

# LIMITS OF SUBVARIETIES: SUBSCHEMES VS. BRANCHVARIETIES

ALLEN KNUTSON (JOINT WITH VALERY ALEXEEV)

Let  $F \rightarrow \mathbb{C}^*$  be a family over  $\{t \neq 0\}$ . We want to define  $\overline{F} \rightarrow \mathbb{C}$  and  $\overline{F}_0 \subseteq \overline{F}$ .

Obvious answer:  $\overline{F}_0 = \emptyset$ ,  $\overline{F} = F$ . We can rule this out by insisting that  $\overline{F} \rightarrow \mathbb{C}$  be proper.

Another condition:  $\overline{F}_0$  should not contain a component of  $\overline{F}$ . We can impose this by insisting that  $\overline{F} \rightarrow \mathbb{C}$  be flat.

Still not unique:

$$\begin{aligned} \mathbb{C}[a] \times \mathbb{C}[b] &\leftarrow \mathbb{C}[t] \\ (a, b) &\leftarrow t \end{aligned}$$

and

$$\mathbb{C}[x, t]/(x^2 - t^2) \leftarrow \mathbb{C}[t].$$

The first has reduced  $\overline{F}_0$ ; the second does not.

Grothendieck says: Embed  $F$  as a closed subvariety of  $\mathbb{C}^\times \times \mathbb{C}\mathbb{P}^n$ . Then define  $\overline{F}$  as the closure in  $\mathbb{C} \times \mathbb{C}\mathbb{P}^n$ . Bad: Even if the general  $F_t$  is reduced,  $\overline{F}_0$  might not be.

**Definition 0.1.** A *branchvariety*  $X$  of  $Y$  is a (geometrically) reduced scheme  $X$  with a finite map to  $Y$ .

We don't want to give up reducedness of fibers, but we are willing to replace subvariety by branchvariety.

For  $\mathbb{C}[x, t]/(x^2 - t)$ , the monodromy switching  $\sqrt{t}$  and  $-\sqrt{t}$  holds the two branches together. Introduce  $t'$  where  $t'^2 = t$ . Pull back to define  $\sqrt{\overline{F}}$ :

$$\begin{array}{ccc} \sqrt{\overline{F}} & \longrightarrow & \overline{F} \\ \downarrow & & \downarrow \\ \mathbb{C}_{t'} & \xrightarrow{2:1} & \mathbb{C}_t \end{array}$$

**Theorem 0.2.** Let  $F \rightarrow \text{Spec } \mathbb{C}((t)) \times \mathbb{C}\mathbb{P}^n$  be finite and reduced. Then for any sufficiently divisible  $k$ , the zero fiber of the normalization of  $\widetilde{\sqrt[k]{F}}$  of the family  $\overline{\sqrt[k]{F}}$  in the open set  $\sqrt[k]{F}$  has reduced fiber  $\left(\sqrt[k]{F}\right)_0$ , and gives the same answer (for  $\left(\sqrt[k]{F}\right)_0$ ) for all such  $k$ .

*Proof.* (We are working in characteristic 0.) 0-dimensional case: colliding points. Let  $\pi \in S_{\deg f}$  be the monodromy around 0. Let  $k$  be the LCM of the cycle lengths in  $\pi$ , so  $k$  is the order of  $\pi$ . Then  $\sqrt[k]{F}$  is the trivial covering space.

Higher-dimensional case. We want to ensure  $\widetilde{\sqrt[k]{F}}_0$  is reduced: R0 (smooth in codimension 0) and S1 (no embedded primes). If  $\widetilde{\sqrt[k]{F}}$  were normal, then it would be R1 and S2, so  $\widetilde{\sqrt[k]{F}}_0$  is S1. Exercise: True in this case too.

---

Date: May 2, 2006.

R0 can be checked at a generic point. Reduce to the 0-dimensional case by using a general hyperplane section. So if  $k = \prod_{\text{components}} (\deg C)!$ , these general points will be smooth.  $\square$

**Example 0.3.** Consider a family of plane conics:  $\text{Proj } \mathbb{C}[x, y, z, t]/(y^2 - txz)$  where  $t$  (the parameter) has degree 0, over  $\text{Spec } \mathbb{C}[t]$ . Introduce  $t'^2 = t$ , and set  $y' = y/t'$ . We get  $\mathbb{C}[x, y', z]/(y'^2 - xz)$ .

**Example 0.4.** 0-dimensional branchvarieties with  $\{n \text{ points}\} \rightarrow \mathbb{C}\mathbb{P}^3$  are the same as collections of points in  $\mathbb{C}\mathbb{P}^3$  with multiplicities summing to  $n$ .

**Theorem 0.5.** *If  $F$  is a flat proper family of branchvarieties whose general fiber has  $k$  connected components, then any fiber has  $k$  connected components.*

**Example 0.6.** Two skew lines in  $\mathbb{P}^3$  coming together have a non-reduced flat limit in the Hilbert scheme, but the branchvariety limit consists of a union of two disjoint lines mapping non-injectively into  $\mathbb{P}^3$ .

**Definition 0.7.** The (*rooted labeled*) forest of a branchvariety  $X$  of  $\mathbb{C}\mathbb{P}^n$ . The roots correspond to connected components. Above each vertex, one has one vertex for each connected component of a generic hyperplane section.

Label each vertex by the constant term in the Hilbert polynomial: these are enough to reconstruct the Hilbert polynomial of the branchvariety corresponding to each vertex. For an irreducible variety, the forest is a “palm tree” with one vertex at each level except at the top, where the number of vertices is the degree of the variety.

**Theorem 0.8.** *Suppose char 0. For fixed  $\mathbb{C}\mathbb{P}^n$  and fixed rooted labeled forest, there is a proper separated moduli stack of such branchvarieties; whose coarse moduli space is an algebraic space (reference for algebraic spaces: Knutson 1971).*

*In char  $p$ , instead of the forest, we fix  $b_i := \sum_{\text{components } C \text{ of dimension } i} \deg(C)$ .*

UNIVERSITY OF CALIFORNIA AT SAN DIEGO