Solutions to Final Exam.

1. i. T<br/> ii. T<br/> iii. T<br/> iv. T<br/> v. F<br/> vi. T<br/> vii. T<br/> viii. F<br/> ix T<br/> x. T

2. (a)  $v_1, \ldots, v_k$  linearly independent means if for any scalars  $a_1, \ldots, a_k$ , we have  $a_1v_1 + \cdots + a_kv_k = 0$ , then we have  $a_1 = \cdots = a_k = 0$ . The span of  $v_1, \ldots, v_k$  is the subset of V comprising vectors v that can be written in the form  $v = a_1v_1 + \cdots + a_kv_k$  for some scalars  $a_1, \ldots, a_k$ . (b) Since  $v \in Span(v_1, \ldots, v_k)$ , there are scalars  $c_1, \ldots, c_k$  in F such that

$$v = c_1 v_1 + \cdots + c_k v_k.$$

This equation is a nontrivial linear dependence amongst the vectors  $(v_1, \ldots, v_k, v)$  (nontrivial since at least the coefficient of v is nonzero), thus this list is dependent.

3. Since T is normal, the complex spectral theorem applies, and we know there is an orthonormal basis  $(u_1, \ldots, u_n)$  for V consisting of eigenvectors for T. Pick any  $v \in V$ , and write it as  $v = c_1u_1 + \cdots + c_nu_n$ . Since this is an orthonormal basis we have  $||v||^2 = |c_1|^2 + \cdots + |c_n|^2$ . Next, let the eigenvalues of  $u_i$  be  $\lambda_i$ , so we have

$$Tv = c_1 \lambda_1 u_1 + \cdots + c_n \lambda_n u_n,$$

hence using the fact that this is an orthonormal basis again, and that  $|\lambda_i| \leq 1$ ,

$$||Tv||^{2} = ||c_{1}\lambda_{1}u_{1} + \dots + c_{n}\lambda_{n}u_{n}||^{2} = |c_{1}|^{2}|\lambda_{1}|^{2} + \dots + |c_{n}|^{2} \le |c_{1}|^{2} + \dots + |c_{n}|^{2} = ||v||^{2},$$

which of course implies (since the norm is a nonnegative real number) that  $||Tv|| \leq ||v||$ .

4. For each part, we use the following facts: T is a projection iff  $\mathbb{C}^3$  decomposes as the direct sum of the eigenspaces  $E_0$  and  $E_1$  (recall that  $E_0$  is the null space, and  $E_1$  the range), and it's an orthogonal projection if furthermore  $E_0 \perp E_1$ . This gives the following answers: a. Orthogonal Projection; b. Orthogonal Projection; c. Projection (not orthogonal); d. Orthogonal Projection.

5. First we have to find some eigenvalues and eigenvectors. By either inspection or direct calculation we find that the vectors  $e_1 - e_2$ ,  $e_2 - e_3$ ,  $e_3 - e_4$ , and  $e_4 - e_5$  are eigenvectors with eigenvalue 0, and  $e_1 + e_2 + e_3 + e_4 + e_5$  is an eigenvector with eigenvalue 10. But now we have a basis of eigenvectors, which means that the Jordan normal form of T is diagonal, with diagonal entries 0, 0, 0, 0, 10. From this Jordan form we find the characteristic polynomial is  $z^4(z - 10)$ , and the minimal polynomial is z(z - 10).

6.  $T_1$  is symmetric, hence diagonalisable. Therefore, it can't have the desired minimal polynomial.  $T_2$  is nilpotent, hence has exactly one eigenvalue (=0), so it can't have  $\pm 1$  as eigenvalues.  $T_3$  has the desired minimal polynomial.  $T_4$  has the desired minimal polynomial.  $T_5$  is symmetric, hence diagonalisable.  $T_6$  has the desired minimal polynomial.

7. dim range(T-1) = 6 implies that dim null(T-1) = 8-6 = 2, so there are two 1-Jordan blocks. As  $null(T-1)^2 \cap null(T-2)^3 = \{0\}$ , and the dimensions add up to 8, we see that

$$\mathbb{C}^8 = null(T-1)^2 \oplus null(T-2)^3.$$

Hence, the only eigenvalues are 1, 2. dim  $null(T-1)^2 > \dim null(T-1)$  gives that the 1-generalised eigenspace is  $null(T-1)^2$ . Hence, the largest 1-Jordan block has size  $2 \times 2$ , and there must be two

of them. Since  $null(T-2)^3$  is the 2-generalised eigenspace, we have the largest 2-Jordan block has size at most 3. Hence, we have the following possibilities - where  $J(\lambda, i)$  denotes an  $i \times i \lambda$ -Jordan block -

$$\begin{bmatrix} J(1,2) & & & & \\ & J(1,2) & & & \\ & & J(2,3) & & \\ & & J(2,1) \end{bmatrix}, \\\begin{bmatrix} J(1,2) & & & \\ & J(1,2) & & \\ & & J(2,2) & \\ & & J(2,2) & \\ & & J(2,1) & \\ & & J(2,1) \end{bmatrix}, \\\begin{bmatrix} J(1,2) & & & \\ & & J(2,1) & \\ & & & & J(2,1) & \\ \end{bmatrix}$$

8. We have  $T^2 = 0$  so that the only eigenvalue is 0. Since  $null(T) = span(e_2, e_1 - e_3)$ , so the dimension is two, we have that there are two Jordan blocks, so that Jordan form is

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

A Jordan basis is  $(v_1, v_2, v_3)$ , where we require that

$$T(v_1) = 0, \ T(v_2) = v_1, \ T(v_3) = 0.$$

Thus, we need  $v_2 \notin null(T)$ . Take  $v_2 = e_3$ . Then,  $v_1 = T(v_2) = e_2$ . Finally, we need  $v_3 \in null(T)$  so that  $(v_1, v_2, v_3)$  is linearly independent. Take  $v_3 = e_1 - e_3$ . Then,  $(v_1, v_2, v_3)$  is a Jordan basis.

9. a. We have

$$U = span(e_1 - e_2, e_2 - e_3)$$

Apply Gram-Schmidt to the basis  $(e_1 - e_2, e_2 - e_3)$  to obtain an orthonormal basis  $(v_1, v_2)$  of U, where

$$v_1 = \frac{1}{\sqrt{2}}(e_1 - e_2),$$
$$v_2 = \frac{1}{\sqrt{6}}(e_1 + e_2 - 2e_3)$$

b. It is the vector

$$u = ((e_1 + e_2) \cdot v_1) v_1 + ((e_2 + e_3) \cdot v_2) v_2 = \begin{bmatrix} 1/3 \\ 1/3 \\ -2/3 \end{bmatrix}$$

10. This can be proved: let  $w \in range(T)$  be nonzero. Then, (w) is a basis of range(T); extend

to a basis  $C = (w, w_1, \ldots, w_k)$  of W. Let  $(v_2, \ldots, v_n)$  be a basis of null(T), and extend to a basis  $B = (v_1, \ldots, v_n)$  of V (we know that  $\dim null(T) = \dim V - \dim range(T) = \dim V - 1$ ). Then, we have the matrix of T relative to B and C is

$$[T]_B^C = \begin{bmatrix} a_1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$$

Consider the linear functional  $f: V \to \mathbb{C}$  defined on the basis B as

$$f(v_2) = \dots = f(v_n) = 0 \in \mathbb{C}$$
, and  $f(v_1) = a_1$ .

This defines a linear functional on V and, if  $v = \sum_{j=1}^{n} b_j v_j \in V$ , then

$$T(v) = b_1 a_1 w = f(v)w.$$