

THE PICARD GROUP OF THE MODULI STACK OF ELLIPTIC CURVES

MARTIN OLSSON (JOINT WITH WILLIAM FULTON)

0. PICARD GROUPS OF STACKS

Definition 0.1. An elliptic curve over a scheme T is a diagram

$$E \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{e} \end{array} T$$

where

- (1) f is flat and proper,
- (2) e is a section, and
- (3) the fibers of f are smooth connected genus 1 curves.

Let S be a scheme. Then $\mathcal{M}_{1,1,S}$ is a category whose objects are $(T \rightarrow S, E/T)$ and whose morphisms are $(T' \rightarrow S, E'/T') \rightarrow (T \rightarrow S, E/T)$ where

$$\begin{array}{ccc} E' & \xrightarrow{\tilde{g}} & E \\ f' \downarrow & & \downarrow f \\ T' & \xrightarrow{g} & T \end{array}$$

commutes and

- (1) $E' \xrightarrow{\sim} T' \times_T E$, and
- (2) $\tilde{g} \circ e' = e \circ g$

Let $\overline{\mathcal{M}}_{1,1,S}$ be the same, but with the conditions on

$$E \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{e} \end{array} T$$

being

- (1) f flat and proper,
- (2) e has image in E^{sm} ,
- (3) the fibers are either
 - (a) smooth connected genus-1 curves, or
 - (b) nodal cubic curves.

Definition 0.2. An *invertible sheaf* L on $\mathcal{M}_{1,1,S}$ consists of the following data:

- (1) For all $(T, E/T) \in \mathcal{M}_{1,1,S}$, a line bundle $L_{E/T}$ on T , and
- (2) For all morphisms $(g, \tilde{g}): (T', E'/T') \rightarrow (T, E/T)$,

$$L(g, \tilde{g}): g^* L_{E/T} \xrightarrow{\sim} L_{E'/T'}.$$

(3) If

$$(T'', E''/T'') \xrightarrow{g'} (T', E'/T') \xrightarrow{g} (T, E/T),$$

then

$$\begin{array}{ccc} (g')^* g^* L_{E/T} & \xrightarrow{(g')^* L(g)} & (g')^* L_{E'/T'} \\ \downarrow \simeq & & \downarrow L(g') \\ (g \circ g')^* L_{E/T} & \xrightarrow{L(g \circ g')} & L_{E''/T''} \end{array}$$

Remark 0.3. The set of isomorphism classes of line bundles on $\mathcal{M}_{1,1,S}$ forms a group $\text{Pic}(\mathcal{M}_{1,1,S})$ under \otimes .

Remark 0.4. One can also define $\text{Pic}(\underline{\mathcal{M}}_{1,1,S})$.

Problem: Compute $\text{Pic}(\mathcal{M}_{1,1,S})$ and $\text{Pic}(\underline{\mathcal{M}}_{1,1,S})$.

In 1965 Mumford found that for $S = \text{Spec } k$ with $\text{char } k \neq 2, 3$, we have

$$\text{Pic}(\mathcal{M}_{1,1,S}) = \mathbb{Z}/(12), \quad \text{Pic}(\underline{\mathcal{M}}_{1,1,S}) = \mathbb{Z}.$$

How does this notion of Pic relate to the usual notion defined for schemes?

Example 0.5. Consider \mathbb{A}_S^1 . For this talk, let \mathcal{A}_S^1 be the category whose objects are $(T \rightarrow S, T \xrightarrow{f} \mathbb{A}_S^1)$ and whose morphisms are $(T', f') \rightarrow (T, f)$ where

$$\begin{array}{ccc} T' & \xrightarrow{g} & T \\ & \searrow f' & \swarrow f \\ & & \mathbb{A}_S^1. \end{array}$$

Then $\text{Pic}(\mathcal{A}_S^1) = \text{Pic}(\mathbb{A}_S^1)$, because \mathcal{A}_S^1 has an initial object $(\mathbb{A}_S^1 \rightarrow S, \text{id}: \mathbb{A}_S^1 \rightarrow \mathbb{A}_S^1)$.

Example 0.6. Let Δ be a finite group. Then $B\Delta_S$ is the category whose objects are S -schemes T , and whose morphisms $T' \rightarrow T$ are pairs (g, δ) where $g: T' \rightarrow T$ and $\delta \in \Delta$. Define composition as follows

$$T'' \xrightarrow{(g', \delta')} T' \xrightarrow{(g, \delta)} T \\ \xrightarrow{(g \circ g', \delta \delta')}$$

1. MUMFORD'S COMPUTATION

Example 1.1. The Hodge bundle $\lambda \in \text{Pic}(\mathcal{M}_{1,1,S})$ is defined on

$$E \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{e} \end{array} T$$

as $f_* \Omega_{E/T}^1$.

Proposition 1.2. $\lambda^{\otimes 12}$ is canonically trivial.

Proof. Let E/T be an elliptic curve. Zariski locally on T , it is the projectivization of the equation

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

with $a_i \in \mathcal{O}_T$, equipped with the section $e = [0 : 1 : 0]$. A basis for the differentials $\pi = \frac{dx}{2y+a_1x+a_3}$. One can also define

$$\begin{aligned} b_2 &= a_1^2 + 4a_2 \\ b_4 &= a_1a_3 + 2a_4 \\ b_6 &= a_3^2 + 4a_6 \\ b_8 &= -a_1a_3a_4 - a_4^2 + a_1^2a_6 + a_2a_3^2 \\ \Delta &= -b_2^2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6 \in \mathcal{O}_T^\times. \end{aligned}$$

Here Δ is called the *discriminant*.

Two choices of coordinates differ by a change of variable

$$x' = u^2x + r, \quad y' = u^3y + su^2x + t$$

where $u \in \mathcal{O}_T^\times$ and $r, s, t \in \mathcal{O}_T$. Then

$$\pi' = u^{-1}\pi, \quad \Delta' = u^{12}\Delta,$$

so

$$\Delta\pi^{\otimes 12} \in H^0(E, \Omega_{E/T}^1).$$

□

Theorem 1.3 (Mumford). *Let $S = \text{Spec } k$, where k is a field with $\text{char } k \neq 2, 3$. Then*

$$\mathbb{Z}/(12) \xrightarrow{\lambda} \text{Pic}(\mathcal{M}_{1,1,S})$$

is an isomorphism.

2. NEW RESULTS

If $(T, E/T) \in \mathcal{M}_{1,1,S}$, we get $j(E) \in \mathcal{O}_T$ defined as $(b_2^2 - 24b_4)^3/\Delta$. This defines

$$j: \mathcal{M}_{1,1,S} \rightarrow \mathbb{A}_S^1$$

(i.e., to \mathcal{A}_S^1). If \mathcal{L} is a line bundle on \mathbb{A}_S^1 , then $j^*\mathcal{L} \in \text{Pic}(\mathcal{M}_{1,1,S})$ is defined so that its value on $(T, E/T)$ is

$$(j^*\mathcal{L})_{E/T} = j(E)^*\mathcal{L}.$$

Theorem 2.1. *The map*

$$\mathbb{Z}/(12) \times \text{Pic}(\mathbb{A}_S^1) \xrightarrow{\lambda \times j^*} \text{Pic}(\mathcal{M}_{1,1,S})$$

is an isomorphism if either

- (1) S is a $\mathbb{Z}[1/2]$ -scheme, or
- (2) S is reduced.

Theorem 2.2. *The map*

$$\mathbb{Z} \times \text{Pic}(S) \xrightarrow{\lambda \times j^*} \text{Pic}(\overline{\mathcal{M}}_{1,1,S})$$

is an isomorphism.

Another version of the previous theorem:

Theorem 2.3. $\mathbb{Z} \times B\mathbb{G}_m \rightarrow \text{Pic}(\overline{\mathcal{M}}_{1,1,S})$ is an equality of algebraic stacks.

3. IDEA OF PROOF WHEN $6 \in \mathcal{O}_S^\times$

Let E_0 over $\mathbb{Z}[1/6]$ be the elliptic curve $y^2 + y = x^3$, which has $\Delta = -27$ and $j = 0$. Then $\mathbf{Aut}(E_0) = \mu_2 \times \mu_3$ where $(-1, 1)$ acts as $(x, y) \mapsto (x, -y - 1)$ and $(1, \zeta)$ (where ζ is a cube root of 1) acts as $(x, y) \mapsto (\zeta x, y)$.

Let E_{1728} over $\mathbb{Z}[1/6]$ be the elliptic curve $y^2 = x^3 + x$, which has $\Delta = -64$ and $j = 1728$. Then $\mathbf{Aut}(E_{1728}) = \mu_4$, with a 4th-root of unity ζ acting as $(x, y) \mapsto (\zeta^2 x, \zeta y)$.

Let \mathcal{L} be a line bundle on $\mathcal{M}_{1,1,S}$. Let \mathcal{L}_0 be its value on $(S, E_0 \times_{\mathbb{Z}[1/6]} S)$; this is a $\mu_2 \times \mu_3$ -representation. Let \mathcal{L}_{1728} be its value on $(S, E_{1728} \times_{\mathbb{Z}[1/6]} S)$; this is a μ_4 -representation.

To give such representations is to give an element $\chi(\mathcal{L}) \in \mathbb{Z}/(4) \times \mathbb{Z}/(6)$, since $\mathbb{Z}/(4)$ is the character group of μ_4 , and $\mathbb{Z}/(6)$ is the character group of μ_6 .

Lemma 3.1. $\chi(\mathcal{L}) \in \mathbb{Z}/(12) \subset \mathbb{Z}/(4) \times \mathbb{Z}/(6)$.

Lemma 3.2. $\chi(\lambda) = 1 \in \mathbb{Z}/(12)$

So we have a map

$$\mathbb{Z} \times \text{Pic}(\mathbb{A}_S^1) \rightarrow \text{Pic}(\mathcal{M}_{1,1,S}).$$

Given an element \mathcal{L} of $\text{Pic}(\mathcal{M}_{1,1,S})$, we can twist by a power of λ to assume that $\chi(\mathcal{L}) = 0$, and then we hope to show that \mathcal{L} comes from $\text{Pic}(\mathbb{A}_S^1)$.

Now that $\chi(\mathcal{L}) = 0$, the stabilizer group at every geometric point of $\mathcal{M}_{1,1,S}$ acts trivially: to see this, one uses that $\mathcal{M}_{1,1,S}$ is connected. Finally, the result follows from applying the following to $j: \mathcal{M}_{1,1,S} \rightarrow \mathbb{A}_S^1$:

Lemma 3.3. *Given a tame DM stack \mathcal{X} , and $j: \mathcal{X} \rightarrow X$ the coarse space, if \mathcal{L} is an invertible sheaf on \mathcal{X} such that for all geometric points $\bar{x} \rightarrow \mathcal{X}$, the action of the stabilizer group on $\mathcal{L}(\bar{x})$ is trivial, then $j_*\mathcal{L}$ is an invertible sheaf on X and $j^*j_*\mathcal{L} \rightarrow \mathcal{L}$ is an isomorphism.*

4. CHARACTERISTIC 2, NON-REDUCED CASE

By deformation theory, we end up having to compute $H^1(\mathcal{M}_{1,1,k}, \mathcal{O}_{\mathcal{M}_{1,1,k}})$, which by a Leray spectral sequence is equal to $H^0(\mathbb{A}^1, R^1j_*\mathcal{O}_{\mathcal{M}_{1,1,k}})$. Similarly, $H^1(\overline{\mathcal{M}}_{1,1,k}, \mathcal{O}_{\mathcal{M}_{1,1,k}}) = H^0(\mathbb{P}^1, R^1j_*\mathcal{O}_{\mathcal{M}_{1,1,k}})$.

Proposition 4.1. *Let k be a field of characteristic 2. Then $R^1j_*\mathcal{O}_{\overline{\mathcal{M}}_{1,1,k}}$ on \mathbb{P}_k^1 is a line bundle of negative degree.*

UCB