# GENERATORS OF NONCOMMUTATIVE DYNAMICS 

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#### Abstract

For a fixed $C^{*}$-algebra $A$, we consider all noncommutative dynamical systems that can be generated by $A$. More precisely, an $A$ dynamical system is a triple $(i, B, \alpha)$ where $\alpha$ is a $*$-endomorphism of a $C^{*}$-algebra $B$, and $i: A \subseteq B$ is the inclusion of $A$ as a $C^{*}$-subalgebra with the property that $B$ is generated by $A \cup \alpha(A) \cup \alpha^{2}(A) \cup \cdots$. There is a natural hierarchy in the class of $A$-dynamical systems, and there is a universal one that dominates all others, denoted $(i, \mathcal{P} A, \alpha)$. We establish certain properties of $(i, \mathcal{P} A, \alpha)$ and give applications to some concrete issues of noncommutative dynamics.

For example, we show that every contractive completely positive linear map $\varphi: A \rightarrow A$ gives rise to to a unique $A$-dynamical system $(i, B, \alpha)$ that is "minimal" with respect to $\varphi$, and we show that its $C^{*}$-algebra $B$ can be embedded in the multiplier algebra of $A \otimes \mathcal{K}$.


## 1. Generators

The flow of time in quantum theory is represented by a one-parameter group of $*$-automorphisms $\left\{\alpha_{t}: t \in \mathbb{R}\right\}$ of a $C^{*}$-algebra $B$. There is often a $C^{*}$-subalgebra $A \subseteq B$ that can be singled out from physical considerations which, together with its time translates, generates $B$. For example, in nonrelativistic quantum mechanics the flow of time is represented by a one-parameter group of automorphisms of $\mathcal{B}(H)$, and the set of all bounded continuous functions of the configuration observables at time 0 is a commutative $C^{*}$-algebra $A$. The set of all time translates $\alpha_{t}(A)$ of $A$ generates an irreducible $C^{*}$-subalgebra $B$ of $\mathcal{B}(H)$. In particular, for different times $t_{1} \neq t_{2}$, the $C^{*}$-algebras $\alpha_{t_{1}}(A)$ and $\alpha_{t_{2}}(A)$ do not commute with each other. Indeed, no nontrivial relations appear to exist between $\alpha_{t_{1}}(A)$ and $\alpha_{t_{2}}(A)$ when $t_{1} \neq t_{2}$.

In this paper we look closely at this phenomenon, in a simpler but analogous setting. Let $A$ be a $C^{*}$-algebra, fixed throught.
Definition 1.1. An $A$-dynamical system is a triple ( $i, B, \alpha$ ) consisting of a *endomorphism $\alpha$ acting on a $C^{*}$-algebra $B$ and an injective $*$-homomorphism $i: A \rightarrow B$, such that $B$ is generated by $i(A) \cup \alpha(i(A)) \cup \alpha^{2}(i(A)) \cup \cdots$.
We lighten notation by identifying $A$ with its image $i(A)$ in $B$, thereby replacing $i$ with the inclusion map $i: A \subseteq B$. Thus, an $A$-dynamical system is a dynamical system $(B, \alpha)$ that contains $A$ as a $C^{*}$-subalgebra in

[^0]a specified way, with the property that $B$ is the norm-closed linear span of finite products of the following form
\[

$$
\begin{equation*}
B=\overline{\operatorname{span}}\left\{\alpha^{n_{1}}\left(a_{1}\right) \alpha^{n_{2}}\left(a_{2}\right) \cdots \alpha^{n_{k}}\left(a_{k}\right)\right\} \tag{1}
\end{equation*}
$$

\]

where $n_{1}, \ldots, n_{k} \geq 0, a_{1}, \ldots, a_{k} \in A, k=1,2, \ldots$
Our aim is to say something sensible about the class of all $A$-dynamical systems, and to obtain more detailed information about certain of its members. The opening paragraph illustrates the fact that in even the simplest cases, where $A$ is $C(X)$ or even a matrix algebra, the structure of individual $A$-dynamical systems can be very complex.

There is a natural hierarchy in the class of all $A$-dynamical systems, defined by $\left(i_{1}, B_{1}, \alpha_{1}\right) \geq\left(i_{2}, B_{2}, \alpha_{2}\right)$ iff there is a $*$-homomorphism $\theta: B_{1} \rightarrow B_{2}$ satisfying $\theta \circ \alpha_{1}=\alpha_{2} \circ \theta$ and $\theta(a)=a$ for $a \in A$. Since $\theta$ fixes $A$, it follows from (1) that $\theta$ must be surjective, $\theta\left(B_{1}\right)=B_{2}$, hence $\left(i_{2}, B_{2}, \alpha_{2}\right)$ is a quotient of ( $i_{1}, B_{1}, \alpha_{1}$ ). Two $A$-dynamical systems are said to be equivalent if there is a map $\theta$ as above that is an isomorphism of $C^{*}$-algebras. This will be the case iff each of the $A$-dynamical systems dominates the other. One may also think of the class of all $A$-dynamical systems as a category, whose objects are $A$-dynamical systems and whose maps $\theta$ are described above.

There is a largest equivalence class in this hierarchy, whose representatives are called universal $A$-dynamical systems. We exhibit one as follows. Consider the free product of an infinite sequence of copies of $A$,

$$
\mathcal{P} A=A * A * \cdots .
$$

Thus, we have a sequence of $*$-homomorhisms $\theta_{0}, \theta_{1}, \ldots$ of $A$ into the $C^{*}$ algebra $\mathcal{P} A$ such $\mathcal{P} A$ is generated by $\theta_{0}(A) \cup \theta_{1}(A) \cup \cdots$ and such that the following universal property is satisfied: for every sequence $\pi_{0}, \pi_{1}, \ldots$ of $*$-homomorphisms of $A$ into some other $C^{*}$-algebra $B$, there is a unique *-homomorphism $\rho: \mathcal{P} A \rightarrow B$ such that $\pi_{k}=\rho \circ \theta_{k}, k=0,1, \ldots$. Nondegenerate representations of $\mathcal{P} A$ correspond to sequences $\bar{\pi}=\left(\pi_{0}, \pi_{1}, \ldots\right)$ of representations $\pi_{k}: A \rightarrow \mathcal{B}(H)$ of $A$ on a common Hilbert space $H$, subject to no condition other than the triviality of their common nullspace

$$
\xi \in H, \quad \pi_{k}(A) \xi=\{0\}, \quad k=0,1, \cdots \Longrightarrow \xi=0 .
$$

A simple argument establishes the existence of $\mathcal{P} A$ by taking the direct sum of a sufficiently large set of such representation sequences $\bar{\pi}$.

This definition does not exhibit $\mathcal{P} A$ in concrete terms (see $\S 3$ for that), but it does allow us to define a universal $A$-dynamical system. The universal property of $\mathcal{P} A$ implies that there is a shift endomorphism $\sigma: \mathcal{P} A \rightarrow \mathcal{P} A$ defined uniquely by $\sigma \circ \theta_{k}=\theta_{k+1}, k=0,1, \ldots$. It is quite easy to verify that $\theta_{0}$ is an injective $*$-homomorphism of $A$ in $\mathcal{P} A$, and we use this map to identify $A$ with $\theta_{0}(A) \subseteq \mathcal{P} A$. Thus the triple $(i, \mathcal{P} A, \sigma)$ becomes an $A$ dynamical system with the property that every other $A$-dynamical system is subordinate to $i t$.

Before introducing $\alpha$-expectations, we review some common terminology [Ped79]. Let $A \subseteq B$ be an inclusion of $C^{*}$-algebras. For any subset $S$ of $B$
we write $[S]$ for the norm-closed linear span of $S$. The subalgebra $A$ is said to be essential if the two-sided ideal $[B A B]$ it generates is an essential ideal

$$
x \in B, \quad x B A B=\{0\} \Longrightarrow x=0 .
$$

It is called hereditary if for $a \in A$ and $b \in B$, one has

$$
0 \leq b \leq a \Longrightarrow b \in A
$$

The hereditary subalgebra of $B$ generated by a subalgebra $A$ is the closed linear span $[A B A]$ of all products $a x b, a, b \in A, x \in B$, and in general $A \subseteq[A B A]$. A corner of $B$ is a hereditary subalgebra of the particular form $A=p B p$ where $p$ is a projection in the multiplier algebra $M(B)$ of $B$.

We also make essential use of conditional expectations $E: B \rightarrow A$. A conditional expectation is an idempotent positive linear map with range $A$, satisfying $E(a x)=a E(x)$ for $a \in A, x \in B$. When $A=p B p$ is a corner of $B$, the map $E(x)=p x p$, defines a conditional expectation of $B$ onto $A$. On the other hand, many of the conditional expectations encountered here do not have this simple form, even when $A$ has a unit. Indeed, if $A$ is subalgebra of $B$ that is not hereditary, then there is no natural conditional expectation $E: B \rightarrow A$. In general, conditional expectations are completely positive linear maps with $\|E\|=1$.
Definition 1.2. Let $(i, B, \alpha)$ be an $A$-dynamical system. An $\alpha$-expectation is a conditional expectation $E: B \rightarrow A$ having the following two properties:

E1. Equivariance: $E \circ \alpha=E \circ \alpha \circ E$.
E2. The restriction of $E$ to the hereditary subalgebra generated by $A$ is multiplicative, $E(x y)=E(x) E(y), x, y \in[A B A]$.
Note that an arbitrary conditional expectation $E: B \rightarrow A$ gives rise to a linear map $\varphi: A \rightarrow A$ by way of $\varphi(a)=E(\alpha(a)), a \in A$. Such a $\varphi$ is a completely positive map satisfying $\|\varphi\| \leq 1$. Axiom E1 makes the assertion

$$
\begin{equation*}
E \circ \alpha=\varphi \circ E . \tag{2}
\end{equation*}
$$

where $\varphi=E \circ \alpha \upharpoonright_{A}$ is the linear map of $A$ associated with $E$.
Property E2 is of course automatic if $A$ is a hereditary subalgebra of $B$. It is a fundamentally noncommutative hypothesis on $B$. For example, if $Y$ is a compact Hausdorff space and $B=C(Y)$, then every unital subalgebra $A \subseteq C(Y)$ generates $C(Y)$ as a hereditary algebra. Thus the only linear maps $E: C(Y) \rightarrow A$ satisfying E2 are $*$-endomorphisms of $C(Y)$. The key property of the universal $A$-dynamical system ( $i, \mathcal{P} A, \sigma$ ) follows.
Theorem 1.3. For every completely positive contraction $\varphi: A \rightarrow A$, there is a unique $\sigma$-expectation $E: \mathcal{P} A \rightarrow A$ satisfying

$$
\begin{equation*}
\varphi(a)=E(\sigma(a)), \quad a \in A \tag{3}
\end{equation*}
$$

Both assertions are nontrivial. We prove uniqueness in the following section, see Theorem 2.3. Existence is taken up in $\S 3$, see Theorem 3.2.

## 2. MOMENT POLYNOMIALS

This theory of generators rests on properties of certain noncommutative polynomials that are defined recursively as follows.
Proposition 2.1. Let $A$ be an algebra over a field $\mathbb{F}$. For every linear map $\varphi: A \rightarrow A$, there is a unique sequence of multilinear mappings from $A$ to itself, indexed by the $k$-tuples of nonnegative integers, $k=1,2, \ldots$, where for a fixed $k$-tuple $\bar{n}=\left(n_{1}, \ldots, n_{k}\right)$

$$
a_{1}, \ldots, a_{k} \in A \mapsto\left[\bar{n} ; a_{1}, \ldots, a_{k}\right] \in A
$$

is a $k$-linear mapping, all of which satisfy
MP1. $\varphi\left(\left[\bar{n} ; a_{1}, \ldots, a_{k}\right]\right)=\left[n_{1}+1, n_{2}+1, \ldots, n_{k}+1 ; a_{1}, \ldots, a_{k}\right]$.
MP2. Given a $k$-tuple for which $n_{\ell}=0$ for some $\ell$ between 1 and $k$,

$$
\left[\bar{n} ; a_{1}, \ldots, a_{k}\right]=\left[n_{1}, \ldots, n_{\ell-1} ; a_{1}, \ldots, a_{\ell-1}\right] a_{\ell}\left[n_{\ell+1}, \ldots, n_{k} ; a_{\ell+1}, \ldots, a_{k}\right]
$$

Remark 2.2. The proofs of both existence and uniqueness are straightforward arguments using induction on the number $k$ of variables, and we omit them. Note that in the second axiom MP2, we make the natural conventions when $\ell$ has one of the extreme values $1, k$. For example, if $\ell=1$, then MP2 should be interpreted as

$$
\left[0, n_{2}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]=a_{1}\left[n_{2}, \ldots, n_{k} ; a_{2}, \ldots, a_{k}\right]
$$

In particular, in the linear case $k=1$, MP2 makes the assertion

$$
[0 ; a]=a, \quad a \in A
$$

and after repeated applications of axiom MP1 one obtains

$$
[n ; a]=\varphi^{n}(a), \quad a \in A, \quad n=0,1, \ldots
$$

One may calculate any particular moment polynomial explicitly, but the computations quickly become a tedious exercise in the arrangement of parentheses. For example,

$$
\begin{aligned}
& {[2,6,3,4 ; a, b, c, d]=\varphi^{2}\left(a \varphi\left(\varphi^{3}(b) c \varphi(d)\right)\right)} \\
& {[6,4,2,3 ; a, b, c, d]=\varphi^{2}\left(\varphi^{2}\left(\varphi^{2}(a) b\right) c \varphi(d)\right)}
\end{aligned}
$$

Finally, we remark that when $A$ is a $C^{*}$-algebra and $\varphi: A \rightarrow A$ is a linear map satisfying $\varphi(a)^{*}=\varphi\left(a^{*}\right), a \in A$, then its associated moment polynomials obey the following symmetry

$$
\begin{equation*}
\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]^{*}=\left[n_{k}, \ldots, n_{1} ; a_{k}^{*}, \ldots, a_{1}^{*}\right] \tag{4}
\end{equation*}
$$

Indeed, one finds that the sequence of polynomials $[[\cdot ; \cdot]]$ defined by

$$
\left[\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]\right]=\left[n_{k}, \ldots, n_{1} ; a_{k}^{*}, \ldots, a_{1}^{*}\right]^{*}
$$

also satisfies axioms MP1 and MP2, and hence must coincide with the moment polynomials of $\varphi$ by the uniqueness assertion of Proposition 2.1.

These polynomials are important because they are the expectation values of certain $A$-dynamical systems.

Theorem 2.3. Let $\varphi: A \rightarrow A$ be a completely positive map on $A$, satisfying $\|\varphi\| \leq 1$, with associated moment polynomials $\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]$.

Let $(i, B, \alpha)$ be an $A$-dynamical system and let $E: B \rightarrow A$ be an $\alpha$ expectation with the property $E(\alpha(a))=\varphi(a), a \in A$. Then

$$
\begin{equation*}
E\left(\alpha^{n_{1}}\left(a_{1}\right) \alpha^{n_{2}}\left(a_{2}\right) \cdots \alpha^{n_{k}}\left(a_{k}\right)\right)=\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right] . \tag{5}
\end{equation*}
$$

for every $k=1,2, \ldots, n_{k} \geq 0, a_{k} \in A$. In particular, there is at most one $\alpha$-expectation $E: B \rightarrow A$ satisfying $E(\alpha(a))=\varphi(a), a \in A$.
Proof. One applies the uniqueness of moment polynomials as follows. Properties E1 and E2 of Definition 1.2 imply that the sequence of polynomials $[[\cdot ; \cdot]]$ defined by

$$
\left[\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]\right]=E\left(\alpha^{n_{1}}\left(a_{1}\right) \cdots \alpha^{n_{k}}\left(a_{k}\right)\right)
$$

must satisfy the two axioms MP1 and MP2. Notice here that E2 implies

$$
\begin{equation*}
E(x a y)=E(x) a E(y) \quad x, y \in B, \quad a \in A \tag{6}
\end{equation*}
$$

since for an approximate unit $e_{n}$ for $A$ we can write $E$ (xay) as follows:

$$
\begin{aligned}
\lim _{n \rightarrow \infty} e_{n} E\left(x a e_{n} y\right) e_{n} & =\lim _{n \rightarrow \infty} E\left(e_{n} x a e_{n} y e_{n}\right)=\lim _{n \rightarrow \infty} E\left(e_{n} x a\right) E\left(e_{n} y e_{n}\right) \\
& =\lim _{n \rightarrow \infty} e_{n} E(x) a e_{n} E(y) e_{n}=E(x) a E(y) .
\end{aligned}
$$

Thus formula (5) follows from the uniqueness assertion of Proposition 2.1. The uniqueness of the $\alpha$-expectation associated with $\varphi$ is now apparent from formulas (5) and (1).

## 3. Existence of $\alpha$-expectations

In this section we show that every completely positive map $\varphi: A \rightarrow A$, with $\|\varphi\| \leq 1$, gives rise to a $\sigma$-expectation $E: \mathcal{P} A \rightarrow A$ that is related to the moment polynomials of $\varphi$ as in (5). This is established through a construction that exhibits $\mathcal{P} A$ as the enveloping $C^{*}$-algebra of a Banach *algebra $\ell^{1}(\Sigma)$, in such a way that the desired conditional expectation appears as a completely positive map on $\ell^{1}(\Sigma)$. The details are as follows.

Let $S$ be the set of finite sequences $\bar{n}=\left(n_{1}, n_{2}, \ldots, n_{k}\right)$ of nonnegative integers, $k=1,2, \ldots$ which have distinct neighbors,

$$
n_{1} \neq n_{2}, n_{2} \neq n_{3}, \ldots, n_{k-1} \neq n_{k} .
$$

Multiplication and involution are defined in $S$ as follows. The product of two elements $\bar{m}=\left(m_{1}, \ldots, m_{k}\right), \bar{n}=\left(n_{1}, \ldots, n_{\ell}\right) \in S$ is defined by conditional concatenation

$$
\bar{m} \cdot \bar{n}= \begin{cases}\left(m_{1}, \ldots, m_{k}, n_{1}, \ldots, n_{\ell}\right), & \text { if } m_{k} \neq n_{1} \\ \left(m_{1}, \ldots, m_{k}, n_{2}, \ldots, n_{\ell}\right), & \text { if } m_{k}=n_{1}\end{cases}
$$

where we make the natural conventions when $\bar{n}=(q)$ is of length 1 , namely $\bar{m} \cdot(q)=\left(m_{1}, \ldots, m_{k}, q\right)$ if $m_{k} \neq q$, and $\bar{m} \cdot(q)=\bar{m}$ if $m_{k}=q$. The involution in $S$ is defined by reversing the order of components

$$
\left(m_{1}, \ldots, m_{k}\right)^{*}=\left(m_{k}, \ldots, m_{1}\right)
$$

One finds that $S$ is an associative $*$-semigroup.
Fixing a $C^{*}$-algebra $A$, we attach a Banach space $\Sigma_{\nu}$ to every $k$-tuple $\nu=\left(n_{1}, \ldots, n_{k}\right) \in S$ as follows

$$
\Sigma_{\nu}=\underbrace{A \hat{\otimes} \cdots \hat{\otimes} A}_{k \text { times }},
$$

the $k$-fold projective tensor product of copies of the Banach space $A$. We assemble the $\Sigma_{\nu}$ into a family of Banach spaces over $S, p: \Sigma \rightarrow S$, by way of $\Sigma=\left\{(\nu, \xi): \nu \in S, \xi \in E_{\nu}\right\}, p(\nu, \xi)=\nu$.

We introduce a multiplication in $\Sigma$ as follows. Fix $\mu=\left(m_{1}, \ldots, m_{k}\right)$ and $\nu=\left(n_{1}, \ldots, n_{\ell}\right)$ in $S$ and choose $\xi \in \Sigma_{\mu}, \eta \in \Sigma_{\nu}$. If $m_{k} \neq n_{1}$ then $\xi \cdot \eta$ is defined as the tensor product $\xi \otimes \eta \in \Sigma_{\mu \cdot \nu}$. If $m_{k}=n_{1}$ then we must tensor over $A$ and make the obvious identifications. More explicitly, in this case there is a natural map of the tensor product $\Sigma_{\mu} \otimes_{A} \Sigma_{\nu}$ onto $\Sigma_{\mu \cdot \nu}$ by making identifications of elementary tensors as follows:

$$
\left(a_{1} \otimes \cdots \otimes a_{k}\right) \otimes_{A}\left(b_{1} \otimes \cdots \otimes b_{\ell}\right) \sim a_{1} \otimes \cdots \otimes a_{k-1} \otimes a_{k} b_{1} \otimes b_{2} \otimes \cdots \otimes b_{\ell} .
$$

With this convention $\xi \cdot \eta$ is defined by

$$
\xi \cdot \eta=\xi \otimes_{A} \eta \in \Sigma_{\mu \cdot \nu} .
$$

This defines an associative multiplication in the family of Banach spaces $\Sigma$. There is also a natural involution in $\Sigma$, defined on each $\Sigma_{\mu}, \mu=\left(m_{1}, \ldots, m_{k}\right)$ as the unique antilinear isometry to $\Sigma_{\mu^{*}}$ satisfying

$$
\left(\left(m_{1}, \ldots, m_{k}\right), a_{1} \otimes \cdots \otimes a_{k}\right)^{*}=\left(\left(m_{k}, \ldots, m_{1}\right), a_{k}^{*} \otimes \cdots \otimes a_{1}^{*}\right) .
$$

This defines an isometric antilinear mapping of the Banach space $\Sigma_{\mu}$ onto $\Sigma_{\mu^{*}}$, for each $\mu \in S$, and thus the structure $\Sigma$ becomes an involutive ${ }^{*}$ semigroup in which each fiber $\Sigma_{\mu}$ is a Banach space.

Let $\ell^{1}(\Sigma)$ be the Banach $*$-algebra of summable sections. The norm and involution are the natural ones $\|f\|=\sum_{\mu \in \Sigma}\|f(\mu)\|, f^{*}(\mu)=f\left(\mu^{*}\right)^{*}$. Noting that $\Sigma_{\lambda} \cdot \Sigma_{\mu} \subseteq \Sigma_{\lambda \cdot \mu}$, the multiplication in $\ell^{1}(\Sigma)$ is defined by convolution

$$
f * g(\nu)=\sum_{\lambda \cdot \mu=\nu} f(\lambda) \cdot g(\mu),
$$

and one easily verifies that $\ell^{1}(\Sigma)$ is a Banach $*$-algebra.
For $\mu=\left(m_{1}, \ldots, m_{k}\right) \in S$ and $a_{1}, \ldots, a_{k} \in A$ we define the function

$$
\delta_{\mu} \cdot a_{1} \otimes \cdots \otimes a_{k} \in \ell^{1}(\Sigma)
$$

to be zero except at $\mu$, and at $\mu$ it has the value $a_{1} \otimes \cdots \otimes a_{k} \in \Sigma_{\mu}$. These elementary functions have $\ell^{1}(\Sigma)$ as their closed linear span. Finally, there is a natural sequence of $*$-homomorphisms $\theta_{0}, \theta_{1}, \cdots: A \rightarrow \ell^{1}(\Sigma)$ defined by

$$
\theta_{k}(a)=\delta_{(k)} \cdot a, \quad a \in A, \quad k=0,1, \ldots,
$$

and these maps are related to the generating sections by

$$
\delta_{\left(n_{1}, \ldots, n_{k}\right)} \cdot a_{1} \otimes \cdots \otimes a_{k}=\theta_{n_{1}}\left(a_{1}\right) \theta_{n_{2}}\left(a_{2}\right) \cdots \theta_{n_{k}}\left(a_{k}\right) .
$$

The algebra $\ell^{1}(\Sigma)$ fails to have a unit, but it has the same representation theory as $\mathcal{P} A$ in the following sense. Given a sequence of representations $\pi_{k}: A \rightarrow \mathcal{B}(H), k=0,1, \ldots$, fix $\nu=\left(n_{1}, \ldots, n_{k}\right) \in S$. There is a unique bounded linear operator $L_{\nu}: \Sigma_{\nu} \rightarrow \mathcal{B}(H)$ of norm 1 that is defined by its action on elementary tensors as follows

$$
L_{\nu}\left(a_{1} \otimes \cdots \otimes a_{k}\right)=\pi_{n_{1}}\left(a_{1}\right) \cdots \pi_{n_{k}}\left(a_{k}\right)
$$

Thus there is a bounded linear map $\tilde{\pi}: \ell^{1}(\Sigma) \rightarrow \mathcal{B}(H)$ defined by

$$
\tilde{\pi}(f)=\sum_{\mu \in S} L_{\mu}(f(\mu)), \quad f \in \ell^{1}(\Sigma)
$$

One finds that $\tilde{\pi}$ is a $*$-representation of $\ell^{1}(\Sigma)$ with $\|\tilde{\pi}\|=1$. This representation satisfies $\tilde{\pi} \circ \theta_{k}=\pi_{k}, k=0,1,2, \ldots \ldots$ Conversely, every bounded *-representation $\tilde{\pi}$ of $\ell^{1}(\Sigma)$ on a Hilbert space $H$ is associated with a sequence of representations $\pi_{0}, \pi_{1}, \ldots$ of $A$ on $H$ by way of $\pi_{k}=\tilde{\pi} \circ \theta_{k}$.

The results of the preceding discussion are summarized as follows:
Proposition 3.1. The enveloping $C^{*}$-algebra $C^{*}\left(\ell^{1}(\Sigma)\right)$, together with the sequence of homomorphisms $\tilde{\theta}_{0}, \tilde{\theta}_{1}, \cdots: A \rightarrow C^{*}\left(\ell^{1}(\Sigma)\right)$ defined by the maps $\theta_{0}, \theta_{1}, \cdots: A \rightarrow \ell^{1}(\Sigma)$, has the same universal property as the infinite free product $\mathcal{P} A=A * A * \cdots$, and is therefore isomorphic to $\mathcal{P} A$.

Notice that the natural shift endomorphism of $\ell^{1}(\Sigma)$ is defined by

$$
\sigma: \delta_{\left(n_{1}, \ldots, n_{k}\right)} \cdot \xi \mapsto \delta_{\left(n_{1}+1, \ldots, n_{k}+1\right)} \cdot \xi, \quad \nu=\left(n_{1}, \ldots, n_{k}\right) \in \Sigma, \quad \xi \in \Sigma_{\nu}
$$

and it promotes to the natural shift endomorphism of $\mathcal{P} A=C^{*}\left(\ell^{1}(\Sigma)\right)$. The inclusion of $A$ in $\ell^{1}(\Sigma)$ is given by the map $\theta_{0}(a)=\delta_{(0)} a \in \ell^{1}(\Sigma)$, and it too promotes to the natural inclusion of $A$ in $\mathcal{P} A$.

Finally, we fix a contractive completely positive $\operatorname{map} \varphi: A \rightarrow A$, and consider the moment polynomials associated with it by Proposition 2.1. A straightforward argument shows that there is a unique bounded linear map $E_{0}: \ell^{1}(\Sigma) \rightarrow A$ satisfying

$$
E_{0}\left(\delta_{\left(n_{1}, \ldots, n_{k}\right)} \cdot a_{1} \otimes \cdots \otimes a_{k}\right)=\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right]
$$

for $\left(n_{1}, \ldots, n_{k}\right) \in S, a_{1}, \ldots, a_{k} \in A, k=1,2, \ldots$, and $\left\|E_{0}\right\|=\|\varphi\| \leq 1$. Using the axioms MP1 and MP2, one finds that the map $E_{0}$ preserves the adjoint (see Equation (4)), satisfies the conditional expectation property $E_{0}(a f)=a E_{0}(f)$ for $a \in A, f \in \ell^{1}(\Sigma)$, that the restriction of $E_{0}$ to the "hereditary" *-subalgebra of $\ell^{1}(\Sigma)$ spanned by $\theta_{0}(A) \ell^{1}(\Sigma) \theta_{0}(A)$ is multiplicative, and that it is related to $\varphi$ by $E_{0} \circ \sigma=\varphi \circ E_{0}$ and $E_{0}(\sigma(a))=\varphi(a)$, $a \in A$. Thus, $E_{0}$ satisfies the axioms of Definition 1.2, suitably interpreted for the Banach $*$-algebra $\ell^{1}(\Sigma)$.

In view of the basic fact that a bounded completely positive linear map of a Banach $*$-algebra to $A$ promotes naturally to a completely positive map of its enveloping $C^{*}$-algebra to $A$, the critical property of $E_{0}$ reduces to:

Theorem 3.2. For every $n \geq 1, a_{1}, \ldots, a_{n} \in A$, and $f_{1}, \ldots, f_{n} \in \ell^{1}(\Sigma)$, we have

$$
\sum_{i, j=1}^{n} a_{j}^{*} E_{0}\left(f_{j}^{*} f_{i}\right) a_{i} \geq 0
$$

Consequently, $E_{0}$ extends uniquely through the completion map $\ell^{1}(\Sigma) \rightarrow \mathcal{P} A$ to a completely positive map $E_{\varphi}: \mathcal{P} A \rightarrow A$ that becomes a $\sigma$-expectation satisfying Equation (3).

We sketch the proof of Theorem 3.2, detailing the critical steps. Using the fact that $\ell^{1}(\Sigma)$ is spanned by the generating family

$$
G=\left\{\delta_{\left(n_{1}, \ldots, n_{k}\right)} \cdot a_{1} \otimes \cdots \otimes a_{k}:\left(n_{1}, \ldots, n_{k}\right) \in S, \quad a_{1}, \ldots, a_{k} \in A, \quad k \geq 1\right\}
$$

one easily reduces the proof of Theorem 3.2 to the following more concrete assertion: for any finite set of elements $u_{1}, \ldots, u_{n}$ in $G$, the $n \times n$ matrix $\left(a_{i j}\right)=\left(E_{0}\left(u_{j}^{*} u_{i}\right)\right) \in M_{n}(A)$ is positive.

The latter is established by an inductive argument on the "maximum height" $\max \left(h\left(u_{1}\right), \ldots, h\left(u_{n}\right)\right)$, where the height of an element $u=\delta_{\left(n_{1}, \ldots, n_{k}\right)}$. $a_{1} \otimes \cdots \otimes a_{k}$ in $G$ is defined as $h(u)=\max \left(n_{1}, \ldots, n_{k}\right)$. The general case easily reduces to that in which $A$ has a unit $e$, and in that setting the inductive step is implemented by the following.
Lemma 3.3. Choose $u_{1}, \ldots, u_{n} \in G$ such that the maximum height $N=$ $\max \left(h\left(u_{1}\right), \ldots, h\left(u_{n}\right)\right)$ is positive. For $k=1, \ldots, n$ there are elements $b_{k}, c_{k} \in A$ and $v_{k} \in G$ such that $h\left(v_{k}\right)<N$ and

$$
\begin{equation*}
E_{0}\left(u_{j}^{*} u_{i}\right)=b_{j}^{*} \varphi\left(E_{0}\left(v_{j}^{*} v_{i}\right)\right) b_{i}+c_{j}^{*}(e-\varphi(e)) c_{i}, \quad 1 \leq i, j \leq n \tag{7}
\end{equation*}
$$

Remark 3.4. Note that if an inductive hypothesis provides positive $n \times n$ matrices of the form $\left(E_{0}\left(v_{j}^{*} v_{i}\right)\right)$ whenever $v_{1}, \ldots, v_{n} \in G$ have height $<N$, then the $n \times n$ matrix whose $i j$ th term is the right side of (7) must also be positive, because $\varphi$ is a completely positive map and $0 \leq \varphi(e) \leq e$. It follows from Lemma 3.3 that $\left(E_{0}\left(u_{j}^{*} u_{i}\right)\right)$ must be a positive $n \times n$ matrix whenever $u_{1}, \ldots, u_{n} \in G$ have height $\leq N$.
proof of Lemma 3.3. We identify the unit $e$ of $A$ with its image $\delta_{(0)} \cdot e \in G$. Fix $i, 1 \leq i \leq n$, and write $u_{i} e=\delta_{\left(n_{1}, \ldots, n_{k}\right)} \cdot a_{1} \otimes \cdots \otimes a_{k}$. Note that $n_{k}$ must be 0 because $u_{i}$ has been multiplied on the right by $e$.

If $n_{1}>0$ we choose $\ell, 1<\ell<k$ such that $n_{1}, n_{2}, \ldots, n_{\ell}$ are positive and $n_{\ell+1}=0$. Setting $v_{i}=\delta_{\left(n_{1}-1, \ldots, n_{\ell}-1\right)} \cdot a_{1} \otimes \cdots \otimes a_{\ell}$ and $w_{i}=\delta_{\left(n_{\ell+1}, \ldots, n_{k}\right)}$. $a_{\ell+1} \otimes \cdots \otimes a_{k}$, we obtain a factorization $u_{i}=\sigma\left(v_{i}\right) w_{i}$, and we define $b_{i}$ and $c_{i}$ by $b_{i}=E_{0}\left(w_{i}\right), c_{i}=0$. If $n_{1}=0$ then $u_{i} e$ cannot be factored in this way; still, we set $v_{i}=e$, and $b_{i}=c_{i}=E_{0}\left(u_{i}\right)$. This defines $b_{i}, c_{i}$ and $v_{i}$.

One now verifies (7) in cases: where both $u_{i} e$ and $u_{j} e$ factor into a product of the form $\sigma(v) w$, when one of them so factors and the other does not, and when neither does. For example, if $u_{i} e=\sigma\left(v_{i}\right) w_{i}$ and $u_{j} e=\sigma\left(v_{j}\right) w_{j}$ both factor, then we can make use of the formulas $E_{0}(f)=e E_{0}(f) e=E_{0}(e f e)$ for $f \in \ell^{1}(\Sigma), E_{0}(f g)=E_{0}(f) E_{0}(g)$ for $f, g \in\left[A \ell^{1}(\Sigma) A\right]$, and $E_{0} \circ \sigma=\varphi \circ E_{0}$,
to write

$$
\begin{aligned}
E_{0}\left(u_{j}^{*} u_{i}\right) & =E_{0}\left(e u_{j}^{*} u_{i} e\right)=E_{0}\left(\left(u_{j} e\right)^{*} u_{i} e\right)=E_{0}\left(w_{j}^{*} \sigma\left(v_{j}^{*} v_{i}\right) w_{i}\right) \\
& =b_{j}^{*} E_{0}\left(\sigma\left(v_{j}^{*} v_{i}\right)\right) b_{i}=b_{j}^{*} \varphi\left(E_{0}\left(v_{j}^{*} v_{i}\right)\right) b_{i}
\end{aligned}
$$

If $u_{i} e=\sigma\left(v_{i}\right) w_{i}$ so factors and $u_{j} e=e u_{j} e$ does not, then we write

$$
\begin{aligned}
E_{0}\left(u_{j}^{*} u_{i}\right) & =E_{0}\left(\left(u_{j} e\right)^{*} u_{i} e\right)=E_{0}\left(u_{j} e\right)^{*} E_{0}\left(\sigma\left(v_{i}\right) w_{i}\right)=b_{j}^{*} E_{0}\left(\sigma\left(v_{i}\right)\right) b_{i} \\
& =b_{j}^{*} \varphi\left(E_{0}\left(v_{i}\right)\right) b_{i}=b_{j}^{*}\left(\varphi\left(E_{0}\left(v_{j}^{*} v_{i}\right)\right) b_{i}\right.
\end{aligned}
$$

noting that in this case $v_{j}^{*}=e$. A similar string of identities settles the case $u_{i} e=e u_{i} e, u_{j} e=\sigma\left(v_{j}\right) w_{j}$.

Note that in each of the preceding three cases, the terms $c_{j}^{*}(e-\varphi(e)) c_{i}$ were all 0 .

In the remaining case where $u_{i} e=e u_{i} e$ and $u_{j} e=e u_{j} e$, we can write $E_{0}\left(u_{j}^{*} u_{i}\right)=E_{0}\left(e u_{j}^{*} u_{i} e\right)=E_{0}\left(\left(e u_{j} e\right)^{*} e u_{i} e\right)=E_{0}\left(e u_{j} e\right)^{*} E_{0}\left(e u_{i} e\right)=b_{j}^{*} b_{i}$. Formula (7) persists for this case too, since $v_{i}=v_{j}=e$ and we can write

$$
b_{j}^{*} b_{i}=b_{j}^{*} \varphi(e) b_{i}+b_{j}^{*}(e-\varphi(e)) b_{i}=b_{j}^{*} \varphi\left(E_{0}\left(v_{j}^{*} v_{i}\right)\right) b_{i}+c_{j}^{*}(e-\varphi(e)) c_{i}
$$

## 4. THE HIERARCHY OF DILATIONS

Let $(A, \varphi)$ be a pair consisting of an arbitrary $C^{*}$-algebra $A$ and a completely positive linear map $\varphi: A \rightarrow A$ satisfying $\|\varphi\| \leq 1$.
Definition 4.1. A dilation of $(A, \varphi)$ is an $A$-dynamical system $(i, B, \alpha)$ with the property that there is an $\alpha$-expectation $E: B \rightarrow A$ satisfying

$$
E(\alpha(a))=\varphi(a), \quad a \in A
$$

Notice that the $\alpha$-expectation $E: B \rightarrow A$ associated with a dilation of $(A, \varphi)$ is uniquely determined, by Theorem 1.3. The class of all dilations of $(A, \varphi)$ is contained in the class of all $A$-dynamical systems, and it is significant that it is also a subcategory. More explicitly, if $\left(i_{1}, B_{1}, \alpha_{1}\right)$ and $\left(i_{1}, B_{2}, \alpha_{2}\right)$ are two dilations of $(A, \varphi)$, and if $\theta: B_{1} \rightarrow B_{2}$ is a homomorphism of $A$-dynamical systems, then the respective $\alpha$-expectations $E_{1}, E_{2}$ must also transform consistently

$$
\begin{equation*}
E_{2} \circ \theta=E_{1} \tag{8}
\end{equation*}
$$

This follows from Theorem 2.3 , since both $E_{1}$ and $E_{2} \circ \theta$ are $\alpha_{1}$-expectations that project $\alpha_{1}(a)$ to $\varphi(a), a \in A$.

Theorem 1.3 implies that every pair $(A, \varphi)$ can be dilated to the universal $A$-dynamical system $(i, \mathcal{P} A, \sigma)$. Let $E_{\varphi}: \mathcal{P} A \rightarrow A$ be the $\sigma$-expectation satisfying $E_{\varphi}(\sigma(a))=\varphi(a), a \in A$. The preceding remarks imply that for every other dilation $(i, B, \alpha)$, there is a unique surjective $*$-homomorphism $\theta: \mathcal{P} A \rightarrow B$ such that $\theta \circ \sigma=\alpha \circ \theta, E \circ \theta=E_{\varphi}$, and which fixes $A$ elementwise. Thus, $(i, \mathcal{P} A, \sigma)$ is a universal dilation of $(A, \varphi)$. The universal dilation is obviously too large, since its structure bears no relation to $\varphi$. Thus it is significant that there is a smallest $(A, \varphi)$ dilation, whose structure is
more closely tied to $\varphi$. We now discuss the basic properties of this minimal dilation; we examine its structure in section 5 .

In general, every completely positive map of $C^{*}$-algebras $E: B_{1} \rightarrow B_{2}$ gives rise to a norm-closed two-sided ideal $\operatorname{ker} E$ in $B_{1}$ as follows

$$
\operatorname{ker} E=\left\{x \in B_{1}: E(b x c)=0, \quad \text { for all } b, c \in B_{1}\right\} .
$$

In more concrete terms, if $B_{2} \subseteq \mathcal{B}(H)$ acts concretely on some Hilbert space and $E(x)=V^{*} \pi(x) V$ is a Stinespring decomposition of $E$, where $\pi$ is a representation of $B_{1}$ on some other Hilbert space $K$ and $V: H \rightarrow K$ is a bounded operator such that $\pi\left(B_{1}\right) V H$ has $K$ as its closed linear span, then one can verify that

$$
\begin{equation*}
\operatorname{ker} E=\left\{x \in B_{1}: \pi(x)=0\right\} . \tag{9}
\end{equation*}
$$

Notice too that ker $E=\{0\}$ iff $E$ is faithful on ideals in the sense that for every two-sided ideal $J \subseteq B$, one has $E(J)=\{0\} \Longrightarrow J=\{0\}$.
Proposition 4.2. Let $(i, B, \alpha)$ be an $A$-dynamical system and let $E: B \rightarrow A$ be an $\alpha$-expectation. Then $\operatorname{ker} E$ is an $\alpha$-invariant ideal with the property $A \cap \operatorname{ker} E=\{0\}$.

If $\left(i_{1}, B_{1}, \alpha_{1}\right)$ and $\left(i_{2}, B_{2}, \alpha_{2}\right)$ are two dilations of $(A, \varphi)$ and $\theta: B_{1} \rightarrow B_{2}$ is a homomorphism of A-dynamical systems, then

$$
\begin{equation*}
\operatorname{ker} E_{1}=\left\{x \in B_{1}: \theta(x) \in \operatorname{ker} E_{2}\right\} . \tag{10}
\end{equation*}
$$

Proof. That $A \cap \operatorname{ker} E=\{0\}$ is clear from the fact that if $a \in A \cap \operatorname{ker} E$ then $A a A=E(A a A)=\{0\}$, hence $a=0$. Relation (10) is also straightforward, since $\theta\left(B_{1}\right)=B_{2}$ and $E_{2} \circ \theta=E_{1}$. Indeed, for each $x \in B_{1}$, we have

$$
E_{2}\left(B_{2} \theta(x) B_{2}\right)=E_{2}\left(\theta\left(B_{1}\right) \theta(x) \theta\left(B_{1}\right)\right)=E_{2}\left(\theta\left(B_{1} x B_{1}\right)\right)=E_{1}\left(B_{1} x B_{1}\right)
$$

from which (10) follows.
To see that $\alpha(\operatorname{ker} E) \subseteq \operatorname{ker} E$, choose $k \in \operatorname{ker} E$. Since $B$ is spanned by all finite products of elements $\alpha^{n}(a), a \in A, n=0,1, \ldots$, it suffices to show that $E(y \alpha(k) x)=0$ for all $y \in B$ and all $x$ of the form $x=\alpha^{m_{1}}\left(a_{1}\right) \cdots \alpha^{m_{k}}\left(a_{k}\right)$. Being a completely positive contraction, $E$ satisfies the Schwarz inequality

$$
E(y \alpha(k) x)^{*} E(y \alpha(k) x) \leq E\left(x^{*} \alpha\left(k^{*}\right) y^{*} y \alpha(k) x\right) \leq\|y\|^{2} E\left(x^{*} \alpha\left(k^{*} k\right) x\right) ;
$$

hence it suffices to show that $E\left(x^{*} \alpha\left(k^{*} k\right) x\right)=0$. To prove the latter, one can argue cases as follows. Assuming that $m_{i}>0$ for all $i$, then $x=\alpha\left(x_{0}\right)$ for some $x_{0} \in B$, and using $E \circ \alpha=\varphi \circ E$ one has

$$
E\left(x^{*} \alpha\left(k^{*} k\right) x\right)=E\left(\alpha\left(x_{0} k^{*} k x_{0}\right)\right)=\varphi\left(E\left(x_{0}^{*} k^{*} k x_{0}\right)\right)=0 .
$$

For the remaining case where some $m_{i}=0$, notice that $x$ must have one of the forms $x=a \in A$ (when all $m_{i}$ are 0 ), or $x=a x_{0}$ with $x_{0} \in B$ (when $m_{1}=0$ and some other $m_{j}$ is positive), or $\alpha\left(x_{1}\right) a x_{2}$ with $a \in A$, $x_{1}, x_{2} \in B$ (when $m_{1}>0, \ldots, m_{r-1}>0$ and $m_{r}=0$ ), and in each case $E\left(x^{*} \alpha\left(k^{*} k\right) x\right)=0$. If $x=\alpha\left(x_{1}\right) a x_{2}$, for example, then we make use of Formula (6) to write

$$
E\left(x^{*} \alpha\left(k^{*} k\right) x\right)=E\left(x_{2}^{*} a^{*} \alpha\left(x_{1}^{*} k^{*} k x_{1}\right) a x_{2}\right)=E\left(x_{2}\right)^{*} a^{*} E\left(\alpha\left(x_{1}^{*} k^{*} k x_{1}\right) a E\left(x_{2}\right) .\right.
$$

The term on the right vanishes since $E\left(\alpha\left(x_{1}^{*} k^{*} k x_{1}\right)=\varphi\left(E\left(x_{1}^{*} k^{*} k x_{1}\right)\right)=0\right.$. The other cases are dealt with similarly, and $\alpha(\operatorname{ker} E) \subseteq \operatorname{ker} E$ follows.

We deduce the existence of minimal dilations and their basic characterization as follows. Fix a pair $(A, \varphi)$, where $\varphi: A \rightarrow A$ is a completely positive contraction, let $\sigma$ be the shift on $\mathcal{P} A$, and let $E_{\varphi}: \mathcal{P} A \rightarrow A$ be the unique $\sigma$-expectation satisfying $E_{\varphi}(\sigma(a))=\varphi(a), a \in A$. Proposition 4.2 implies that $\sigma$ leaves $\operatorname{ker} E_{\varphi}$ invariant, thus it can be promoted to an endomorphism $\dot{\sigma}$ of the quotient $C^{*}$-algebra $\mathcal{P} A / \operatorname{ker} E_{\varphi}$. Moreover, since $A \cap \operatorname{ker} E_{\varphi}=\{0\}$, the inclusion of $A$ in $\mathcal{P} A$ promotes to an inclusion of $A$ in $\mathcal{P} A / \operatorname{ker} E_{\varphi}$. Thus we obtain an $A$-dynamical system $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$ having a natural $\dot{\sigma}$-expectation $\dot{E}$ defined by $\dot{E}\left(x+\operatorname{ker} E_{\varphi}\right)=E_{\varphi}(x)+\operatorname{ker} E_{\varphi}$, which satisfies $\dot{E}(\dot{\sigma}(a))=\varphi(a), a \in A$. It is called the minimal dilation of $(A, \varphi)$ in light of the following:
Corollary 4.3. The dilation $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$ of $(A, \varphi)$ has the following properties.
(1) $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$ is subordinate to all other dilations of $(A, \varphi)$.
(2) The $\dot{\sigma}$-expectation $\dot{E}$ of $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$ satisfies $\operatorname{ker} \dot{E}=\{0\}$.
(3) Every dilation $(i, B, \alpha)$ of $(A, \varphi)$ whose $\alpha$-expectation $E$ satisfies $\operatorname{ker} E=\{0\}$ is isomorphic to $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$.
Proof. (2) follows by construction of $\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$, since the kernel ideal of its $\dot{\sigma}$-expectation has been reduced to $\{0\}$.

To prove (1), let $(i, B, \alpha)$ be an arbitrary dilation of $(A, \varphi)$. By the universal property of $(i, \mathcal{P} A, \sigma)$ there is a surjective $*$-homomorphism $\theta$ : $\mathcal{P} A \rightarrow B$ satisfying $\theta \circ \sigma=\alpha \circ \theta$; and by (8) one has $E \circ \theta=E_{\varphi}$. Formula (10) implies that $\operatorname{ker} E_{\varphi}$ contains $\operatorname{ker} \theta$, hence we can define a morphism of $C^{*}$-algebras $\omega: B \rightarrow \mathcal{P} A / \operatorname{ker} E_{\varphi}$ by way of $\omega(\theta(x))=x+\operatorname{ker} E_{\varphi}$, for all $x \in \mathcal{P} A$. Obviously, $\omega$ is a homomorphism of $A$-dynamical systems, and we conclude that $(i, B, \alpha) \geq\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$.

For (3), notice that if $(i, B, \alpha)$ is a dilation of $(A, \varphi)$ whose $\alpha$-expectation $E: B \rightarrow A$ satisfies ker $E=\{0\}$ and $\theta: \mathcal{P} A \rightarrow B$ is the homomorphism of the previous paragraph, then Formula (10) implies that $\operatorname{ker} E_{\varphi}=\operatorname{ker} \theta$. Thus $\omega: B \rightarrow \mathcal{P} A / \operatorname{ker} E_{\varphi}$ has trivial kernel, hence it must implement an isomorphism of $A$-dynamical systems $(i, B, \alpha) \cong\left(i, \mathcal{P} A / \operatorname{ker} E_{\varphi}, \dot{\sigma}\right)$.

## 5. Structure of minimal dilations

Corollary 4.3 implies that minimal dilations of $(A, \varphi)$ exist for every contractive completely positive $\operatorname{map} \varphi: A \rightarrow A$, and that they are characterized by the fact that their $\alpha$-expectations are faithful on ideals. The latter imposes strong requirements on the structure of minimal dilations, and we conclude by elaborating on these structural issues.
Definition 5.1. A standard dilation of $(A, \varphi)$ is a dilation $(i, B, \alpha)$ such that $A=p B p$ is an essential corner of $B$ whose projection $p \in M(B)$ satisfies $p \alpha(x) p=\varphi(p x p), x \in B$.

In such cases, $E(x)=p x p$ is the $\alpha$-expectation of $B$ on $A$. Standard dilations are most transparent in the special case where $A$ has a unit $e$ and $\varphi(e)=e$. To illustrate that, let $B$ be a $C^{*}$-algebra containing $A$ and let $\alpha$ be an endomorphism of $B$ with the following property:

$$
\begin{equation*}
\varphi(a)=e \alpha(a) e, \quad a \in A, \tag{11}
\end{equation*}
$$

$e$ denoting the unit of $A$. We may also assume that $B$ is generated by $A \cup \alpha(A) \cup \alpha^{2}(A) \cup \cdots$, so that $(i, B, \alpha)$ becomes an $A$-dynamical system.

Proposition 5.2. The projection $e \in B$ satisfies $\alpha(e) \geq e, A=e B e$ is a hereditary subalgebra of $B$, and the map $E(x)=$ exe defines an $\alpha$-expectation from $B$ to $A$. If, in addition, $A$ is an essential subalgebra of $B$, then $(i, B, \alpha)$ is a standard dilation of $(A, \varphi)$.

Sketch of proof. Formula (11) implies that $e \alpha(e) e=\varphi(e)=e$, hence $\alpha(e) \geq e$. It follows immediately that $e \alpha(e x e) e=e \alpha(x) e$ for $x \in B$.

At this point, a simple induction establishes $e \alpha^{n}(a) e=\varphi^{n}(a), a \in A$, $n=0,1,2, \ldots$. An argument similar to the proof of Theorem 2.3 allows one to evaluate more general expectation values as in (5)

$$
e \alpha^{n_{1}}\left(a_{1}\right) \alpha^{n_{2}}\left(a_{2}\right) \cdots \alpha^{n_{k}}\left(a_{k}\right) e=\left[n_{1}, \ldots, n_{k} ; a_{1}, \ldots, a_{k}\right],
$$

which implies $e B e \subseteq A$. Hence $A=e B e$ is a hereditary subalgebra of $B$.
With these formulas in hand one finds that the conditional expectation $E(x)=e x e$ satisfies axioms E1 and E2 of Definition 4.1. Hence $(i, B, \alpha)$ is a standard dilation of $(A, \varphi)$ whenever $A$ is an essential subalgebra of $B$.

We remark that the converse is also true: given $(A, \varphi)$ for which $A$ has a unit $e$ and $\varphi(e)=e$, then every standard dilation has the properties of Proposition (5.2). The description of standard dilations in general, where $A$ is unital and $\|\varphi\| \leq 1$ or is perhaps nonunital, becomes more subtle.

The universal dilation $(i, \mathcal{P} A, \sigma)$ of $(A, \varphi)$ is not a standard dilation. For example, when $A$ has a unit $e$ one can make use of the universal property of $\mathcal{P} A=A * A * \cdots$ to exhibit representations $\pi: \mathcal{P} A \rightarrow \mathcal{B}(H)$ such that $\pi(e)$ and $\pi(\sigma(e))$ are nontrivial orthogonal projections. Hence $\sigma(e) \nsupseteq e$. Moreover, $A$ is not a hereditary subalgebra of $\mathcal{P} A$, and the conditional expectation of Theorem 1.3 is never of the form $x \mapsto$ exe.

On the other hand, we now show that minimal dilations of $(A, \varphi)$ must be standard. This is based on the following characterization of essential corners in terms of conditional expectations.

Proposition 5.3. For every inclusion of $C^{*}$-algebras $A \subseteq B$, the following are equivalent.
(i) $A$ is an essential corner $p B p$ of $B$.
(ii) There is a conditional expectation $E: B \rightarrow A$ whose restriction to $[A B A]$ is multiplicative, and which satisfies $\operatorname{ker} E=\{0\}$.

Moreover, the conditional expectation $E: B \rightarrow A$ of (ii) is the compression map $E(x)=p x p$, and it is unique. The projection $p \in M(B)$ satisfies

$$
\lim _{n \rightarrow \infty}\left\|x e_{n}-x p\right\|=0, \quad x \in B
$$

where $e_{n}$ is any approximate unit for $A$, and it defines the closed left ideal generated by $A$ as follows: $[B A]=B p$.
Proof. The implication (i) $\Longrightarrow$ (ii) is straightforward, since the compression map $E(x)=p x p$ obviously defines a conditional expectation of $B$ on $A=p B p$ that is multiplicative on $[A B A]$. If $x \in B$ satisfies $E(B x B)=\{0\}$ then $p B x^{*} x B p=\{0\}$, hence $x B A=x B p B p=\{0\}$, and therefore $x=0$ because $[B A B]$ is assumed to be an essential ideal in (i).
(ii) $\Longrightarrow$ (i). Given a conditional expectation $E: B \rightarrow A$ satisfying (ii), we may assume that $A \subseteq \mathcal{B}(H)$ acts nondegenerately on some Hilbert space (e.g., represent $B$ faithfully on some Hilbert space and take $H$ to be the closed linear span of the ranges of all operators in $A$ ). Thus $E: B \rightarrow \mathcal{B}(H)$ becomes an operator-valued completely positive map of norm 1, having a Stinespring decomposition $E(x)=V^{*} \pi(x) V$, with $\pi$ a representation of $B$ on a Hilbert space $K$, and $V: H \rightarrow K$ a contraction with $[\pi(B) V H]=K$.

Let $P$ be the projection on $[\pi(A) K]$. We claim that $V$ is an isometry with $V V^{*}=P$. To prove that, choose $a \in A, b \in B$, and let $e_{n}$ be an approximate unit for $A$. Since $E$ is multiplicative on $[A B A]$ we can write

$$
\begin{aligned}
V^{*} \pi\left(b^{*} a^{*}\right)\left(V V^{*}-1\right) \pi(a b) V^{*}=E\left(b^{*} a\right) E(a b)-E\left(b^{*} a^{*} a b\right) & = \\
\lim _{n} e_{n}\left(E\left(b^{*} a^{*}\right) E(a b)-E\left(b^{*} a^{*} a b\right)\right) e_{n} & = \\
\lim _{n}\left(E\left(e_{n} b^{*} a^{*}\right) E\left(a b e_{n}\right)-E\left(e_{n} b^{*} a^{*} a b e_{n}\right)\right) & =0 .
\end{aligned}
$$

It follows that $V V^{*}-\mathbf{1}$ vanishes on the closed linear span of $\pi(A) \pi(B) V H$, namely $[\pi(A) K]$; hence $V V^{*} \geq P$. On the other hand, for $a \in A$ we have $V a=V E(a)=V V^{*} \pi(a) V=\pi(a) V$. Thus $V H \subseteq[V A H]=[\pi(A) V H] \subseteq$ $P K$; hence $V V^{*} \leq P$. That $V$ is an isometry follows from the fact that for $a \in A, V^{*} V a=V^{*} V E(a)=V^{*} V V^{*} \pi(a) V=V^{*} \pi(a) V=E(a)=a$, and by nondegeneracy $H$ is the closed linear span of $\{a \xi: a \in A, \quad \xi \in H\}$.

We claim that $P=V V^{*}$ belongs to the multiplier algebra of $\pi(B)$. For that, choose an approximate unit $e_{n}$ for $A$. Since both $\pi\left(e_{n}\right)$ and $V V^{*}$ are self-adjoint, it suffices to show that for every $b \in B, \pi(b) \pi\left(e_{n}\right) \rightarrow \pi(b) V V^{*}$ in norm as $n \rightarrow \infty$. Using $V V^{*} \pi\left(e_{n}\right)=\pi\left(e_{n}\right) V V^{*}=\pi\left(e_{n}\right)$, we can write

$$
\begin{aligned}
& \left\|\pi(b)\left(\pi\left(e_{n}\right)-V V^{*}\right)\right\|^{2}=\left\|\left(\pi\left(e_{n}\right)-V V^{*}\right) \pi\left(b^{*} b\right)\left(\pi\left(e_{n}\right)-V V^{*}\right)\right\|= \\
& \left\|V V^{*}\left(\pi\left(e_{n} b^{*} b e_{n}\right)-\pi\left(e_{n} b^{*} b\right)-\pi\left(b^{*} b e_{n}\right)+\pi\left(b^{*} b\right)\right) V V^{*}\right\|= \\
& \left\|V\left(E\left(e_{n} b^{*} b e_{n}\right)-E\left(e_{n} b^{*} b\right)-E\left(b^{*} b e_{n}\right)+E\left(b^{*} b\right)\right) V^{*}\right\| \leq \\
& \left\|e_{n} E\left(b^{*} b\right) e_{n}-e_{n} E\left(b^{*} b\right)-E\left(b^{*} b\right) e_{n}+E\left(b^{*} b\right)\right\|,
\end{aligned}
$$

and the last term tends to 0 as $n \rightarrow \infty$ because $e_{n}$ is an approximate unit for $A$ and $E\left(b^{*} b\right) \in A$. It follows that $\pi(B) V V^{*}=\pi(A) P$ is the closed left ideal in $\pi(B)$ generated by $\pi(A)$.

We claim next that $\pi(A)=P \pi(B) P$ is a corner of $\pi(B)$. Indeed,

$$
V V^{*} \pi(B) V V^{*}=V E(B) V^{*}=\pi(E(B)) V V^{*}=\pi(A) V V^{*}=\pi(A)
$$

It is essential because for any operator $T \in \mathcal{B}(K)$ for which $T \pi(B A)=\{0\}$ we must have $T \pi(B A) V V^{*}=\{0\}$. But since $K$ is spanned by vectors of the form $\pi(b) V a \xi=\pi(b) \pi(a) V \xi$ for $a \in A, b \in B$, the only possibility is $T=0$.

Finally, $\pi$ must be a faithful representation because $\pi(x)=0$ implies

$$
E(B x B)=V^{*} \pi(B) \pi(x) \pi(B) V=\{0\},
$$

and the latter implies $x=0$ by hypothesis (ii). The preceding assertions can now be pulled back through the isomorphism $\pi: B \rightarrow \pi(B)$ to give (i).

Combining Corollary 4.3 with Proposition 5.3, we obtain:
Theorem 5.4. For every $(A, \varphi)$ as above, the minimal dilation of $(A, \varphi)$ is a standard dilation satisfying the assertions of Proposition 5.3. All standard dilations of $(A, \varphi)$ are equivalent to the minimal one.

We remark that Theorem 5.4, together with a theorem of Larry Brown [Bro77], implies that the $C^{*}$-algebra $B$ of the minimal dilation $(i, B, \alpha)$ of $(A, \varphi)$ can be embedded in the multiplier algebra of $A \otimes \mathcal{K}$.
Concluding Remarks. It is appropriate to review some highlights of the literature on noncommutative dilation theory, since it bears some relationship to the contents of $\S \S 4-5$. Several approaches to dilation theory for semigroups of completely positive maps have been proposed since the mid 1970s, including work of Evans and Lewis [EL77], Accardi et al [AL82], Kümmerer [Küm85], Sauvageot [Sau86], and many others. Our attention was drawn to these developments by work of Bhat and Parthasarathy [BP94], in which the first dilation theory for CP semigroups acting on $\mathcal{B}(H)$ emerged that was effective for our work on $E_{0}$-semigroups [Arv97], [Arv00]. SeLegue [SeL97] showed how to apply multi-operator dilation theory to obtain the Bhat-Parthasarathy results, and he calculated the expectation values of the $n$-point functions of such dilations. Recently, Bhat and Skeide [BS00] have initiated an approach to the subject that is based on Hilbert modules over $C^{*}$-algebras and von Neumann algebras.

We intend to take up applications to semigroups of completely positive maps elsewhere.

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[^0]:    1991 Mathematics Subject Classification. 46L55, 46L09.
    Partially supported by NSF grants DMS-9802474 and DMS-0100487.

