## Definability in fields

 Lecture 1:Undecidabile arithmetic, decidable geometry

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## Structures from logic

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What do we study when we examine mathematical structures from the perspective of logic?

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- What sets are definable in $\mathfrak{M}$ ? That is, describe the set $\operatorname{Def}(\mathfrak{M}):=\bigcup_{n=0}^{\infty} \operatorname{Def}_{n}(\mathfrak{M})$ where $\operatorname{Def}_{n}(\mathfrak{M}):=\left\{\varphi(\mathfrak{M}) \mid \varphi\left(x_{1}, \ldots, x_{n}\right) \in \mathscr{L}\right\}$ and $\varphi(\mathfrak{M}):=\left\{\mathbf{a} \in M^{n} \mid \mathfrak{M} \equiv \varphi(\mathbf{a})\right\}$.


## Which question should we ask?

- Traditionally, logicians focus on decidability of theories.
- From the standpoint of logic, we can only discern a difference between structures if they satisfy different sentences. That is, elementary equivalence, $\mathfrak{M} \equiv \mathfrak{N} \Leftrightarrow \operatorname{Th}_{\mathscr{L}}(\mathfrak{M})=\operatorname{Th}_{\mathscr{L}}(\mathfrak{N})$, is the right logical notion of two structures being the same.
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Of course, to answer either of the questions we need to answer the other.

## Specializing to rings

We focus mostly on the case of $\mathfrak{M}=(R,+,-, \times, 0,1)$ where $R$ is a commutative ring or even a field and we address the questions:

- Does $R \equiv S$ imply $R \cong S$ (for $R$ and $S$ from some fixed class of rings)? (Pop's Problem)
- Is $\operatorname{Th}(R)$ decidable?
- Is $\operatorname{Th}_{\exists}(R)$ decidable? (Hilbert's Tenth Problem for $R$ )
- What is definable in $(R,+, \times)$ ?


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## Pop's problem

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If $K$ and $L$ are two finitely generated fields, then $K \equiv L \Leftrightarrow K \cong L$.
In its geometric form, Pop's conjecture asserts that if $K$ and $L$ are finitely generated over $\mathbb{C}$, then $L \equiv K \Longleftrightarrow L \cong K$.

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A finitely generated field may be expressed as the field of quotients of a ring of the form $\mathbb{Z}\left[X_{1}, \ldots, X_{n}\right] /\left(f_{1}, \ldots, f_{m}\right)$ where each $f_{i}$ is a polynomial in $n$ variables with integer coëfficients and $\left(f_{1}, \ldots, f_{m}\right)$ is a prime ideal.

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$K$ satisfies the first-order sentence $\exists \mathbf{a} \bigwedge f_{i}(\mathbf{a})=0$.
$K$ is determined up to isomorphism by the $\mathscr{L}_{\omega_{1}, \omega}$ sentence expressing that there is a generic solution a to $\bigwedge f_{i}(\mathbf{a})$ and every element of $K$ is expressible as a rational functionof $\mathbf{a}$.

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\begin{gathered}
\mathbb{Q}(\sqrt{2}) \models(\exists x) x \cdot x=1+1 \\
\mathbb{Q} \models(\forall x) x \cdot x \neq 1+1
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\mathbb{Q}= & (\forall x)\left(\exists y_{1}\right)\left(\exists y_{2}\right)\left(\exists y_{3}\right)\left(\exists y_{4}\right) x=y_{1}^{2}+y_{2}^{2}+y_{3}^{2}+y_{4}^{2} \\
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Neither $t$ nor $-t$ is a sum of squares in $\mathbb{Q}(t)$.

## Sabbagh's question

## Question (Sabbagh)

Is there a sentence $\tau$ in the language of rings for which if $K$ is a finitely generated field of transcendence degree one, then $K \models \tau$ and if $L$ is a finitely generated field of transcendence degree two, then $K \models \neg \tau$ ?

## Hilbert's Tenth Problem

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10. Entscheidung der Lösbarkeit einer diophantischen Gleichung.

Eine diophantische Gleichung mit irgendwelchen Unbekannten und mit ganzen rationalen Zahlkoefficienten sei vorgelegt: man soll ein Verfahren angeben, nach welchen sich mittels einer endlichen Anzahl von Operationen entscheiden läßt, ob die Gleichung in ganzen rationalen Zahlen lösbar ist.

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That is, find a finitistic procedure which when given a polynomial $f\left(x_{1}, \ldots, x_{n}\right) \in \mathbb{Z}\left[x_{1}, \ldots, x_{n}\right]$ in finitely many indeterminates over the integers determines (correctly) where or not there is a tuple $\mathbf{a} \in \mathbb{Z}^{n}$ with $f(\mathbf{a})=0$.

## Matiyasevich's theorem (first form)

## Theorem (Matiyasevich (using Davis-Putnam-(J.) Robinson)) <br> There is no solution to Hilbert's Tenth Problem.

## Gödel's Incompleteness Theorems

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$\operatorname{Th}(\mathbb{Z},+, \times)$ is undecidable.
Gödel actually shows that there is no decision procedure for $\Pi_{1}^{0}$-sentences. The work in the prood of the MDPR theorem involves showing that the bounded quantifiers may be encoded with Diophantine predicates.

## Undecidability of $\mathbb{Q}$

## Theorem (J. Robinson) <br> $\operatorname{Th}(\mathbb{Q},+, \times)$ is undecidable.

- There is a formula $\zeta(x)$ in one free variable for which $\mathbb{Q} \models \zeta(a)$ if and only if $a \in \mathbb{Z}$. [We will discuss the construction of $\zeta$ in Lecture 2.]
- If we had a decision procedure for $\mathbb{Q}$, then we would have one for $\mathbb{Z}$ by relativizing the sentences for $\mathbb{Z}$ to $\mathbb{Q}$ using $\zeta$.


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Hilbert's Tenth Problem for $\mathbb{Q}$ is still open. Robinson's $\zeta$ uses three alternations of quantifiers and to date no existential definition of $\mathbb{Z}$ has been found.

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Th. Pheidas has shown that the interpretation of $\mathbb{Z}$ may be taken to be Diophantine. Thus, Hilbert's Tenth Problem for $\mathbb{F}_{p}(t)$ has no solution.

## Elementary geometry

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As $\mathbb{C}$ is interpretable in $\mathbb{R}$, it follows that $\operatorname{Th}(\mathbb{C})$ is also decidable. Of course, one can deduce this as well from the theorem that the recursively axiomatized theory of algebraically closed fields of a fixed characteristic is complete.

Theorem (Ax and Kochen; Eršov)
The theory of the p-adic numbers is decidable.

## Valuations: Definition

## Definition

A valuation $v$ on a field $K$ is a function $v: K \rightarrow \Gamma \cup\{\infty\}$ where $(\Gamma,+, 0,<)$ is an ordered abelian group for which for all $x$ and $y$ in K

- $v(x)=\infty \Longleftrightarrow x=0$
- $v(x y)=v(x)+v(y)$ and
- $v(x+y) \geq \min \{v(x), v(y)\}$


## Valuations: Examples

## Example

- $K$ any field, $v \upharpoonright K^{\times} \equiv 0$, the trivial valuation
- $K=\mathbb{Q}, p$ a prime number, any $x \in \mathbb{Q}^{x}$ may be expressed as $x=p^{r} \frac{a}{b}$ where $a, b$, and $r$ are integers with $a$ and $b$ not divisible by $p$. The $p$-adic valuation of $x$ is $v_{p}(x):=r$.
- $K=k(t)$ where $k$ is any field and for any rational function $f$ expressed as $f=g / h$ with $g$ and $h$ polynomials we set $v_{\infty}(f)=\operatorname{deg}(h)-\operatorname{deg}(g)$.
- If $(K, v)$ is a valued field, then the completion $(\widehat{K}, \widehat{v})$ is also a valued field.


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- If $(K, v)$ is a valued field, then the completion $(\widehat{K}, \widehat{v})$ is also a valued field. The completion of $\mathbb{Q}$ with respect to the $p$-adic valuation is $\mathbb{Q}_{p}$, the field of $p$-adic numbers.


## Gödel's Incompleteness, revisited

The negative content of Gödel's theorem is very strong, say in the form of the Second Incompleteness theorem that if $T$ is a consistent, recursively enumerable extension of Peano Arithmetic, then $T \nvdash \operatorname{Con}(T)$, but for us the positive content is just as striking.

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## Theorem (Gödel)

$\mathbb{Z}$ codes sequences in the sense that there is a formula $\sigma(x, y, z)$ in the language of rings for which

- for any sequence $\sigma \in{ }^{<\omega} \mathbb{Z}$ there is some $s \in \mathbb{Z}$ such that for any $i \in \mathbb{Z}_{+}$we have $\mathbb{Z} \models \sigma(s, i, z)$ if and only if $z=\sigma(i)$,
- $\mathbb{Z} \vDash(\forall s)(\forall i \geq 0)(\exists!z) \sigma(s, i, z)$


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- $\mathbb{Z} \models(\forall s)(\forall i \geq 0)(\exists!z) \sigma(s, i, z)$

It follows from the theorem on coding of sequences that every recursive, and more generally, every arithmetic set, is definable in $\mathbb{Z}$. Every conceivable set is definable in $(\mathbb{Z},+, \times)$.

## Definable sets in $\mathbb{Q}$

From J. Robinson's theorem on the definability of $\mathbb{Z}$ in $\mathbb{Q}$ and the usual construction of $\mathbb{Q}$ as the field of fractions of $\mathbb{Z}$, one sees that $\mathbb{Q}$ and $\mathbb{Z}$ are biïnterpretable.

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With more work, it is possible to deduce the same result (at least as long as one is willing to use parameters in the definitions) for $\mathbb{F}_{p}(t)$ from R. Robinson's theorem.

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## Corollary

Every $\mathscr{L}(+, \times, 0,1)_{\mathbb{R}}$-definable subset of $\mathbb{R}$ is a finite union of points and intervals.

## Definable sets in other complete fields

## Theorem (Tarski)

Algebraically closed fields eliminate quantifiers in the language of rings. Hence, every definable subset of an algebraically closed field is finite or cofinte.

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## Theorem

The field $\mathbb{Q}_{p}$ eliminates quantifiers in the language of valued fields augmented by divisibility predicates on the value group. Hence, every infinite definable subset of $\mathbb{Q}_{p}$ contains an open subset.

## Preview

- $\mathbb{Z}=\{x \in \mathbb{Q}:(\forall v$ a valuation $) v(x) \geq 0\}$. We shall find uniform definitions for the valuations on $\mathbb{Q}$ by using local-global principles to relate the valuations. The decidability of each $\mathbb{Q}_{p}$ is essential to this project.
- Voevodsky's theorems on quadratic forms will be used to express algebraic independence.
- We will use Gödel coding in $\mathbb{Z}$ together with other local-global principles to recognize finitely generated fields as function fields.


## Preview

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