

V.Scattering resonances and inverse problems?

Workshop on Inverse Problems  
MSRI

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Similar results for

$$H = -h^2\Delta_g + V(x)$$

for large classes of potentials  $V$  and metrics  $g$ .

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**Neumann problem:** if the resonances of

$$\mathcal{O} \subset \mathbb{R}^n, \quad n \geq 3, \quad n \text{ odd},$$

are the same as resonances of

$$\mathcal{O}' = \bigcup_{k=1}^K B(x_k, R_k), \quad B(x_j, R_j) \cap B(x_k, R_k) = \emptyset, \quad k \neq j,$$

then  $\mathcal{O}$  is **also** a union of disjoint balls. (**Christiansen** 2008)

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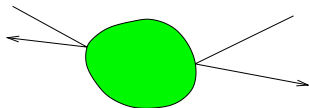
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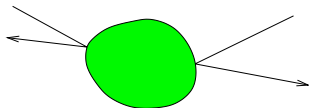
*Then for any  $M > 0$  there exists a constant  $C$  such that there *no resonances* in*

$$\{\lambda : \operatorname{Im} \lambda > -M \log |\lambda|, \quad |\lambda| > C\}.$$

In particular, there exists a resonance free strip,  $\text{Im } \lambda > -C_0$ .



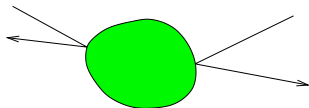
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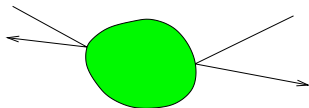


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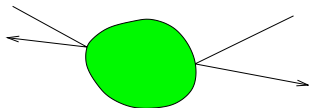
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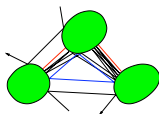
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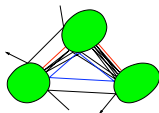
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The last condition is the exact analogue of the condition in the theorem.

Several convex obstacles:

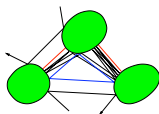


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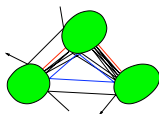
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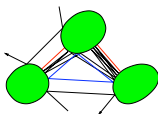


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$$P(f) = \lim_{T \rightarrow \infty} \frac{1}{T} \log \sum_{T_\gamma < T} \exp \left( \int_0^{T_\gamma} \Phi_t^* f|_\gamma dt \right),$$

where  $\Phi_t$  is the flow,  $\gamma$  are closed orbits with period  $T_\gamma$ .



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Following the work of **Dolgopyat** and **Naud, Petkov-Stoyanov** 2007 prove much more: there exists  $\delta > 0$  such that there are no resonances in

$$\text{Im } \lambda > P(-\Lambda_+/2) - \delta, \quad \text{Re } \lambda > C.$$

For operators  $H = -h^2 \Delta_g + V(x)$  the results similar to Ikawa's result became known only recently. We consider the pressure of the flow on the (non-degenerate) energy surface  $|\xi|_g^2 + V(x) = E$  and resonances in  $D(E, Ch)$ ,  $E > 0$ .

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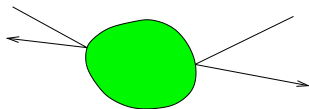
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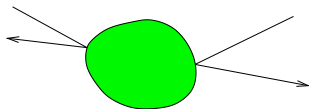
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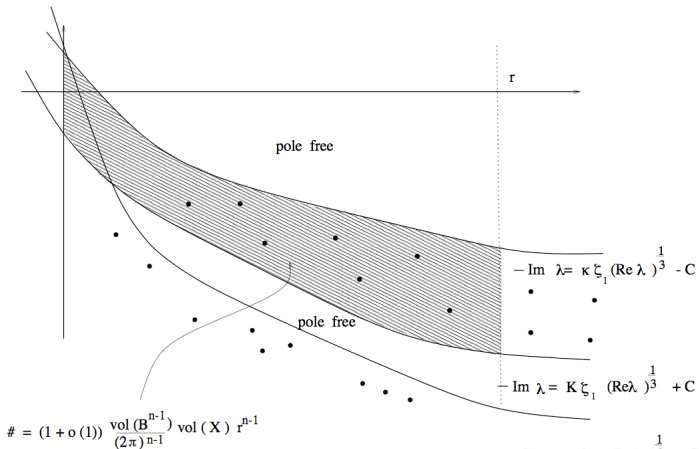
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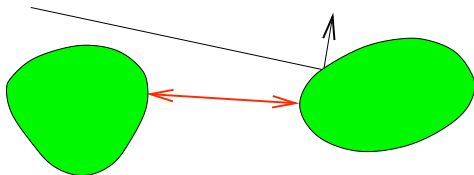


## Sjöstrand-Zworski 1999

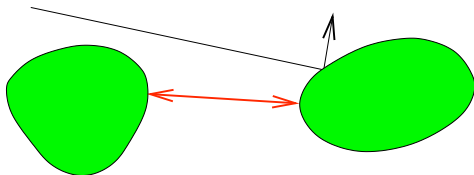




Two convex obstacles

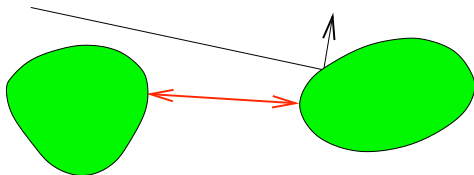


Two convex obstacles



Ikawa 1983, Gérard 1988

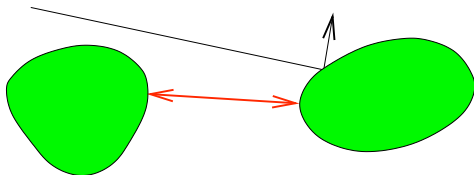
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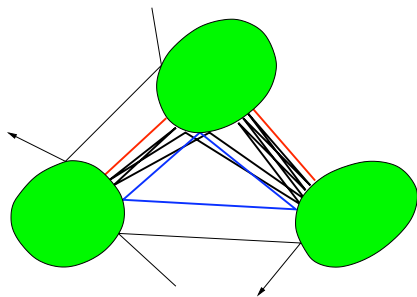
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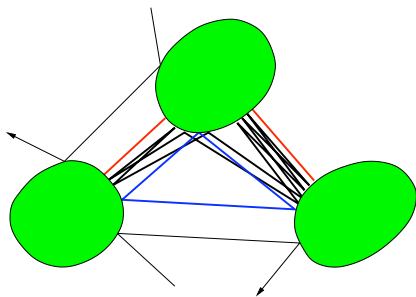
$$\sum_{\text{Im } z > -\alpha, |z| \leq r} m_R(z) \sim C(\alpha)r.$$

*Note that for one convex obstacle this sum would be  $O(1)$ .*

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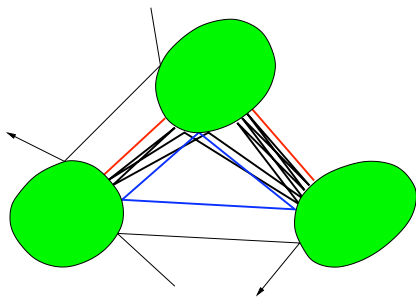


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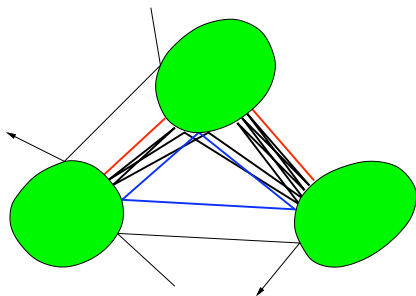
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but no counting results better than [Melrose's](#) theorem...



## Theorem (Nonnenmacher-Sjöstrand-Zworski 2009)

Suppose  $\mathcal{O} = \bigcup_{j=1}^J \mathcal{O}_j$  be a union of disjoint convex obstacles satisfying Ikawa's condition. Then

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This theorem is part of a larger project on open hyperbolic systems with **topologically one dimensional** trapped sets (always satisfied for several convex bodies satisfying Ikawa's condition).

## Origins in potential and semiclassical scattering:

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Zworski 1989:

$$-\Delta + V, \quad V \in L_{\text{comp}}^{\infty}(\mathbf{R}^n), \quad n \text{ odd}, \\ N(r) \leq Cr^n.$$



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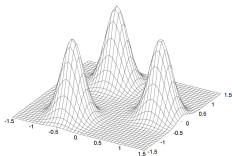
Sjöstrand 1998

If  $E \mapsto \mathcal{L}(\{x : V(x) \geq E\})$  has an *analytic singularity* at  $E_0$  then

$$\sum_{|z-E_0| \leq C_0} m_R(z) \geq h^{-n}/C_1.$$

Fractal Weyl laws:

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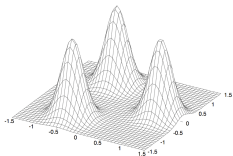


Analytic potential with hyperbolic dynamics

$$\sum_{|z-E| \leq \delta, \text{Im } z > -Ch} m_R(z) = \mathcal{O}(h^{-\mu-1-}),$$

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**Zworski** 1999, **Guillopé-Lin-Zworski** 2004

More precise bounds in the case of convex-cocompact Schottky quotients  $\Gamma \backslash \mathbf{H}^n$ ,  $\mu = \delta(\Gamma)$ , dimension of the limit set.

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For  $C^\infty$  potentials with hyperbolic dynamics at energy  $E$ ,

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The only lower bound showing “optimality” comes from an open quantum map “toy model”, [Nonnenmacher-Zworski 2005](#).

The interest in physics is picking up:

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Lu et al, Phys. Rev. Lett. 91, 154101 (2003)

Schomerus-Tworzydło, Phys. Rev. Lett. 93, 154102 (2004)

Schomerus-Jacquod, J. Phys. A: Math. Gen, (2005)

Vaa et al Phys. Rev. E 72, 056211 (2005)

Keating et al Phys. Rev. Lett. 97, 150406 (2006)

Nonnenmacher-Rubin Nonlinearity (2007)

Wisniacki-Carlo Phys. Rev. E 77, 045201(R) (2008)

Wiersig-Main Phys. Rev. E 77, 036205 (2008)

Shepelyansky Phys. Rev. E 77, 015202(R) (2008)

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Lu-Sridhar-Zworski 2003

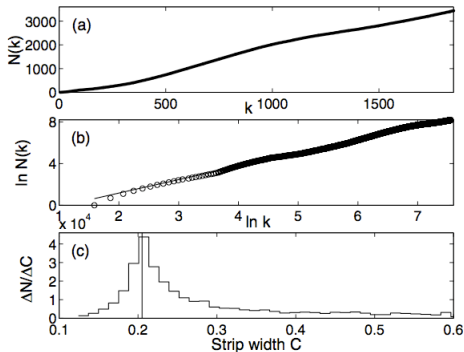


FIG. 2. (a) The counting function,  $N(k)$ , for width  $C = 0.28$  for the resonances in Fig. 1. (b) The plot of  $\ln N(k)$  against  $\ln k$ . The least square approximation slope is equal to 1.288. (c) Dependence of density of resonances  $\Delta N/\Delta C$  on strip width  $C$ . The vertical line is  $\frac{1}{2} \gamma_0$ .

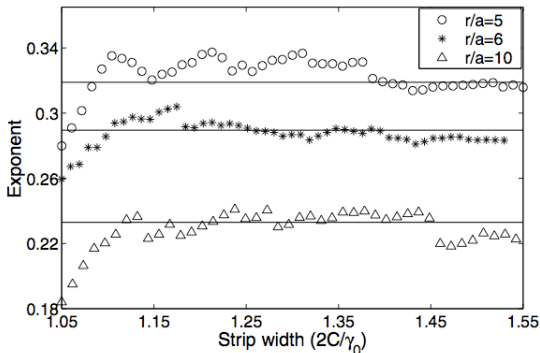
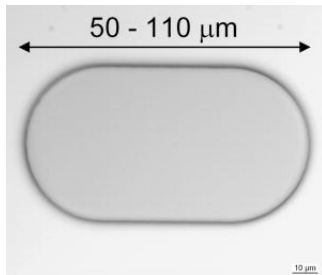
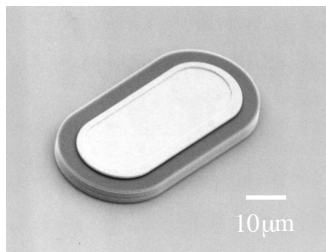


FIG. 3. Dependence of exponent on the rescaled strip width,  $2C/\gamma_0$ , for the 3-disk system in three cases with  $r/a = 5, 6$ , and  $10$ .  $\gamma_0 = 0.4703, 0.4103$ , and  $0.2802$  is the corresponding classical escape rate. The solid lines are the corresponding Hausdorff dimensions  $d_H = 0.3189, 0.2895$ , and  $0.2330$ . The values of  $\gamma_0$  and  $d_H$  are calculated following Ref. [3] and references therein.

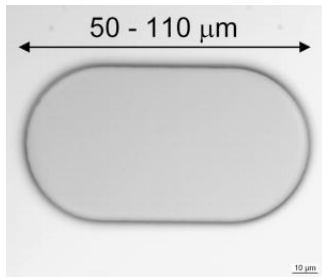
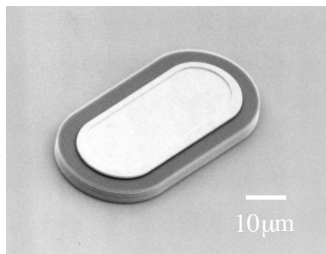
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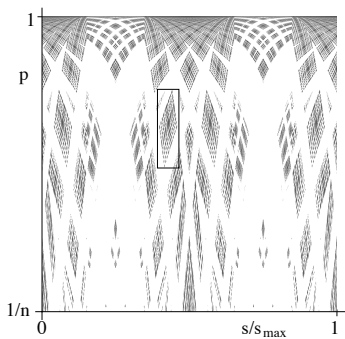
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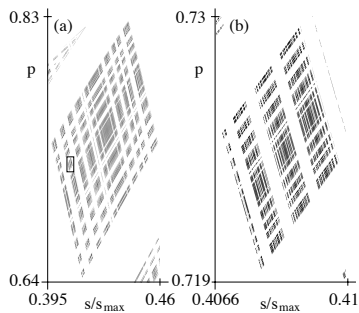
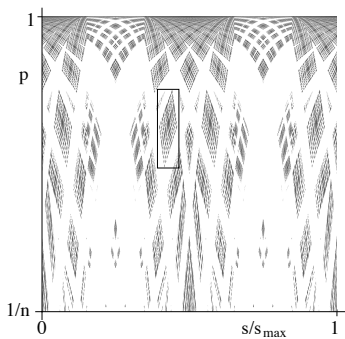
On the left a weakly opened semiconductor (GaAs), on the right a strongly open polymer.

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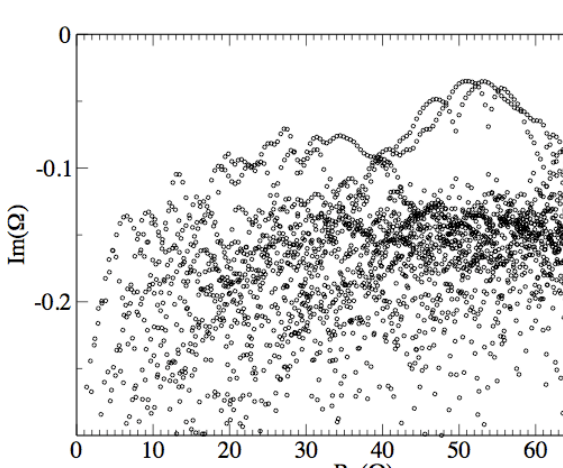


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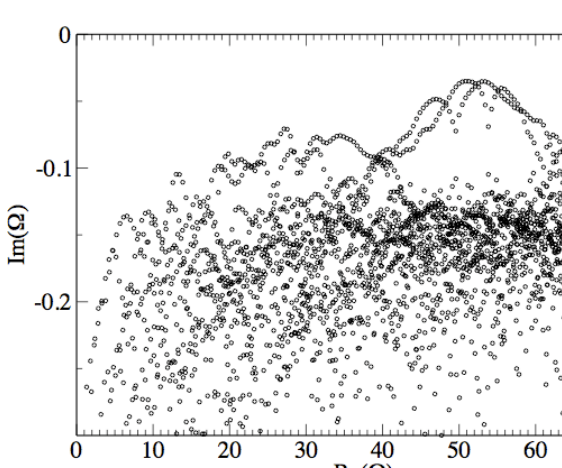


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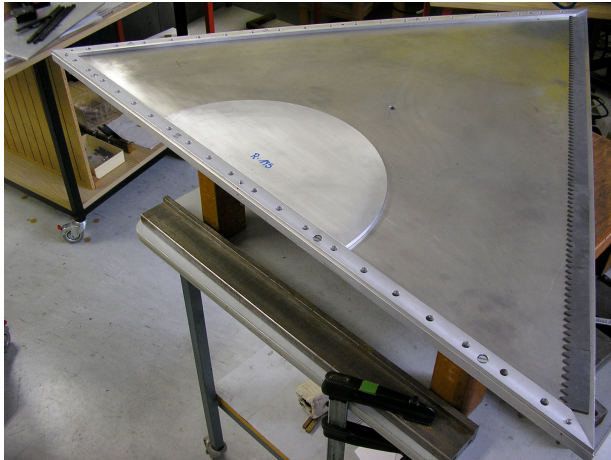


A suitably modified Weyl law (due to partial openness of the system) is claimed to hold in this case ([Wiersig et al Phys. Rev. 2008](#)).

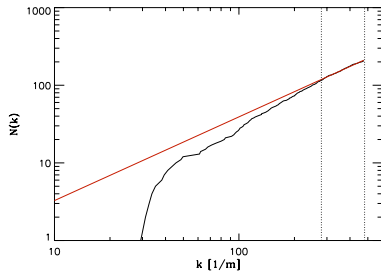


We are now waiting, with some trepidation, for experimental results from **Kuhl-Potzuweit-Stöckmann** in Marburg...

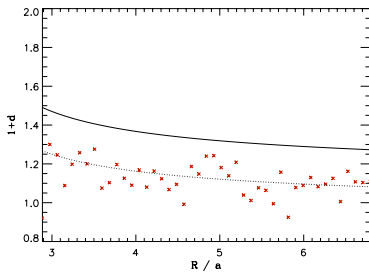
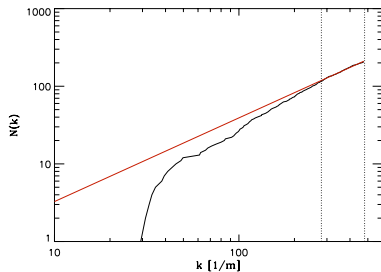
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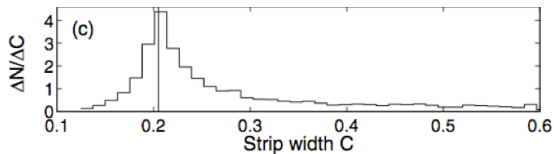


On the left: the counting function on the log-log plot.

On the right: the fitted exponents as functions of the aspect ratio.

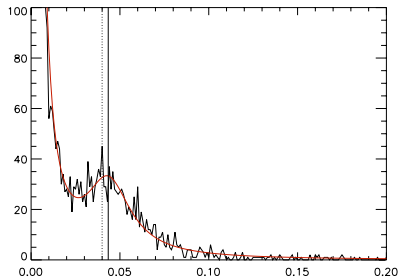
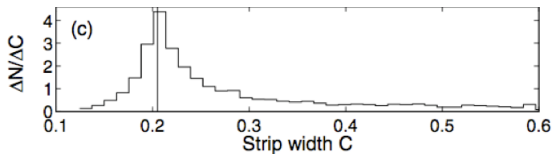
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