

Abstract approaches to  
regularizing moduli spaces of pseudoholomorphic curves

- How to deal with isotropy  $\rightsquigarrow$  in the following will simplify to manifolds
- Approach #1 : "Euler class on Banach orbifolds"  
[Siebert]

### How to deal with isotropy

Recall  $u: (\Sigma, g) \rightarrow (M, j)$  stable  $(\mathbb{C}, j)$ -holomorphic map

$\Leftrightarrow$  isotropy/stabilizer  $\text{Stab}(u) = \{\varphi \in (\Sigma, g) \mid u \circ \varphi = u\}$  finite

Ex:  $u = v \circ (z \mapsto z^k) : \mathbb{P}^1 \rightarrow M$ ,  $v$  injective

$$\Rightarrow \text{Stab}_u = \text{Stab}_{(z \mapsto z^k)} = \{\varphi(z) = az \mid a^k = 1\} \cong \mathbb{Z}_k$$

Geometric Regularization: If  $\bar{\partial}_j \neq 0$ , then  $M = \bigcup_{U \ni 0} \frac{\bar{\partial}_j^{-1}(0)}{\text{Aut}(\Sigma, g)}$  locally has  
orbifold structure: " $\text{nbhd}([u]) \cong T(\text{Aut}(u))^\perp \subset \frac{\bar{\partial}_j^{-1}(0)}{\text{Stab}_u}$ "

Abstract Regularization: Generally don't have equivariant transversality  
even under finite group acting. E.g.

$$\begin{array}{c} S^1 \times \mathbb{R} \\ \downarrow \quad \uparrow s(z) = (z, 0) \\ S^1 \quad \quad \quad \mathbb{Z}_2 \\ \text{give well defined } \# \frac{f'(0)}{\text{Aut}} \in \mathbb{Q} \\ \text{if } \mathbb{Z}_2\text{-equivariant} \Leftrightarrow \tilde{s} = 0 \end{array}$$

$$\underline{\gamma}(z) = \{\varepsilon, -\varepsilon\} \rightsquigarrow (s + \underline{\gamma})^{-1}(0) = \emptyset \rightsquigarrow \# = 0$$

$$\begin{array}{l} \underline{\gamma}(z) = \{2\pi z, -2\pi z\} \rightsquigarrow (s + \underline{\gamma})^{-1}(0) \\ S^1 \subset \mathbb{C} \quad \quad \quad \mathbb{Z}_2 = \{1, 1, -1, -1\} \rightsquigarrow \# = \frac{1}{2} - \frac{1}{2} + \frac{1}{2} - \frac{1}{2} = 0 \end{array}$$

Ex 1  $S^2 \times \mathbb{C}$

$$\downarrow \quad \supseteq \mathbb{Z}_4 = \sqrt[4]{1} \quad \gamma \cdot (x, z) = (\gamma x, \gamma^2 z)$$

$$S^2 \quad \text{with } n=4$$

$f: S^2 \rightarrow \mathbb{C}$  equivariant  $\Rightarrow f(0) = -f(0) \Rightarrow 0 \in f^{-1}(0)$

$$\Rightarrow f(z) = f(-z) \Rightarrow df(0) = 0 \quad \left. \right\} \text{nontransverse}$$

multiplication:  $f(x) = \{\varepsilon, -\varepsilon\} \Rightarrow f^{-1}(0) /_{\mathbb{Z}_4} = \emptyset$

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Ex 2  $TS^2$

$$\downarrow \quad \supseteq \mathbb{Z}_3 \quad \gamma \cdot (x, X) \mapsto (\gamma x, \gamma_* X)$$

$$S^2 \quad \text{with } n=3$$

From  $s: S^2 \rightarrow TS^2 \pitchfork 0$  construct transverse

multiplication  $f(x) = \{s(x), \gamma_* s(\gamma^1 x), \gamma_*^2 s(\gamma^2 x)\}$

$f(\gamma x) = \{s(\gamma x), \gamma_* s(x), \gamma_*^2 s(\gamma^1 x)\}$

$\gamma_* f(x) = \{\gamma_* s(x), \gamma_*^2 s(\gamma^1 x), \gamma_*^3 s(\gamma^2 x) = s(\gamma x)\} \quad \text{equivariant}$

$\# f^{-1}(0) /_{\mathbb{Z}_3} = \frac{1}{3} + \frac{1}{3} = \frac{2}{3} \quad \left( = \frac{\text{Eulerchar}(S^2)}{\text{order}(\mathbb{Z}_3)} \right)$

more formally, a smooth transverse multisection  $\underline{f}: S^2 \rightarrow \text{finite subsets of } TS^2$   
is locally of the form  $\underline{f}(x) = \{f_1(x), \dots, f_n(x)\}$  with  $n$  smooth transverse sections,  
 $f_1, \dots, f_n: S^2 \rightarrow TS^2$

How to deal with isotropy → analysis : "permute marked points"  
 → topology : groupoid language

An orbifold is

↓  
 ↗ Hausdorff space  $X$  with local charts  $U_{/\Gamma} \hookrightarrow X$   $U \subset \mathbb{R}^n$  open  
 $\Gamma$  finite  
 & "smooth transition maps"

The realization of a proper étale groupoid

$| \mathcal{E} |$  ↗ category  $\text{Obj } \mathcal{E}$   
 $\text{Mor } \mathcal{E}$ , composition  
 identities  
 $\text{Obj}, \text{Mor}$  smooth manifolds  
 structure maps local diffeo  
 s.t. all morphisms invertible  
 $(\text{source} \times \text{target})^{-1}$  (compat)  
 is compact  
 $\cdot \text{source } \text{Mor} \rightarrow \text{Obj}$  · composition  
 $\cdot \text{target } \text{Mor} \rightarrow \text{Obj}$  · identity  
 $| \mathcal{E} | = \text{Obj } \mathcal{E} / \sim$   
 $x \sim y \Leftrightarrow \text{Mor}(x, y) \neq \emptyset$   
 is Hausdorff iff " $\sim$ " proper

Rmk: orbifold atlas  $(U_i, \Gamma_i, \psi_i : U_{/\Gamma_i} \hookrightarrow X)$  induces a groupoid with

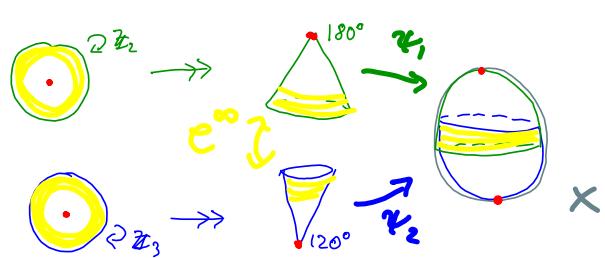
$$\text{Obj } \mathcal{E} = \coprod_i U_i, \quad \text{Mor } \mathcal{E} = \coprod_i U_i \times \Gamma_i \cup \coprod_{i \neq j} \{(u_i, u_j) \mid \psi_i([u_i]) = \psi_j([u_j])\}$$

isotropy groups :  $\text{Mor}(x, x) \simeq \text{Stab}(x) \subset \Gamma_i$  when  $x \in U_i$

Ex:  $(\mathbb{Z}_2, \mathbb{Z}_3)$ -football:  $X = S^2$

$\psi_1: \text{disk } \mathbb{Z}_2 \rightarrow \text{upper hemisphere}_{\text{annulus}}$

$\psi_2: \text{disk } \mathbb{Z}_3 \rightarrow \text{lower hemisphere}_{\text{annulus}}$

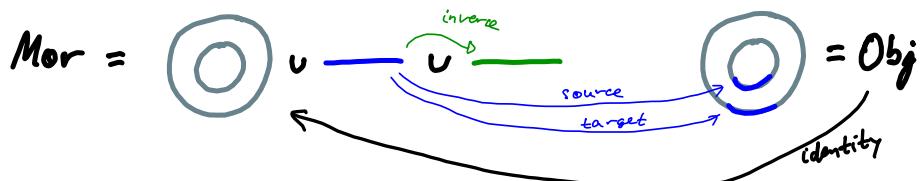


$\text{Obj } \mathfrak{E} = D_1 \cup D_2$

$\text{Mor } \mathfrak{E} = D_1 \times \mathbb{Z}_2 \cup D_2 \times \mathbb{Z}_3 \cup \{(z_1, z_2) \in A_1 \times A_2 \mid z_1^2 = z_2^3\}$

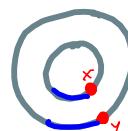
$$|\mathfrak{E}| = \frac{D_1 \cup D_2}{\text{Mor}} \simeq S^2$$

Ex: branched manifold : realization of  $\overset{\text{a certain}}{\text{étale groupoid}} \mathfrak{E}$



$$|\mathfrak{E}| = \text{a branched manifold}$$

not Hausdorff  
no fundamental cycle



nbhds  $U_x, U_y$ :  
 $U_x \cap U_y = \emptyset$

Philosophy:  $\overline{\mathcal{M}} = [s^*(\mathcal{O})]$        $\sum_{\mathcal{E}} \mathcal{E} \xrightarrow{s}$  étale categories      nontrivial isotropy  $\hat{\equiv} \text{Mor}(x) \supset \{l_x\}$

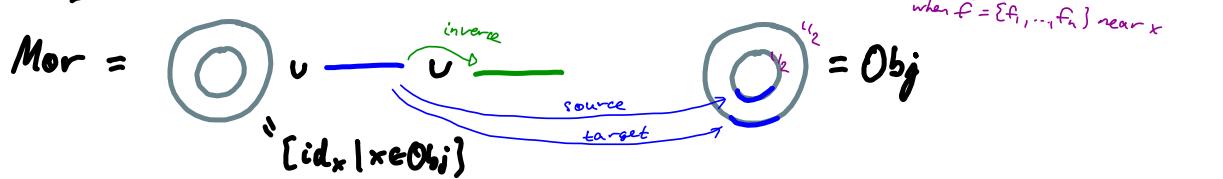
regularization thm for trivial isotropy  $\Rightarrow$  reg.thm. for finite isotropy

$\hookrightarrow$  manifolds  $\rightsquigarrow \mathbb{Z}$ -fundamental cycle

$\hookrightarrow$  branched weighted mfd  $\rightsquigarrow \mathbb{Q}$ -fundamental cycle

Ex: weighted branched manifold : realization of étale groupoid  $\mathcal{E}$  with weights

[McDuff]



$$\frac{1}{n} \# \{i \mid f_i(x) = 0\} \quad \text{when } \bar{f} = \{f_1, \dots, f_n\} \text{ near } x$$



$$\text{fundamental cycle} \quad [\mathcal{E}] = \frac{1}{2} \underbrace{\text{circle}}_{\text{---}} + \frac{1}{2} \underbrace{\text{circle}}_{\text{---}} \in H_1(|B|; \mathbb{Q})$$