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Definability in fields Lecture 1: Undecidabile arithmetic, decidable geometry

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5 February 2007 Model Theory and Computable Model Theory Gainesville, Florida

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Structures fro	om logic			

What do we study when we examine mathematical structures from the perspective of logic?

- What formal sentences are true in M?
- What sets are definable in \mathfrak{M} ?

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Question

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- What formal sentences are true in \mathfrak{M} ? That is, what is $\operatorname{Th}_{\mathscr{L}}(\mathfrak{M}) := \{ \varphi \mid \mathfrak{M} \models \varphi \}.$
- What sets are definable in M?

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- What formal sentences are true in M? That is, what is Th_L(M) := {φ | M ⊨ φ}. Perhaps more importantly, how do we decide which sentences are true in M?
- What sets are definable in \mathfrak{M} ? That is, describe the set $\operatorname{Def}(\mathfrak{M}) := \bigcup_{n=0}^{\infty} \operatorname{Def}_n(\mathfrak{M})$ where $\operatorname{Def}_n(\mathfrak{M}) := \{\varphi(\mathfrak{M}) \mid \varphi(x_1, \dots, x_n) \in \mathscr{L}\}$ and $\varphi(\mathfrak{M}) := \{\mathbf{a} \in M^n \mid \mathfrak{M} \models \varphi(\mathbf{a})\}.$

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Which question should we ask?

• Traditionally, logicians focus on decidability of theories.

- From the standpoint of logic, we can only discern a difference between structures if they satisfy different sentences. That is, elementary equivalence, $\mathfrak{M} \equiv \mathfrak{N} \Leftrightarrow \operatorname{Th}_{\mathscr{L}}(\mathfrak{M}) = \operatorname{Th}_{\mathscr{L}}(\mathfrak{N})$, is the right logical notion of two structures being the same.
- The complexity of the theory of a structure is expressed by the complexity of Def(\mathfrak{M}).

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Of course, to answer either of the questions we need to answer the other.

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- Does R ≡ S imply R ≅ S (for R and S from some fixed class of rings)? (Pop's Problem)
- Is Th(R) decidable?
- Is $Th_{\exists}(R)$ decidable? (Hilbert's Tenth Problem for R)
- What is definable in $(R, +, \times)$?

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Pop's prol	olem			

Conjecture

If K and L are two finitely generated fields, then $K \equiv L \Leftrightarrow K \cong L$.

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Pop's proble	em			

Conjecture

If K and L are two finitely generated fields, then $K \equiv L \Leftrightarrow K \cong L$.

In its geometric form, Pop's conjecture asserts that if K and L are finitely generated over \mathbb{C} , then $L \equiv K \iff L \cong K$.

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An easy "sol	ution"			

If the field ${\cal K}$ had access to its own presentation, then it could describe itself.

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An easy "so	lution"			

If the field K had access to its own presentation, then it could describe itself.

A finitely generated field may be expressed as the field of quotients of a ring of the form $\mathbb{Z}[X_1, \ldots, X_n]/(f_1, \ldots, f_m)$ where each f_i is a polynomial in *n* variables with integer coëfficients and (f_1, \ldots, f_m) is a prime ideal.

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An easy "so	lution"			

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K satisfies the first-order sentence $\exists \mathbf{a} \wedge f_i(\mathbf{a}) = 0$.



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K satisfies the first-order sentence $\exists \mathbf{a} \wedge f_i(\mathbf{a}) = 0$.

K is determined up to isomorphism by the $\mathscr{L}_{\omega_{1},\omega}$ sentence expressing that there is a generic solution **a** to $\bigwedge f_{i}(\mathbf{a})$ and every element of K is expressible as a rational function of **a**.

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A very eas	sy case of Pop'	s conjecture		

Distinguish between \mathbb{Q} and $\mathbb{Q}(\sqrt{2})$.

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Problem

Distinguish between \mathbb{Q} and $\mathbb{Q}(\sqrt{2})$.

$$\mathbb{Q}(\sqrt{2}) \models (\exists x)x \cdot x = 1+1$$

 $\mathbb{Q} \models (\forall x)x \cdot x \neq 1+1$

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Another c	ase of Pop's co	niecture		

Distinguish between \mathbb{Q} and $\mathbb{Q}(t)$.

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Another case	of Pop's conje	cture		

Distinguish between \mathbb{Q} and $\mathbb{Q}(t)$.

$$\mathbb{Q} \models (\forall x)(\exists y_1)(\exists y_2)(\exists y_3)(\exists y_4)x = y_1^2 + y_2^2 + y_3^2 + y_4^2 \\ \lor -x = y_1^2 + y_2^2 + y_3^2 + y_4^2$$

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Neither t nor -t is a sum of squares in $\mathbb{Q}(t)$.

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Sabhagh's	auestion			

Question (Sabbagh)

Is there a sentence τ in the language of rings for which if K is a finitely generated field of transcendence degree one, then $K \models \tau$ and if L is a finitely generated field of transcendence degree two, then $K \models \neg \tau$?

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Hilbert's Tenth Problem

Problem

10. Entscheidung der Lösbarkeit einer diophantischen Gleichung. Eine diophantische Gleichung mit irgendwelchen Unbekannten und mit ganzen rationalen Zahlkoefficienten sei vorgelegt: man soll ein Verfahren angeben, nach welchen sich mittels einer endlichen Anzahl von Operationen entscheiden läßt, ob die Gleichung in ganzen rationalen Zahlen lösbar ist.

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Hilbert's Tenth Problem

Problem

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That is, find a finitistic procedure which when given a polynomial $f(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n]$ in finitely many indeterminates over the integers determines (correctly) where or not there is a tuple $\mathbf{a} \in \mathbb{Z}^n$ with $f(\mathbf{a}) = 0$.

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 Matiyasevich's theorem (first form)

Theorem (Matiyasevich (using Davis-Putnam-(J.) Robinson))

There is no solution to Hilbert's Tenth Problem.

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Gödel's Incon	npleteness The	eorems		

Theorem (First Incompleteness Theorem)

 $\mathsf{Th}(\mathbb{Z},+,\times)$ is undecidable.

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Theorem (First Incompleteness Theorem)

 $\mathsf{Th}(\mathbb{Z},+,\times)$ is undecidable.

Gödel actually shows that there is no decision procedure for Π_1^0 -sentences. The work in the prood of the MDPR theorem involves showing that the bounded quantifiers may be encoded with Diophantine predicates.

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Undecidabilit	ty of $\mathbb Q$			

$\mathsf{Th}(\mathbb{Q},+,\times)$ is undecidable.

- There is a formula ζ(x) in one free variable for which
 Q ⊨ ζ(a) if and only if a ∈ Z. [We will discuss the construction of ζ in Lecture 2.]
- If we had a decision procedure for Q, then we would have one for Z by relativizing the sentences for Z to Q using ζ.

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Proof.

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Undecidability	y of ${\mathbb Q}$			

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Proof.

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- If we had a decision procedure for Q, then we would have one for Z by relativizing the sentences for Z to Q using ζ.

Hilbert's Tenth Problem for \mathbb{Q} is still open. Robinson's ζ uses three alternations of quantifiers and to date no existential definition of \mathbb{Z} has been found.

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Undecidability	y of $\mathbb{F}_{ ho}(t)$			

 $\mathsf{Th}(\mathbb{F}_p(t), +, \times)$ is undecidable.

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Preview

Undecidability of $\mathbb{F}_{p}(t)$

Theorem (R. Robinson)

 $\mathsf{Th}(\mathbb{F}_{p}(t),+, imes)$ is undecidable.

In this case, using t as a parameter, the set of powers of t is definable and Robinson shows that the set $\{(t^m, t^n, t^{mn}) : m, n \in \mathbb{Z}\}$ is also definable. Relativizing, a decision procedure for $\mathbb{F}_p(t)$ would give one for \mathbb{Z} .

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Undecidability of $\mathbb{F}_{+}(t)$				

 $\mathsf{Th}(\mathbb{F}_{p}(t),+, imes)$ is undecidable.

In this case, using t as a parameter, the set of powers of t is definable and Robinson shows that the set $\{(t^m, t^n, t^{mn}) : m, n \in \mathbb{Z}\}$ is also definable. Relativizing, a decision procedure for $\mathbb{F}_p(t)$ would give one for \mathbb{Z} . Th. Pheidas has shown that the interpretation of \mathbb{Z} may be taken to be Diophantine. Thus, Hilbert's Tenth Problem for $\mathbb{F}_p(t)$ has no solution.

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Elementar	y geometry			

Elementary geometry is decidable. That is, $\mathsf{Th}(\mathbb{R})$ is decidable.

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Elementary g	eometry			

Elementary geometry is decidable. That is, $\mathsf{Th}(\mathbb{R})$ is decidable.

As \mathbb{C} is interpretable in \mathbb{R} , it follows that $\mathsf{Th}(\mathbb{C})$ is also decidable.

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Elementary g	eometry			

Elementary geometry is decidable. That is, $\mathsf{Th}(\mathbb{R})$ is decidable.

As \mathbb{C} is interpretable in \mathbb{R} , it follows that $\mathsf{Th}(\mathbb{C})$ is also decidable. Of course, one can deduce this as well from the theorem that the recursively axiomatized theory of algebraically closed fields of a fixed characteristic is complete.

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<i>p</i> -adic fields				

Theorem (Ax and Kochen; Eršov)

The theory of the p-adic numbers is decidable.

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Valuations:	Definition			

Definition

A valuation v on a field K is a function $v : K \to \Gamma \cup \{\infty\}$ where $(\Gamma, +, 0, <)$ is an ordered abelian group for which for all x and y in K

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$$v(x) = \infty \iff x = 0$$

•
$$v(xy) = v(x) + v(y)$$
 and

•
$$v(x+y) \geq \min\{v(x), v(y)\}$$

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Introduction	Pop's problem	Decidability ○○○○○○○●	Definability	Preview
Valuations:	Fyamples			

- *K* any field, $v \upharpoonright K^{\times} \equiv 0$, the trivial valuation
- K = Q, p a prime number, any x ∈ Q[×] may be expressed as x = p^r ^a/_b where a, b, and r are integers with a and b not divisible by p. The p-adic valuation of x is v_p(x) := r.
- K = k(t) where k is any field and for any rational function f expressed as f = g/h with g and h polynomials we set v_∞(f) = deg(h) deg(g).

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Valuations.	Fxamples			

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Valuations:	Examples			

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Introduction	Pop's problem	Decidability ○○○○○○○●	Definability	Preview
Valuations:	Examples			

- *K* any field, $v \upharpoonright K^{\times} \equiv 0$, the trivial valuation
- K = Q, p a prime number, any x ∈ Q[×] may be expressed as x = p^r ^a/_b where a, b, and r are integers with a and b not divisible by p. The p-adic valuation of x is v_p(x) := r.
- K = k(t) where k is any field and for any rational function f expressed as f = g/h with g and h polynomials we set v_∞(f) = deg(h) deg(g).

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- K = k(t) where k is any field and for any rational function f expressed as f = g/h with g and h polynomials we set v_∞(f) = deg(h) deg(g).
- If (K, v) is a valued field, then the completion (K̂, v̂) is also a valued field. The completion of Q with respect to the p-adic valuation is Q_p, the field of p-adic numbers.

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Introduction	Pop's problem	Decidability	Definability ●○○○	Preview
Gödel's In	completeness	revisited		

The negative content of Gödel's theorem is very strong, say in the form of the Second Incompleteness theorem that if T is a consistent, recursively enumerable extension of Peano Arithmetic, then $T \nvDash Con(T)$, but for us the positive content is just as striking.

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Theorem (Gödel)

 \mathbb{Z} codes sequences in the sense that there is a formula $\sigma(x, y, z)$ in the language of rings for which

 for any sequence σ ∈ ^{<ω}Z there is some s ∈ Z such that for any i ∈ Z₊ we have Z ⊨ σ(s, i, z) if and only if z = σ(i),

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$$\mathbb{Z} \models (\forall s)(\forall i \ge 0)(\exists !z)\sigma(s, i, z)$$

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- $\mathbb{Z} \models (\forall s)(\forall i \geq 0)(\exists !z)\sigma(s, i, z)$

It follows from the theorem on coding of sequences that every recursive, and more generally, every arithmetic set, is definable in \mathbb{Z} . Every conceivable set is definable in $(\mathbb{Z}, +, \times)$.

Introduction	Pop's problem	Decidability 000000000	Definability ○●○○	Preview
Definable set	s in ${\mathbb Q}$			

From J. Robinson's theorem on the definability of $\mathbb Z$ in $\mathbb Q$ and the usual construction of $\mathbb Q$ as the field of fractions of $\mathbb Z$, one sees that $\mathbb Q$ and $\mathbb Z$ are biinterpretable.

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Introduction	Pop's problem	Decidability	Definability ○●○○	Preview
Definable set	s in \mathbb{Q}			

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With more work, it is possible to deduce the same result (at least as long as one is willing to use parameters in the definitions) for $\mathbb{F}_p(t)$ from R. Robinson's theorem.

Introduction	Pop's problem	Decidability	Definability ○○●○	Preview
Definable set	s in ${\mathbb R}$			

Tarski's proof of the decidability of the theory of the real numbers yields a quantifier elimination theorem.

Introduction	Pop's problem	Decidability 00000000	Definability ००●०	Preview
Definable set	s in ${\mathbb R}$			

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Theorem (Tarski)

The real numbers admit quantifier elimination in the language of ordered rings.

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The real numbers admit quantifier elimination in the language of ordered rings.

Corollary

Every $\mathscr{L}(+, \times, 0, 1)_{\mathbb{R}}$ -definable subset of \mathbb{R} is a finite union of points and intervals.

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Definability in fields Lecture 1: Undecidabile arithmetic, decidable geometry

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Introduction	Pop's problem	Decidability	Definability ○○○●	Preview
Definable sets				

Algebraically closed fields eliminate quantifiers in the language of rings. Hence, every definable subset of an algebraically closed field is finite or cofinte.

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Introduction 000	Pop's problem	Decidability 000000000	Definability ○○○●	Preview
Definable sets				

Algebraically closed fields eliminate quantifiers in the language of rings. Hence, every definable subset of an algebraically closed field is finite or cofinte.

Theorem

The field \mathbb{Q}_p eliminates quantifiers in the language of valued fields augmented by divisibility predicates on the value group. Hence, every infinite definable subset of \mathbb{Q}_p contains an open subset.

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Introduction	Pop's problem	Decidability	Definability	Preview
Preview				

- Z = {x ∈ Q : (∀v a valuation)v(x) ≥ 0}. We shall find uniform definitions for the valuations on Q by using local-global principles to relate the valuations. The decidability of each Q_p is essential to this project.
- Voevodsky's theorems on quadratic forms will be used to express algebraic independence.
- We will use Gödel coding in Z together with other local-global principles to recognize finitely generated fields as function fields.

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