

# Symmetric powers of algebraic and tropical curves

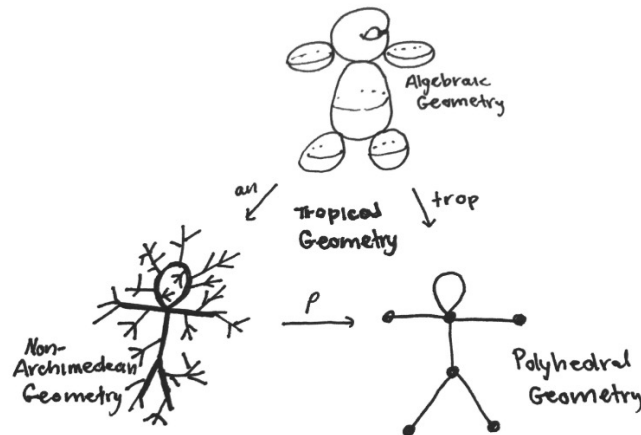
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## Abstract

We show that the non-Archimedean skeleton of the  $d$ -th symmetric power of a smooth projective algebraic curve  $X$  is naturally isomorphic to the  $d$ -th symmetric power of the tropical curve that arises as the non-Archimedean skeleton of  $X$ .

Tropical geometry gives us a way to unite the worlds of algebraic geometry, non-archimedean geometry, and polyhedral geometry. Many results in this area follow the following format:



In which the map “trop” associates to an algebraic object the “intuitively defined” tropical version, the map “an” gives the Berkovich analytification, and

the map  $\rho$  gives the retraction onto the skeleton. Then, one must prove that the skeleton equals the intuitively defined polyhedral object.

Throughout, let  $K$  be a non-Archimedean field with valuation ring  $R$  whose residue field  $k$  is algebraically closed and contained in  $K$ . Let  $X$  be a smooth projective curve over  $K$  of genus  $g \geq 1$  and let  $d \geq 0$ . Let  $\mathcal{X}$  be a fixed strictly semistable model of  $X$  over  $R$ . Denote by  $\Gamma$  the dual tropical curve of  $X$ .

**Theorem 1.** *There is a natural isomorphism*

$$\mu_{X_d}: \Gamma_d \xrightarrow{\sim} \Sigma(X_d)$$

*of colored polysimplicial complexes that makes the diagram*

$$\begin{array}{ccc}
 X_d^{\text{an}} & & \\
 \rho_{X_d} \searrow & \text{trop}_{X_d} \curvearrowright & \\
 \Sigma(X_d) & \xleftarrow{\sim \mu_{X_d}} & \Gamma_d
 \end{array}$$

*commute.*

## 1 What is $\Gamma_d$ ?

We say a **model**  $\mathcal{X}$  for a curve  $X$  is a flat and finite type scheme over  $R$  whose generic fiber is isomorphic to  $X$ . We call this model **strictly semistable** if the special fiber is a strictly semistable curve over  $k$ , meaning:

1. It is reduced, connected, and only has nodal singularities;
2. every rational component meets the rest of the curve in at least 2 singular points (and no self-intersection).

The **tropical curve**  $G$  / **dual graph**  $\Gamma$  of  $\mathcal{X}_k$  has vertices corresponding to the irreducible components of  $\mathcal{X}_k$ , and edges corresponding to nodes. It also has:

1. **Vertex Weights:** assign to each vertex the (geometric) **genus** of the corresponding component.
2. **Edge Lengths:** Given an edge corresponding to a node  $q$  between two components  $X_i$  and  $X_j$ , the completion of the local ring  $\mathcal{O}_{\mathcal{X},q}$  is isomorphic to  $R[[x, y]]/(xy - f)$  where  $v(f) > 0$ . Then, we define the length of the edge  $e_{ij}$  to be  $v(f)$ .

An **effective degree  $d$  divisor** on a (tropical or classical) curve  $X$  is a finite formal sum of the form  $\sum n_i v_i$  where the  $n_i$  are positive integers summing to  $d$ , and  $v_i \in X$ .

The  **$d$ -th symmetric power**  $X_d$  of a (tropical or classical) curve  $X$  is defined to be the quotient

$$X_d = X^d / S_d$$

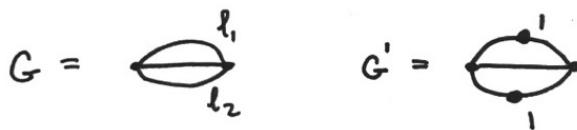
of the  $d$ -fold product  $X^d = X \times \cdots \times X$  by the action of the symmetric group  $S_d$  that permutes the entries. The symmetric power  $X_d$  is again a smooth and projective algebraic variety and functions as the moduli space of effective divisors of degree  $d$  on  $X$ .

We have now defined  $\Gamma_d$  as a set, but we would like to think of it as a **colored polysimplicial complex**. This is like a simplicial complex, but now our basic building blocks include both simplices and products of simplices (like squares, toblerones, etc). A polysimplex formed as a product of  $k$  simplices is colored by a vector of positive real numbers of length  $k$  which we think of as recording the volume of each simplex. For example, a toblerone bar of chocolate is  $(\Delta_2 \times \Delta_1, (1, 9))$ .

We now describe the colored polysimplicial complex structure on  $\Gamma_d$ . Given  $G$ , the dual graph of our fixed semistable model  $\mathcal{X}$ , and a degree  $d$ , consider the poset of **stable pairs**  $(G', D)$  over  $G$ , where  $G'$  subdivides  $G$  and  $D(v) > 0$  for all exceptional vertices  $v \in G$ . Associate to  $(G', D)$  the polysimplex

$$(\Delta_{k_1} \times \cdots \times \Delta_{k_l}, (l(e_1), \dots, l(e_l)))$$

where  $k_i$  is the number of vertices living above the edge  $e_i$ . This polysimplex parametrizes all divisors on the metric graph  $\Gamma$  with combinatorial type  $(G', D)$ .



**Example 1.** To the  $G'$  in this picture we associate a rectangle of size  $l_1 \times l_2$ . This rectangle gives all of the divisors on  $\Gamma$  of type  $(G', D)$ .

We glue these cells according to the poset of stable pairs to obtain the colored polysimplicial complex structure on  $\Gamma_d$ .

## 2 What is $X_d^{\text{an}}$ ?

For each  $y \in X_d$  let  $\kappa(y)$  denote the residue field. As a set,  $X_d^{\text{an}}$  is

$$\{(y, |\cdot|) \mid y \in X_d \text{ and } |\cdot| \text{ is a norm on } \kappa(y) \text{ extending } \exp(-\text{val}_k(\cdot)) \text{ on } K\}.$$

It has a topology given by the coarsest topology such that for any open  $U \subset X_d$  and any regular function  $g \in \mathcal{O}_{X_d}(U)$ , the map sending  $(y, |\cdot|) \mapsto |g(y)|$  is continuous.

## 3 What is $\Sigma(X_d)$ ?

Berkovich spaces are very hairy but they have the following nice property. They admit retractions onto what is called the **skeleton**, which we denote  $\Sigma(X_d)$ . This is given by the retraction map  $\rho : X_d^{\text{an}} \rightarrow \Sigma(X_d)$ . For brevity I will not write the formula here, but it is written out explicitly in the paper.

## 4 The trop $_{X_d}$ map

A point  $(y, |\cdot|) \in X_d^{\text{an}}$  can be represented by a map  $\text{Spec } \kappa(y) \rightarrow X_d$ . This gives us an effective divisor  $D$  on  $X_{\kappa(y)}$ . Then, there is a unique semistable model  $\mathcal{X}' \rightarrow \mathcal{X}$  and divisor  $\mathcal{D}$  in  $\mathcal{X}'$  such that

1. the generic fiber of  $\mathcal{D}$  is  $D$ ,
2. the support of  $\mathcal{D}_0$  in the special fiber does not meet the nodes of  $\mathcal{X}'_0$
3. the support of  $\mathcal{D}_0$  meets every exceptional component of  $\mathcal{X}'_0$  over  $\mathcal{X}_0$ .

So, to the point  $y \in X_d^{\text{an}}$  we associate the divisor  $\text{mdeg}(D)$  on the dual graph  $\Gamma$  of  $\mathcal{X}$  given by

$$\text{mdeg}(D) = \sum_{v \in V(G')} \deg(\mathcal{D}|_{\mathcal{X}'_v}) \cdot v$$

where  $G'$  is the dual graph of  $\mathcal{X}'$ . This gives the map  $\text{trop}_{X_d}$ .

## 5 A few words about the proof

Now we have seen each of the main objects in the statement of the theorem as well as the maps between them. A theorem of Berkovich plays a main role in the proof. It says that the skeleton is equal to a dual complex to the strata of the special fiber of a **polystable model** of  $X_d$ .

The model we take for  $X_d$  is  $\text{Spec } R \times_{\overline{M}_g} \overline{\text{Div}}_{g,d}$ . Where the map  $\text{Spec } R \rightarrow \overline{M}_g^{\text{ss}} \rightarrow \overline{M}_g$  identifies a strictly semistable model for  $X$  and then stabilizes, and  $\overline{\text{Div}}_{g,d}$  is the moduli space whose fiber over a family of stable curves  $X \rightarrow \text{Spec } R$  is the set of pairs  $(X', D)$  consisting of a semistable model  $X'$  of  $X$  and a divisor  $D$  on  $X'$  such that the support of  $D$  does not meet the nodes of  $X'$  and the support of  $D$  does meet every exceptional component of  $X'$ .

The main step in the proof is to show that the polysimplicial structure on  $\Gamma_d$  described before is the same as the one given on  $\Sigma(X_d)$  given by the Berkovich theorem. To that end, we now describe the polysimplicial structure we associate to the special fiber of this model.

The special fiber  $(\mathcal{X}_d)_0$  can be written as a disjoint union of strata. The strata carry a partial order:

$$E \prec E' \text{ when } E' \subset \overline{E}.$$

Around each stratum we have small charts which look like  $\text{Spec } A_1 \otimes_R \cdots \otimes_R A_r$  over  $R$  where  $A_i$  is of the form  $R[t_1, \dots, t_{n_i}]/(t_1 \cdots t_{k_i} - a_i)$  for  $a_i \in R$ .

So, we associate a stratum

$$E \mapsto (\Delta_{k_1} \times \cdots \times \Delta_{k_r}, (a_1, \dots, a_r)).$$

This defines a complex  $\Delta(\mathcal{X}_d)$ . By the Berkovich theorem, the support of this colored polysimplicial complex equals  $\Sigma(X_d)$ .

Now, we will examine the strata to show that the corresponding cells can be seen in  $\Gamma_d$ . Consider a point in the special fiber of  $\mathcal{X}_d$ . It is given by a pair  $(\mathcal{X}'_0, D)$  satisfying the constraints from before. To this we may associate the pair  $(G', \text{mdeg}D)$ , where  $G'$  is a subdivision of  $G$  and  $(G', \text{mdeg}D)$  if a stable pair over  $G$ .

The strata of  $(\mathcal{X}_d)_0$  are exactly the loci where the dual pairs are constant. For example, the smooth locus of  $(\mathcal{X}_d)_0$  is  $(X'_0, D)$  where  $X'_0 \cong X_0$  and the strata are distinguished by  $\text{mdeg}D$ . **We have an order preserving, 1-1 correspondence between the strata and the stable pairs.**

By examining the local equations, we can also show that the polysimplices get the correct colors, so we see that the two colored polysimplicial complexes are the same.