$\mathcal{B} = (f_1, f_2, f_3) \subset \mathbb{Q}^{\{1,2,3\}} = \{f : \{1,2,3\} \to \mathbb{Q}\},\$$

where

$$f_1(1) = 1, f_1(2) = 0, f_1(3) = -1, f_2(1) = 1, f_2(2) = 0, f_2(3) = 1, f_3(1) = 0, f_3(2) = 1, f_3(3) = 1.$$

Prove that \mathcal{B} is linearly independent. Deduce that \mathcal{B} is a basis of $\mathbb{Q}^{\{1,2,3\}}$.

v) (5 pts) Let $S = (e_1, e_2, e_3) \subset \mathbb{Q}^{\{1,2,3\}}$ be the standard ordered basis of $\mathbb{Q}^{\{1,2,3\}}$. Determine the change of coordinate matrix $P_{\mathcal{B}\leftarrow S}$.

vi) (3 pts) Suppose that $f \in \mathbb{Q}^{\{1,2,3\}}$ is such that

$$[f]_{\mathcal{B}} = \begin{bmatrix} 1\\ -2\\ 0 \end{bmatrix}.$$

Is $f \in \text{span}_{\mathbb{Q}}\{f_1, f_3\}$? Justify your answer.

Solution:

- i) \mathcal{B} is a basis of V if \mathcal{B} is linearly independent and span_K $\mathcal{B} = V$. It is an ordered basis whenever it is also an ordered set.
- ii) Let $n = \dim V$. Then, we must have $|E| \le n$.
- iii) Suppose that span_K $E \neq V$. Then, there is some $v \in V$ such that $v \notin \text{span}_{K}E$. Hence, by a result from class, the set

$$E' = E \cup \{v\}$$

is linearly independent and has size |E'| = n + 1. However, this contradicts ii). Therefore, our initial assumption that span_K $E \neq V$ must be false, so that span_KE = V.

iv) Consider the standard ordered basis $\mathcal{S}=(e_1,e_2,e_3)\subset \mathbb{Q}^{\{1,2,3\}}.$ Then,

$$[f_1]_{\mathcal{S}} = \begin{bmatrix} 1\\0\\-1 \end{bmatrix}, \ [f_2]_{\mathcal{S}} = \begin{bmatrix} 1\\0\\1 \end{bmatrix}, \ [f_3]_{\mathcal{S}} = \begin{bmatrix} 0\\1\\1 \end{bmatrix}$$

We see that

$$egin{bmatrix} 1 & 1 & 0 \ 0 & 0 & 1 \ -1 & 1 & 1 \end{bmatrix} \sim {\it I}_3$$

so that $\{[f_1]_{\mathcal{S}}, [f_2]_{\mathcal{S}}, [f_3]_{\mathcal{S}}\}\$ is linearly independent. Hence, as the \mathcal{S} -coordinate morphism is an isomorphism, we obtain that \mathcal{B} is linearly independent. Since $\mathbb{Q}^{\{1,2,3\}}\$ is 3 dimensional and \mathcal{B} is a linearly independent set with 3 elements, it must be a basis.

v) We have

$$P_{\mathcal{B}\leftarrow\mathcal{S}} = P_{\mathcal{S}\leftarrow\mathcal{B}}^{-1} = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & 1 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 1/2 & 1/2 & -1/2 \\ 1/2 & -1/2 & 1/2 \\ 0 & 1 & 0 \end{bmatrix}.$$

vi) No: we must have

 $f=f_1-2f_2.$

If $f \in \operatorname{span}_{\mathbb{Q}}{\{f_1, f_3\}}$ then there exists $a, b \in \mathbb{Q}$ such that

$$f_1-2f_2=f=af_1+bf_3\implies (1-a)f_1-2f_2-bf_3=0_{\mathbb{Q}^{\{1,2,3\}}}$$
 ,

which is impossible since \mathcal{B} is linearly independent.

3. i) (6 pts) Define the image im f of a linear morphism $f: V \to W$ and the rank of f, rank f. Define the rank of an $m \times n$ matrix $A \in Mat_{m,n}(\mathbb{K})$, rank A.

- ii) (7 pts) Prove: if rank $f = \dim V$ then f is surjective.
- iii) (5 pts) Prove: if $A \in Mat_{m,n}(\mathbb{K})$, $B \in Mat_{n,p}(\mathbb{K})$ and rankA = r, rankB = s, then rank $AB \leq r$.
- iv) (7 pts) Consider the matrix

$$A = \begin{bmatrix} 1 & 0 & -1 \\ 2 & -1 & 1 \\ 0 & 1 & 1 \end{bmatrix}.$$

Determine $r = \operatorname{rank} A$ and find $P, Q \in \operatorname{GL}_3(\mathbb{Q})$ such that

$$Q^{-1}AP = \begin{bmatrix} I_r & 0\\ 0 & 0 \end{bmatrix}.$$

Solution:

i) The image of f is the set

$$imf = \{w \in W \mid \exists v \in V \text{ such that } f(v) = w\} = \{f(v) \mid v \in V\},\$$

and the rank of f is rank $f = \dim \operatorname{im} f$.

The rank of an $m \times n$ matrix is rank $A = \dim \operatorname{im} T_A$, where $T_A : \mathbb{K}^n \to \mathbb{K}^m$ is the morphism defined by A.

- ii) Suppose that rank $f = \dim W$. Thus, $\dim \inf f = \dim W$. Hence, since $\inf f \subset W$ is a subspace and has the same dimension as W we must have $\inf f = W$. Therefore, for every $w \in W$, there is some $v \in V$ such that f(v) = w. This implies that f is surjective.
- iii) Consider the morphisms $T_A \in \text{Hom}_{\mathbb{K}}(\mathbb{K}^n, \mathbb{K}^m)$, $T_B \in \text{Hom}_{\mathbb{K}}(\mathbb{K}^p, \mathbb{K}^n)$. Then, we have rank $AB = \text{dim im } T_{AB}$. Now, we have

$$\operatorname{Im} T_{AB} = \{AB\underline{x} \mid \underline{x} \in \mathbb{K}^p\},\$$

and if $y = T_{AB}(\underline{x}) \in \operatorname{im} T_{AB}$ then,

$$y = AB\underline{x} = A(B\underline{x}) = A\underline{z} \in \operatorname{im} T_A.$$

Hence, im $T_{AB} \subset \text{im } T_A$ is a subspace. Therefore, we must have

 $\operatorname{rank} AB = \dim \operatorname{im} T_{AB} \leq \dim \operatorname{im} T_A = \operatorname{rank} A = r.$

iv) Since

$$A = \begin{bmatrix} 1 & 0 & -1 \\ 2 & -1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \sim I_3,$$

we must have that rank A = 3 (there is a pivot in every column so that T_A is surjective. Hence, dim im $T_A = 3$). As T_A is a surjective morphism between spaces of the same dimension it must be an isomorphism. Hence, A is invertible so that we can take

$$Q = I_3, P = A^{-1} = \begin{bmatrix} 1/2 & 1/4 & 1/4 \\ 1/2 & -1/4 & 3/4 \\ -1/2 & 1/4 & 1/4 \end{bmatrix}$$

4. i) (4 pts) Let $f \in \text{End}_{\mathbb{C}}(V)$, with V a finite dimensional \mathbb{C} -vector space. Define what it means for $\lambda \in \mathbb{C}$ to be an eigenvalue of f. Define the geometric and algebraic multiplicity of λ .

ii) (4 pts) Let $f \in \text{End}_{\mathbb{C}}(V)$, with V a finite dimensional \mathbb{C} -vector space. Define what it means for f to be diagonalisable. Give a criterion for f to be diagonalisable using the notions of geometric and algebraic multiplicity of eigenvalues.

iii) (7 pts) Let $f \in \text{End}_{\mathbb{C}}(V)$, where dim V = 7. Suppose that f is non-surjective, diagonalisable and such that dim im f = 1. Prove that f admits exactly one nonzero eigenvalue λ and that $E_{\lambda} = \text{im}f$, where E_{λ} is the λ -eigenspace.

Consider the endomorphism

$$f: Mat_2(\mathbb{C})
ightarrow Mat_2(\mathbb{C}) \; ; \; A \mapsto A + A^t,$$

where A^t is the transpose of A.

iv) (4 pts) Determine the eigenvalues of f and their algebraic multiplicities.

v) (6 pts) Prove that f is diagonalisable and find a basis $\mathcal{B} \subset Mat_2(\mathbb{C})$ such that $[f]_{\mathcal{B}}$ is diagonal.

For iv)-v) you may want to use the standard ordered basis

$$\mathcal{S} = (e_{11}, e_{12}, e_{21}, e_{22}) = \left(\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \right) \subset Mat_2(\mathbb{C}).$$

Solution:

i) λ is an eigenvalue of f if there is some nonzero $v \in V$ such that $f(v) = \lambda v$.

The geometric multiplicity of λ is dim ker $(f - \lambda id_V)$. If $\chi_f(t)$ is the characteristic polynomial of f then

$$\chi_f(t) = (t-\lambda)^{n_\lambda} g_s$$

where $g \in \mathbb{C}[t]$ is such that $g(\lambda) \neq 0$. Then, the algebraic multiplicity of λ is n_{λ} .

ii) f is diagonalisable if there exists a basis $\mathcal{B} \subset V$ consisting of eigenvectors of f.

f is diagonalisable if and only if, for every eigenvalue of f, the algebraic and geometric multiplicities are equal.

iii) As f is non-surjective then then it must also be non-injective so that ker $f \neq \{0_V\}$. Hence, we must have that 0 is an eigenvalue of f. Using dim imf = 1, the Rank Theorem implies that dim ker f = 7-1 = 6, so that the geometric multiplicity of 0 is 6. Since f is assumed diagonalisable we must also have the algebraic multiplicity of 0 is 6. Hence, the characteristic polynomial of f is of the form

$$\chi_f(t) = t^6(t-a),$$

because deg $\chi_f = 7$, and where $a \neq 0$. Thus, a is a nonzero eigenvalue of f and is the only such.

Moreover, let v be an eigenvector with associated eigenvalue a. Then, f(v) = av, so that $v \in imf$. Conversely, suppose that w = f(v). Then, as f is diagonalisable, we have

$$V = E_0 \oplus E_a = \ker f \oplus E_a$$
.

Hence, v = z + u, where $z \in \ker f$, $u \in E_a$. So, $w = f(v) = f(z+u) = f(z) + f(u) = 0_V + f(u) = f(u) = au$. Hence, as E_a is a subspace, we have $w \in E_a$ so that $\inf f = E_a$.

iv) Consider the standard ordered basis S of $Mat_2(\mathbb{C})$ given below. Then,

$$B \stackrel{def}{=} [f]_{\mathcal{S}} = \begin{bmatrix} 2 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 2 \end{bmatrix}$$

Hence, we have

$$\chi_f(t) \det(B-tI_4) = t(t-2)^3$$

so that the eigenvalues of f are 0 and 2 with algebraic multiplicities 1 and 3 (respectively).

v) We have

so that

$$E_2^B = \operatorname{span}_{\mathbb{C}} \{ e_1, e_4, e_2 + e_3 \} \implies E_2^f = \{ e_{11}, e_{22}, e_{12} + e_{21} \}.$$

Similarly,

$$E_0^B = \operatorname{span}_{\mathbb{C}} \{ e_2 - e_3 \} \implies E_0^f = \{ e_{12} - e_{21} \}.$$

Hence, since the geometric multiplicity of 2 is 3 and the geometric multiplicity of 0 is 1, we must have that f is diagonalisable. Moreover, if we let

$$\mathcal{B} = (e_{11}, e_{22}, e_{12} + e_{21}, e_{12} - e_{21}),$$

then

$$[f]_{\mathcal{B}} = \begin{bmatrix} 2 & & \\ & 2 & \\ & & 2 & \\ & & & 0 \end{bmatrix}$$

5. Consider the following endomorphism

$$L_A: Mat_n(\mathbb{C}) \to Mat_n(\mathbb{C})$$
; $B \mapsto AB$, where $A \in Mat_n(\mathbb{C})$

i) (4 pts) Define what it means for L_A to be nilpotent. Define what it means for A to be nilpotent.

ii) (2 pts) Define the exponent of L_A , $\eta(L_A)$. Define the exponent of A, $\eta(A)$.

iii) (4 pts) Prove: A is nilpotent if and only if L_A is nilpotent.

Now suppose that n = 2 and $A = \begin{bmatrix} 1 & -1 \\ 1 & -1 \end{bmatrix}$.

iv) (2 pts) Using iii) deduce that L_A is nilpotent. What is $\eta(L_A)$?

v) (3 pts) Let $S = (e_{11}, e_{12}, e_{21}, e_{22})$ be the standard ordered basis of $Mat_2(\mathbb{C})$. Determine $X = [L_A]_S$.

vi) (7 pts) Determine an ordered basis $\mathcal{B} = (b_1, b_2, b_3, b_4) \subset Mat_2(\mathbb{C})$ such that $[L_A]_{\mathcal{B}}$ is a block diagonal matrix, each block being a 0-Jordan block.

vii) (3 pts) Determine the partition associated to X, $\pi(X)$. Is X similar to the following matrix

Justify your answer.

Solution:

- i) L_A is nilpotent if there is some r ∈ N such that L^r_A = 0 ∈ End_C(Mat_n(C)). A is nilpotent if there is some r ∈ N such that A^r = 0 ∈ Mat_n(C).
- ii) $\eta(L_A)$ is the smallest $r \in \mathbb{N}$ such that $L_A^r = 0$ while $L_A^r \neq 0$. $\eta(A)$ is the smallest $r \in \mathbb{N}$ such that $A^r = 0$ while $A^{r-1} \neq 0$.
- iii) Suppose that A is nilpotent. Then, there is some $r \in \mathbb{N}$ such that $A^r = 0 \in Mat_n(\mathbb{C})$. Hence, for any $B \in Mat_n(\mathbb{C})$ we have

$$L^r_A(B) = A^r B = 0.B = 0 \in Mat_n(\mathbb{C}),$$

so that $L_A^r = 0 \in \operatorname{End}_{\mathbb{C}}(Mat_n(\mathbb{C}))$ and L_A is nilpotent. Conversely, if $L_A^r = 0$ then we must have

$$0=L_A^r(I_n)=A^rI_n=A^r,$$

so that A is nilpotent.

- iv) As A is nilpotent ($A^2 = 0$) we have that L_A is nilpotent. Since $L_A \neq 0$ while $L_A^2 = 0$ (consider the proof of iii)) then $\eta(L_A) = 2$.
- v) We have

$$L_A(e_{11}) = e_{11} + e_{21}, \ L_A(e_{12}) = e_{12} + e_{22}, \ L_A(e_{21}) = -e_{11} - e_{21}, \ L_A(e_{22}) = -e_{12} - e_{22},$$

so that

$$X = [L_A]_S = \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \\ 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \end{bmatrix}.$$

vi) We follow the algorithm from the notes for the matrix X, making sure to translate our answer back to $Mat_2(\mathbb{C})$ at the end.

We have

$$H_{2} = \ker T_{X^{2}} = Mat_{2}(\mathbb{C}), \ H_{1} = \ker T_{X} = \left\{ \underline{x} \in \mathbb{C}^{4} \mid x_{1} - x_{3} = 0, \ x_{2} - x_{4} = 0 \right\} = \operatorname{span}_{\mathbb{C}} \left\{ \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 1 \end{bmatrix} \right\}.$$

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Then, we have

$$H_2=H_1\oplus G_2,$$

and we can take

$$G_2 = \operatorname{span}_{\mathbb{C}} \{ e_1, e_2 \}.$$

Set $S_1 = \{Xe_1, Xe_2\} = \{e_1 + e_3, e_2 + e_4\}$. Then, we have

$$H_1 = H_0 \oplus \operatorname{span}_{\mathbb{C}} S_1 \oplus G_1.$$

However, since $H_0 = \{0\}$ and S_1 is linearly independent (so that dim span_{$\mathbb{C}}S_1 = 2$) we have $G_1 = 0$.</sub>

Therefore, the table we obtain looks like

so if we set $\mathcal{B} = (Xe_1, e_1, Xe_2, e_2)$ then

$$[\mathcal{L}_{\mathcal{A}}]_{\mathcal{B}} = egin{bmatrix} 0 & 1 & 0 & 0 \ 0 & 0 & 0 & 0 \ 0 & 0 & 0 & 1 \ 0 & 0 & 0 & 0 \end{bmatrix}$$

vii) The partition associated to X is

$$\pi(X): 2^2 \leftrightarrow 2+2=4.$$

Therefore, X is not similar to Y since

$$\pi(Y):1^22\leftrightarrow 1+1+2=4,$$

so that $\pi(X) \neq \pi(Y)$. A result from class states that two nilpotent matrices are similar if and only if they have the same associated partitions.